

Application Document

for

Prequalification of Indian Contractors for
Design, Engineering, Supply and Supervision
of Erection and Commissioning of a Co-
generation Power Plant of 50 MW capacity
at “30 DE November” Sugar Mill located in
Artemisa Province of Cuba

Export-Import Bank of India

Date: November 13, 2018

**INVITATION FOR PREQUALIFICATION OF CONTRACTORS FOR
GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECTS**

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consulting and non-consulting services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time to time. As per the extant guidelines issued vide letter no No.21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contracts under the project(s) financed by the Line(s) of Credit (LOCs).

In pursuance to the above, the Bank invites Applications from eligible Indian entities for pre-qualification of contractors for carrying out project of Design, Engineering, Supply and Supervision of Erection and Commissioning of a Co-generation Power Plant of 50 MW capacity at “30 De November” Sugar Mill located in Artemisa Province of Cuba, under Exim Bank’s GOI-supported Line of Credit extended to the Banco Exterior de Cuba (BEC) on behalf of Govt. of Cuba.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant’s Name	<Applicant’s Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary’s Bank Name	AXIS BANK, CUFFE PARADE
[iv]	Account No.	447010200000268
[v]	IFSC Code	UTIB0000447
[vi]	GST Number	27AAACE2769D1ZS

The preparation and submission of Application for prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, December 13, 2018 at the following address:

Ms. Amita Dang
Assistant General Manager
Export-Import Bank of India
Office Block, Tower 1
7th Floor, Adjacent Ring Road
Kidwai Nagar [East]
New Delhi -110023
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.in

This Application Document [AD] consists of the following Parts:

Part I. Scope of Project

This Part includes a summary description and other data on this pre-qualification process and of the prospective project to be contracted by the Borrower Government / Procuring Entity subsequent to this pre-qualification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their applications for Prequalification (“Applications”) and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/Procuring Entity.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document

for

Pre-qualification of Indian Contractors for Design, Engineering, Supply and Supervision of Erection and Commissioning of a Co-generation Power Plant of 50 MW capacity at “30 DE November” Sugar Mill located in Artemisa Province of Cuba.

Invitation for Pre-Qualification

No.: GOILOC-265/Cuba/EPC-27

Issued on: November 13, 2018

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PART-I: SCOPE OF PROJECT

The Export-Import Bank of India (Exim Bank), on behalf of the Government of India [GOI] has approved financing the project out of the LOC extended to the Banco Exterior De Cuba, on behalf of Govt. of Cuba. The project includes Design, Engineering, Supply, and Supervision of Erection and Commissioning of a Co-generation Power Plant of 50 MW capacity at “30 November” Sugar Mill located in Artemisa Province of Cuba, for which Exim Bank now seeks applications for pre-qualification from eligible Indian entities. Refer Part II ITA 4 for eligibility provisions.

A] Details of Project

The Project is for Design, Engineering, Supply, and Supervision of Erection and Commissioning of a Co-generation Power Plant of 50 MW capacity at “30 De November” Sugar Mill located in Artemisa Province of Cuba, by using Biomass [Sugarcane Bagasse, Sugar cane harvest residues and wood chips]. The plant will be set up, next to the Sugar Factory “30 De November” belonging to the Artemisa Provincial Sugar Enterprise, of the AZCUBA Sugar Group. This new plant will substitute the existing Thermo-Energetic block (Steam Boilers and Turbo-generators).

The plant is expected to generate about 34 MW of surplus power, which shall be sold to the National Electrical Power Grid. The project aims to achieve the following objectives:

- To replace the existing low-efficiency, long-lived thermo-energetic block of the sugar factory with a modern and efficient plant capable of ensuring the stable supply of steam and electricity that the sugar manufacturing process demands;
- To guarantee the generation of substantial amounts of surplus electricity to be sold to the National Power Grid, thereby benefitting the country by reducing the dependency on imported fuels for power generation.

Project Authority

The Cuban importing enterprise AZUIMPORT of the AZCUBA Group will act, on behalf of the Sugar Enterprise Artemisa, as the Buyer of the plant. The scope of work of AZUIMPORT in the project is as follows:

- Civil work related with Installation and Commissioning Equipment, Substation, Effluent Treatment Plant, etc.
- Funding of the expenses during the Civil Work, Erection of the Equipment and other related activities.
- Arrangement of bagasse, sugar cane trash, etc. for operating the plant.

