

Application Document

for

Pre-qualification of
Project Management Consultants [PMC]
for Construction of Dual Gauge Railway
Line from Bogra to Shahid M. Mansur Ali
Station of Bangladesh Railway [79.30 km
Dual Gauge main and 11.35 km Dual gauge
loop line] in Bangladesh.

Export-Import Bank of India

Date: February 14, 2019

INVITATION FOR PREQUALIFICATION OF PMC FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consulting and non-consulting services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to- time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian entities for Prequalification of Project Management Consultant for Pre-qualification of Project Management Consultant [PMC] for Construction of Dual Gauge Railway Line from Bogra to Shahid M. Mansur Ali Station of Bangladesh Railway [79.30 km Dual Gauge main and 11.35 km Dual gauge loop line] in Bangladesh.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant Name	<Applicant Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	AXIS BANK, CUFFE PARADE
[iv]	Account No.	447010200000268
[v]	IFSC Code	UTIB0000447
[vi]	GST Number	27AAACE2769D1ZS

The preparation and submission of Application for prequalification is **required in dual mode(on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by **15:00 hours, March 18, 2019** at the following, address:

Mr. Ajay Rana
Assistant General Manager
Lines of Credit Group
Export-Import Bank of India, 7th Floor, Office Block 1,
East Kidwai Nagar
New Delhi – 110023
Tel: +91 11-2460 7750
E-mail: eximloc@eximbankindia.in

This Application Document (AD) consists of the following Parts:

Part I. Scope of Consulting Services

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/ procuring entity subsequent to this prequalification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification (“Applications”) and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document for

Pre-qualification of
Project Management Consultants [PMC]
for Construction of Dual Gauge Railway
Line from Bogra to Shahid M. Mansur Ali
Station of Bangladesh Railway [79.30 km
Dual Gauge main and 11.35 km Dual gauge
loop line] in Bangladesh.

**Invitation for Prequalification No.:
GOILOC-274/BOG-SMMA-BGD/CS-14**

Issued on: February 14, 2019

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Part I: Scope of Consulting Services

The Export-Import Bank of India (Exim Bank or the Bank), on behalf of the Government of India (GOI), has approved financing of a project for Construction of Dual Gauge Railway Line from Bogra to Shahid M. Mansur Ali Station of Bangladesh Railway [79.30 km Dual Gauge main and 11.35 km Dual gauge loop line] in Bangladesh, under a Line of Credit (LOC) extended to Government of Bangladesh and a part of the amount is allotted for PMC services, for which the Bank now seeks application for prequalification from eligible entities. Refer Part II ITA 4 for eligibility provisions.

A] Description of the Project and Consultant Services

The Ministry of Railways, Government of Bangladesh has initiated the project for Construction of Dual Gauge Railway Line from Bogra to Shahid M. Mansur Ali Station of Bangladesh Railway [79.30 km Dual Gauge main and 11.35 km Dual gauge loop line] in Bangladesh. The scope of work under the project includes:

- Consultancy Services for Updating Feasibility Study, Detail Engineering Design, Mathematical Modeling, Tendering Services and Construction Supervision, Services during Defect Liability Period.
- Conduct detail topographic and hydrographic survey.
- Identify the possible alternative alignments and recommend the best suitable alignment for the project.
- Find out whether the project will be technically, economically, financially, environmentally and socially viable.
- Prepare Initial Environment Examination report, Environmental Impact Assessment, Environmental Management Plan & Environmental Monitoring Plan etc.
- Prepare Land Acquisition Plan and Resettlement Plan.
- Conduct detailed engineering survey including sub-soil investigation along the alignment, at bridge locations.
- Conduct hydrological & morphological survey.
- Prepare detailed engineering design and drawings of all infrastructures including bridges, embankment, railway track, stations, signaling and all other facilities.
- Prepare detailed cost estimate on the basis of the detail design and drawing and verify the same with the DPP. Also prepare the revised DPP [RDPP] if required.
- Prepare tender documents in conformity to the procurement rules of Bangladesh
- Provide tendering services of all sorts including preparation of RFP, selecting contractor, bid evaluation, provide assistance to the project authority for award of contract, including contract negotiation and finalizing the contract documents.

Phase I: Updating Feasibility Study, Detailed Engineering Design and Tendering Services:

1. Updating Feasibility Study:

Updating Feasibility study of the project will include all sorts of physical surveys and determination of the viability of the project. The study in detail shall cover the following tasks but not limited to:

(i) Detail Topographic Survey:

- Conduct Detail Topographic survey using latest technology and equipment (e.g. RTK- GPS, DGPS, Total Station etc.) along the project area to identify suitable alignment;
- Processing and analysis of collected data according to the requirement;
- Propose possible alternative alignments for the project;
- In identifying alignment, safety of the running train, roadway vehicles, passengers, pedestrians, surrounding infrastructure should be carefully considered;
- Create a video document along the alignment showing surroundings. Image of the alignment should also be taken;
- Producing map showing important details. Scale of the map should be such that all the required information is clearly visible;
- Produce strip map for both sides of the possible alignments for a distance 100 m (One hundred meters). Strip map should show buildings (including type of buildings), road, bridge/culvert, electric pole, Mazar, grave yard, playing field, cultivable land, fallow, pond, canal, river or whatever fixed or semi fixed structures that needed to be shown on the map for informed decision;
- Recommendation for best suitable alignment duly considering the topography, land formation, commercial aspects, economical and safeguard considerations, existing infrastructures of the area, ongoing and future development plan and schemes of both the Government of Bangladesh and Private sectors in the area;

(ii) Hydrographic Survey for Hydrological & Morphological Studies as a collaboration on work with Institute of Water Modelling [IWM]:

- Collecting bank line/ bathymetry/ cross-section and sediment data of the major rivers to be crossed by the proposed rail line;
- Collect water level and measure discharge of the major rivers adjacent to the proposed rail track and other hydrographic survey if needed;
- Processing and analysis of collected data and assessment of existing hydrological and hydraulic conditions of the project area;
- Collect available hydrologic and hydrographic data and maps and satellite images of the project area (from secondary sources);
- Selection of location of bridges;
- Developing two-dimensional morphological model for large rivers to be crossed by the rail line to facilitate construction of required bridges (bridge length more than 100m) with hydraulic design parameters;

- Identifying possible threats on the bridges due to hydro-morphological processes of the rivers including river bed and bank erosion;
- Identifying mitigation measures to be taken for the proposed rail bridges to counteract anticipated adverse situation, and Reporting.

(iii) Traffic forecast:

- Conduct detail traffic survey and collect both primary and secondary data to find out actual demand of transportation facilities in the section. Analyze the existing traffic (Both Passenger & Goods) of various modes of transports. Identify possible traffic forecast duly considering various development activities of the government and private entities;
- Estimate the traffic demand that can be met by existing railway infrastructure including ongoing projects;
- Estimate the traffic that can be attracted by proposed rail line.

(iv) Economic and Financial Feasibility:

- Economic and Financial analysis for the project is to be prepared in accordance with the international standard practice and according to the guidelines of planning commission of Bangladesh for considering the project viable. Provide all analysis and calculations of costs and benefits of the project to the executing agency. Prepare sensitivity and risk analysis in relation to changes in key parameter.
- Assess the need and justifications of the proposed project. Assess