The Co-generation Power Plant will be designed to operate during 3 different seasons:

i. Harvesting Season:

The plant will receive Bagasse, Sugar Cane Trash and Residual Extracts from Sugar Mill. The harvesting season is for an estimated 150 days during November to April. The power produced shall be used for running the sugar mill and the surplus power will be transferred to National Electrical Power Grid.

ii. Non Harvesting Season:

The non-harvesting season is from May to October. During this period, the plant will be able to run for 10 days using stored Bagasse, Sugar Cane Trash and Residual Extracts from Sugar Mill and for next 90 days by using Marabu Forestry Biomass. The duration of running of plant will depend on the stored biomass fuel.

iii. Functioning as an “Island”

During the harvesting season, if for any exceptional reason, the power supply from National Electrical Power Grid gets interrupted and it is not possible to deliver nor receiving electricity, the Plant should be capable to operate on its own and to meet the demands of steam and electricity of sugar mill.

Description and Specifications of the proposed plant is as follows:

1. The proposed Bioelectric Plant shall be a 50 MW thermoelectric unit of the conventional type which will operate as a co-generation plant on the bagasse output and pure condensate from the cane juice evaporators. The plant will supply steam and electric power required in the operating of the Sugar Mill. The technology requirement under the project is of **Rankine Cycle** with steam boiler designed for use on sugarcane bagasse and other types of biomass as fuel and extraction/ condensing turbo generators.

2. The Steam Generator Station will have two steam boilers each with a capacity of 120 Tonnes per hour [T/H], and will operate at a pressure of 87 bar and temperature of 530 °C, and minimum efficiency of 86%. During the crushing season 50% humidity bagasse will come from sugar factories and will also use 10-15% of sugarcane agricultural residues. The biomass surplus (mixture of bagasse and sugarcane trash) will be stored and used as fuel during the off-season period.

3. The Power House consists of two generator units of 25 MW each, extraction-condensing type, with all the corresponding ancillary equipment, whereby sufficient electric energy is generated to meet the demand of the cane processing at the sugar factory and self-consumption of the plant. The surplus power, estimated at 34 MW, will be sold to the National Power System.

4. The technology to be used will be of the conventional type, consisting of Steam Boiler with steam parameters of 87 Bar/ 530 °C, efficiency not less than 86%, gas cleaning to ensure particulate to the environment under 150 mg/m³, turbo-generator, high efficiency cooling tower, water treatment plant with mechanical filters and ion exchange and for make-up water to boilers treatment by invert osmosis and electro flocculation. All the operators of the plant, including the substation for linkage with the National Power System will be fully automated, with Digital Control Systems [DCS], as well as closed circuit television surveillance system on operation points.

5. Sufficient water and power are available at the site. The plant will also include the water treatment plant for generating steam, in addition to the pure condensates from the mill.

6. An idle land close to the sugar mill, owned by the mill has been selected for location of the new Co-generation plant.

7. The proposed plant will be very close to the Mariel Port and has good railway and road connectivity. The permanent and temporary storage facility for sugarcane bagasse and trash is to be built during the construction stage.

B] Scope of Work

The plant will be supplied under the Complete Plant method including Designing, Engineering, Supply, and Supervision of Erection and Commissioning on CIF basis. The scope of work is divided into three parts:

i. Designing and Engineering :

The contractor shall be responsible for providing technical assistance related to Basic Engineering and Detailed Engineering of general layout of plant, control systems, plant and equipment supplied and architecture of biomass handling plant, ash and slag handling system, pipes.

ii. Supplies and services under the contract:

The List of major plant and equipment to be supplied under the contract is as under:

- System of Transportation and Storage of Bagasse.
- Generation of Steam.
- Water Treatment Plant.
- Plant for Thermal Treatment of Water [De-aeration].
- System for Purification of Gases.
- Chimney.
- System of Slag and Ash Handling.
- Electric Turbo-generators and Auxiliaries.
- Air conditioning and Recirculation of Water System.
- Linking of transmission line 1,200 meters between substation and National Electrical Power Grid.
- Equipment of Pumping Station and Pipe Line.
- System of Automatic control and instrumentation.
- Effluent Treatment Plant.
- Networking of Interconnections.
- Thermal Insulation system.
- Fire and Electrical Protection system.
- Metallic Structure and parts to be used for erection of equipment and civil work.
- Interior and Exterior Lighting system.
- Fencing and protected access system.
- Painting of all the equipment supplied.
- Supplies of chemicals and lubricants for one year of operation of equipment.

- Supply of Spare Parts.
- Supply of office equipment and furniture for technical and plant operation staff.

iii. Supervision and Commissioning services:

The contractor shall be responsible for providing the following services:

- Technical Assistance and Supervision during Erection and Commissioning of the plant and equipment.
- Conducting training of staff for maintenance and operations of the Plant.
- Transfer of Technology and know-how.

Broad specifications of main equipment

Equipment	Specifications
Biomass storage, preparation and transport system	To receive and handle up to 3,000 tons of bagasse and 300 tons of sugarcane trash per day. Storage capacity of 85,000 tons of biomass
Two biomass based steam Boilers	Each with a capacity of 120 t/d and steam parameters of 87 bar at 530 °C, with all their accessories, including heat treatment plant, water feed pumps, chemical product dosing, etc. Travelling Grate firing and ash removal systems. Gas cleaning system to ensure suspended particle content below 150 mg/m ³ . Stack.
Water Treatment Plant	Capable of ensuring the right water quality required according to the boiler's design (reverse osmosis)
Two Turbo-generators	25 MW each (extraction-condensing), 13.8 KV, 60 Hz, with driving, control and distribution panels, condenser and water cooling system.
Linking of Substation with National Electric Power Grid	For linking with National Electric Power Grid. 50 MVA transformer, from 13.8 KV to 110.0 KV, with automatic control and distribution system.
Automation and control systems for all the procedures (DCS), which includes the ancillary items and Centralized Control Rooms.	
Fire protection systems and other auxiliary facilities	

C] Contract Period

The duration of the project is Thirty (30) months.

D] Location

Cuba is an island nation, located in the northern Caribbean Sea at the confluence of the Gulf of Mexico and the Atlantic Ocean. The United States lies to the north-west, the Bahamas to the north, Haiti to the east, Jamaica and the Cayman Islands to the south, and Mexico to the west. Cuba has subtropical climate with average temperature 25°C and average relative humidity 81%. The “30 De November” Sugar Mill is located in the Artemisa Province between coordinates 314.5 E and 281.3 N (Cuba Norte Coordinate System) at 100 m from the access road to the “Romom Lopez Pefa” Community and 3 Km from the National Motorway, its main access way.

E] GOI Guidelines

As per the GOI guidelines, goods and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above for details available at <http://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

Part II. Instructions to Applicants [ITA]**Table of Articles**

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Part II. Instructions to Applicants

A. General

- 1. Background**
 - 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Pre-qualification**
 - 2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, Scope of Project and subject to the provisions of this Application Document.
- 3. Ethics and Integrity**
 - 3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of integrity and ethics and prohibits any collusive, corrupt and / or fraudulent practices. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise, as offsets for prequalification, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
 - 3.2 In pursuance of article 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
 - 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has committed collusive, corrupt, fraudulent, or unethical practices, the Bank, inter alia, shall:

- (a) reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- (b) declare an Applicant involved in corrupt practices, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, “Applicant” would include the single entity or in case of a joint venture (“JV”), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant’s/ JV Member’s Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been debarred/ sanctioned in pursuance of ITA 3.1, including debarment/sanction by any government or government procuring entity (ies)/ corporation(s) or Multilateral Development Agencies (MDA) in accordance with extant rules and procedures, shall be ineligible to be prequalified, during such

period of time as the Bank/ sanctioning authority shall have determined. Subsequent to the deadline for Application submission, in case the Applicant is debarred/sanctioned, the Applicant shall immediately inform Exim Bank of such debarment/sanction and reasons thereof.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government or government procuring entity (ies)/ corporation(s) and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if it has defaulted on any loan to any Bank/ Financial Institution (FI) as per Central Repository of Information on Large Credits (CRILC) database or otherwise or its account has been classified as Non-Performing Asset (NPA) with any Bank/FI or their companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed) or Credit Information Bureau India Ltd. (CIBIL) Defaulter List, updated from time to time. On being included in any of the above lists post the prequalification process, the Applicant shall immediately inform Exim Bank on the inclusion and reasons for inclusion thereof.
- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Project
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV. Prequalification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Bank shall prevail.

- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the DS. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

- 9. Language**
- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.
- 10. Documents constituting the Application**
- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11.1;
 - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **DS**.
- 11. Application Submission Form**
- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.
- 12. Documents Evidencing the Applicant's Eligibility**
- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).
- 13. Documents Evidencing the Applicant's Qualifications**
- 13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.
- 13.2 If an Applicant does not manufacture or produce the goods it offers to supply, it shall submit documentation related to Manufacturer's Authorisation as specified in the **DS**.
- 13.3 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:
- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during

evaluation;

- (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**;
- (c) for data related to Liquidity, Annual Residual Contract Value and contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent as specified in the **DS**; and
- (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the **DS**.

14. Authorized Signatory and Number of Copies

- 14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

15. Preparing the Application Envelope

- 15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:
- (a) be addressed to the Bank, as per ITA 16.1;
 - (b) mention the name and address of the Applicant; and
 - (c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.
- 15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

16. Application Submission Deadline

- 16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the **DS**.
- 16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

17. Late Applications

- 17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications

- 18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential

- 19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.
- 19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation

- 20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.
- 20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

- 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Pre-qualification of Applicants

22. Evaluation of Applications

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.
- 22.3 Only the qualifications of the Applicant, in the capacity as prime contractor/ member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or other affiliated company that is not party to the Applicant under a JV in accordance with ITA 4.3 shall not be considered.
- 22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered. However, the experience of the SPV can be considered in favour of the Applicant provided it is established through documentary evidence that the SPV was established by the Applicant pursuant to a concession granted by a Project Authority who is unrelated to both the Applicant and the SPV and the Letter of Acceptance of bid/ Letter of Award was issued by the Project Authority in favour of the Applicant. Further, the FAC issued by the Project Authority should indicate that the project was executed by the Applicant as an EPC contractor.

23. Bank's Right to Accept or Reject Applications

- 23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

24. Pre-qualification of Applicants

- 24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.

25. Notification of Pre-qualification

25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.

25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.

26. Subsequent Invitation for Bids

26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of bids.

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	<p>The identification of the Invitation for Pre-qualification [IFP] is: GOILOC-265/CUBA/EPC-27</p> <p>The Bank is: Export-Import Bank of India, Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi 110 001 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
ITA 4.3	<p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/ association [JVCA] based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract [in terms of percentage] being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV <p>The JV is permissible at prequalification stage only. The JV which is prequalified will be sustained for the entire bidding process, including contract award, work completion period, extension period, if any and defects liability period/maintenance period. JV among prequalified Contractors and non-prequalified Contractors or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.</p>

	<p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p>
ITA 4.6	<p>A list of debarred firms and individuals by: the World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>the African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>the European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>the Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>
B. Contents of the Application Document	
ITA 6.1	<p>For clarification purposes, the Bank's address is: Ms. Amita Dang Assistant General Manager Export-Import Bank of India Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi -110023 Email: eximloc@eximbankindia.in</p>

C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <u>On-line mode of Application</u> The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p> <p>For details for submitting Online Application, please refer the above portal.</p> <p><u>Minimum Requirements:</u></p> <ol style="list-style-type: none"> 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above(x86 only i.e OS of 32 Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.
	<p><u>For any Online technical clarification, kindly contact:</u></p> <p>Bob EProcure Pvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069 Contact Person: Ms. Alka Pillai Mob: +91-9148982205 +91-9148998467 Email: pqsupport@bobeprocure.net</p> <p>ii) <u>Off-line mode of Application</u> The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p>

	<u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u>																											
ITA 13.2	Undertaking related to Manufacturer’s Authorisation in accordance with Form E.4.1 included in Part V of this Application Document.																											
ITA 13.3	<p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (https://www.fbil.org.in/)</p> <p>In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p>																											
ITA 13.3(b) & (d)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr></table>		USD 1 = INR	1 INR = USD	31-Mar-11	44.6500	0.0224	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154
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31-Mar-17	64.8386	0.0154																										
31-Mar-18	65.0441	0.0154																										
ITA 13.3(c)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>72.7347</td><td>0.0137</td></tr></table>	USD 1 = INR	1 INR = USD	72.7347	0.0137																							
USD 1 = INR	1 INR = USD																											
72.7347	0.0137																											

ITA 14.1	<p>Additionally, one (1) copy in pen drive shall also be submitted.</p> <p>The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>
ITA 16.1	<p>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).</p> <p>The deadline for Application submission is:</p> <p>Date: December 13, 2018 Time: 15.00 hrs</p> <p>For application submission purposes only, the Bank's address is:</p> <p>Bank's address is the same as that indicated in ITA 2.1 <i>Attention: Ms. Amita Dang, Assistant General Manager</i></p>
ITA 19.1	<p>The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1</p> <p>Date: December 13, 2018</p> <p>Time: 15.30 hrs</p>

Part IV. Pre-qualification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

A.1 Nationality	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant's Nationality shall be in accordance with ITA 4.4 ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted	Form A.1.1 and A.1.2
Attachments to be submitted	<ul style="list-style-type: none"> ➤ Articles & Memorandum of Association, Certificate of Incorporation/Partnership Deed of the Applicant (and each of the JV members) in accordance with ITA 4.4 ➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV

A.2. Eligibility	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none"> ➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached. ➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Power of Attorneys for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents. ➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> • Permanent Account Number [PAN]: Self attested copy • TIN & GST Registration details, as applicable: Self attested copy. ➤ Screening Committee Approval of Project Exports Promotion Council of India - PEPC [MOCI]

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no instance of contract¹ non-performance as a result of Applicant's default since November 1, 2013 (in case of JV, each member shall meet the requirement). ➤ In case of any such instances of non-performance, post submission of the Prequalification Application, the applicant should immediately inform Exim Bank. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	<ul style="list-style-type: none"> ➤ Applicant shall have a positive* adjusted net worth determined as per the financial statements for the financial year (FY 2017-18) and the contractual litigation value as considered below net of provision made in the audited financial statements for FY 2017-18: <ul style="list-style-type: none"> ○ Litigation value shall be deemed to be 25% of the aggregate value pending litigation across all contracts and net of provisions, this value shall be deducted from the net worth to arrive at the adjusted net worth. ➤ Documentation and Attachments to be submitted as mentioned below. ➤ In case of JV, each member shall meet the requirement. <p><i>*Note: Networth – [25% of Litigation Value – provisions already made] = positive</i></p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

¹ Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

B.3 Contract Litigation History	
Requirement:	<ul style="list-style-type: none"> ➤ The aggregate amount of awards against the Applicant, if any, since November 1, 2013 shall not exceed 25% of the net worth as per the latest financial statements. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status	
Requirement:	<p>(i) The audited balance sheets and profit & loss account/ annual report for the last 5 financial years i.e. [FY 2013-14 to FY 2017-18] shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ul style="list-style-type: none"> a. Positive net worth for each of the last five (5) financial years defined above; b. Positive profit (i.e. Profit Before Tax) for at least two(2) out of last five (5) financial years defined above; c. Annual EPC Revenue² in any one (1) of the last five (5) financial years as defined above, be not less than USD 18 million. <p>In case of JVCA, requirement [a] and [b] above shall be met by each member and requirement under [c], each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, lines of credit and other financial means [independent of any contractual advance payment] sufficient to meet the project cash flow requirements of ongoing contracts, including the present contract, net of the Applicants' other commitments. Minimum required liquidity³ for execution of the contract be not less than USD 4.50 million.</p>

²In case of Contracts in INR, the exchange rate of 31st March of the financial year in which the contract was signed will be used to arrive at the USD equivalent.

³Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

	<p>In case of JVCA, for requirement (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	<p>Form C.1.1 and Form C.1.3 both certified by the Statutory Auditor of the Applicant [in case of JV, for each member of the JV]</p> <p>Form C.1.2 [in case of JV, for each member of the JV]</p>
Attachments to be submitted:	<p>The Applicant and its parties shall provide copies of audited financial statements for the last five (5) years defined above. The financial statements shall:</p> <ul style="list-style-type: none"> a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity [such as parent company or group member]. b) be independently audited and certified by the Statutory Auditor/ Chartered Accountant in accordance with applicable legislation. c) be complete, including all notes to the financial statements. d) correspond to accounting periods already completed and audited. <p>Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application.</p>

C.2 Value of ongoing contracts	
Requirement	<ul style="list-style-type: none"> ➤ Aggregate annual residual value⁴ of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years defined above. In case of JV, this shall apply to each member. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form C.2.1(Certified by a Chartered Accountant)
Attachments to be submitted:	None

⁴Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

D. Experience

D.1 General Experience	
Requirement:	<p>➤ Indian companies (in the capacity of prime contractor or member of JV, to the Project Employer) engaged in EPC / Turnkey/ Construction/Supply of Equipment for the Design, Engineering,, Installation and Commissioning of Power Plants in India, during the last 7 years, starting from November 1, 2011 and application submission deadline. (In case of JV, each member shall meet the requirement).</p> <p>➤ Documentation and Attachments to be submitted as mentioned below.</p>
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<p>➤ Final Acceptance Certificate/Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1. giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.</p> <p>➤ In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted:</p> <ul style="list-style-type: none"> • Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and • Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant; <p>In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p> <p>Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p>

D.2 Specific Experience	Refer Part I: Scope of Project for details																					
Requirement:	<p>At least the number and capacity of similar contracts as mentioned below, in the role of prime contractor or JV member⁵ to the main client/project employer, completed between November 1, 2011 and application submission deadline:</p> <table><tr><th></th><th>No. of Contracts</th><th>Capacity of Each Contract [In MW]</th></tr><tr><td></td><td></td><td></td></tr><tr><td>Option I</td><td>3</td><td>20.00</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>25.00</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>40.00</td></tr></table> <p>The Applicant/JV should possess the experience required for Design, Engineering, Supply, Installation and Commissioning of Co-generation Power Plants using Biomass [Bagasse, sugar crane trash].</p> <p>In case of JVCA, requirement shall be met by the JVCA on a combined basis i.e. capacity of contracts of each member shall not be aggregated but number of contract(s) by individual members can be aggregated to meet the requirement on number of contracts.</p> <p>Subcontractors’ experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant’s compliance.</p> <p>In addition to the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the capacity indicated under Option I above demonstrating similar experience.</p> <p>Documentation and Attachments to be submitted as mentioned below.</p>		No. of Contracts	Capacity of Each Contract [In MW]				Option I	3	20.00	OR			Option II	2	25.00	OR			Option III	1	40.00
	No. of Contracts	Capacity of Each Contract [In MW]																				
Option I	3	20.00																				
OR																						
Option II	2	25.00																				
OR																						
Option III	1	40.00																				
Documentation to be submitted:	Form D.2.1																					
Attachments to be submitted:	➤ Final Acceptance/ Completion Certificate/ Testimonial Letter issued by the Project Authority for each contract																					

⁵Experience shall be considered on basis of scope of work of the Applicant in that JV for the contract under reference

	<p>listed in Form D.2.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.</p> <p>➤ In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted:</p> <ul style="list-style-type: none"> • Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and • Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant <p>In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p>
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E. Quality Assurance

Result of evaluation to the following criteria may lead to full prequalification or conditional prequalification

E.1 Certified Management System	
Requirement:	ISO Accreditation / Certified Quality Management System
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Accreditation / Quality Management System Certificate valid as on the deadline for submission of application

E.2 Contractor's Equipment

Requirement:	The Applicant must confirm that it can avail and deploy all the key temporary tools and equipment, required during the execution of the Project and shall be
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	responsible for providing the Basic and Detailed Engineering services related to designing of the plant. <i>Note: In case of need, the complete list of equipment shall be provided at Tender stage.</i>
Documentation to be submitted:	Form E.2.1
Attachments to be submitted:	<ul style="list-style-type: none"> The applicant is required to submit an undertaking confirming that the equipment can be arranged and deployed at site.

E.3 Skilled Human Resources

Requirement:

➤ Applicant must demonstrate that it can deploy personnel for the key positions that meet the following requirements :

S.no	Position	Nos.	Qualification	Experience in similar Job [in years]	Total Experience
1	Project Manager	1	Master/B.E. in relevant field	8	15
2	Deputy Project Manager	1	B.E in relevant field	4	10
3	Thermo- Energy Engineer	2	B.E in Electrical/Mechanical/ Thermal	4	10
4	Electrical Engineer	2	B.E/Diploma in Electrical Engineering	4	10
5	Automatic Control Engineer	2	B.E in Electrical/Instrumenta tion Engineering	4	10
6	Chemical Engineer	1	B.E. in Chemical Engineering	4	10
7	Mechanical Engineer	1	B.E. in Mechanical Engineering	4	10
8	Civil Engineer	1	B.E in Civil Engineering	4	10
9	Design Engineer	1	B.E in Electrical /Mechanical/Civil	4	10
10	English/Spanish Translator	1	-	4	4
TOTAL:		15			

The applicant is required to submit an undertaking to engage and deploy personnel meeting the qualification and experience requirements as stated above. However, it may be noted that the above list of personnel is tentative and may change at the bidding stage.

Documentation to be submitted:	Form E.3.1 CVs should, inter alia, incorporate qualifications, experience in the sector and should also list professional memberships / accreditations and knowledge of languages
Attachments To be submitted:	<ul style="list-style-type: none"> The applicant is required to submit an undertaking to engage and deploy personnel as above.

E.4 Manufacturer's Authorisation	
Requirement:	<p>➤ In the case of an Applicant who offers to supply and/or install equipment in accordance with Part I of the Application Document that the Applicant did not manufacture or otherwise produce, the Applicant shall submit an undertaking to submit Manufacturers' Authorization(s) for all major equipment (steam boiler, steam turbine, power generator, water demineralization plant) in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant.</p> <p>➤ Documentation to be submitted as mentioned below</p>
Documentation to be submitted:	Form E.4.1

NOTE:

The Evaluation Criteria for Pre- Qualification of Applicants

Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Quality Assurance	Full or Conditional prequalification

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Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/ sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we have not defaulted in any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) and CIBIL Defaulter List, updated from time to time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Pre-qualification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/are not facing any investigation by government or government procuring entity (ies)/ corporation(s) or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary).
- (g) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of JV Member	
*	3. PAN and GST Registration Details of JV Member	
*	4. Organizational chart of JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditor of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 5 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and	

	available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual EPC Turnover, certified by the Statutory Auditor of the Applicant	
C.2.1 *	Ongoing Contracts (Certified by a Chartered Accountant)	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	3. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Certified Management System	
	Self-attested copy of ISO Accreditation/Quality Management System Certificate	
E.2.1	Undertaking stating that the equipment can be arranged and deployed at site	
E.3.1	Skilled Human Resources	
E.4.1	Manufacturer's Authorisation (Undertaking)	
Part VI. *	Questionnaire for Applicants	

Note : i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1**Applicant Information Form**

[to be filled by Applicant if being a Single Entity or as Lead member of JV]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
<p>1. Attached are copies of original documents of</p> <p><input type="checkbox"/> Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4.</p> <p><input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3.</p> <p>2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.</p>

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms.

Form A.1.2**Applicant's/ JV Member's Information Form**

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV)], and shall be completed separately to provide information relating to each JV member

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms

Form B.1.1/ B.2.1/ B.3.1**Past Contract Non-Performance, Pending Litigation and Litigation History**

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form B.2.1				
Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2				
<input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

Form B.3.1			
Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form C.1.1**Financial Status and Capacity**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory auditor. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

1. Financial data

Type of Financial information in	Historic information for previous five years (amount in ` Crore)				
	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18
Sources of Fund					
Share Holder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current assets, loans and advances					
Less: (ii) Current liabilities & provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					
Networth					
Networth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
Information From Cashflow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

Form C.1.2**Sources of Finance**

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Joint Venture Member Name:[insert full name]

IFP No. and title: [insert IFP number and title]

Page [insert page number] of [insert total number] pages

Information pertaining to:[Insert name of JV Leader or JV member/s]

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	a			
	b			
	C			
	Total Non –fund Based			
	Total Fund and Non-Based Limit			

B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

3. Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years [FY 2013-14 to FY 2017-18] pursuant to Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member).
 - (b) be independently audited or certified in accordance with local legislation.
 - (c) be complete, including all notes to the financial statements.
 - (d) correspond to accounting periods already completed and audited.
-
- ☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements.
 - ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
 - ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

Form C.1.3**Annual EPC Turnover**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

	Annual Turnover Data						
Year	EPC Turnover Amount (INR)			Total Turnover (INR)	EPC Turnover as % of Total Turnover	Exchange rate* (v/s USD)	EPC Turnover in USD equivalent
	Contracts in India	Overseas Contracts	Total EPC Turnover				
	[A]	[B]	C = [A+B]	[D]	C/D*100	[E]	C/E
2013-2014							
2014-2015							
2015-2016							
2016-2017							
2017-2018							

* Refer ITA 13.3 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year.

Form C.2.1

Ongoing Contracts

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion	Residual value of Work	Annual Residual value of Work
1	2	3	4	5	6	7	8
Contract Ref: Brief Title of the works: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name & Address of Employer:	<i>[insert "Prime Contractor" or "JV Member"]</i>				<i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert value in US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i>	<i>[Insert value in US\$ equivalent] [7 divide by 6]</i>
							<i>[Insert Total for all Contracts]</i>

* Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD. Refer ITA 13.3 for source of exchange rate.

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, for each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

[List contracts that demonstrate experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>		<i>[insert "Prime Contractor " or "JV Member"]</i>

* Refer ITA 13.3 for date and source of exchange rate

* Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer/ Concession Granting Authority in case of SPV to be attached for each contract in an orderly fashion.

* Submission of details of a single contract would also suffice if the said contract fully meets the requirement given under D.1 General Experience.

Form D.2.1**Specific EPC Experience**

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual:</i> <i>[dd/mmm/yyyy]</i>		<i>Actual: [dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics and technical aspects listed in Sub-Factor D.2]</i>		
For the above contract, mention the following attributes			
1. Capacity/ Physical size of key works items	<i>[insert capacity/ physical size of key work items]</i>		
2. Complexity	<i>[insert description of complexity in accordance with the technical aspects mentioned under Part IV D.2]</i>		
3. Methods/ Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>		
4. Rate of execution for key items	<i>[insert execution rates for key items]</i>		
5. Other Characteristics	<i>[insert other characteristics as appropriate]</i>		

Note: Rate implies the quantity executed in unit time e.g. cubic meters of earthwork per annum, etc.

* Refer ITA 13.3 for date and source of exchange rate.

* Final Acceptance Certificate /Completion Certificate / Testimonial Letters issued by the employer/ Concession Granting Authority in case of SPV to be attached for each contract, in an orderly fashion

Form E.1.1**Certified Management System**

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to :*[Insert name of JV leader or JV member/s]*

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the quality management system accreditation]	[indicate certificate identification number and mention which process or discipline has been certified and inclusions]	[dd/mmm/yyyy]	[dd/mmm/yyyy]	[indicate the name of the certifying organization and contact details]

Copy of ISO Certificate(s) to be attached

Form E.2.1

Undertaking

The Applicant must on the Letter Head of its Company submit that it can avail and deploy all the key temporary tools and equipment, required during the execution of the Project.

Form E.3.1

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.3 :

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form E.4.1

Manufacturer's Authorisation (Undertaking)

[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) to bid for the supply of equipment for the tender under reference;
- (b) confirming guarantee/ warranty support for the equipment bid for by us; and
- (c) confirming that the equipment being bid for to be supplied shall be new and unused.

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

<u>Eligibility, Confirmation and Other Important Information</u>	<u>Response*</u>
1. Is your firm, either singly or in joint venture currently empaneled or prequalified as an EPC contractor. If yes, please provide details of Client, Country and Scope of EPC. Attach additional sheets, if needed.	Confirm: Yes___/No _____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes___/No _____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes___/No _____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No _____
5. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No _____
6. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes___/No _____
7. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes___/No _____
8. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Confirm: Yes___/No _____ Yes___/No _____ Yes___/No _____ Yes___/No _____

9. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ___
---	----------------------------

** Note: To confirm, print "Yes", else "No"*

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date: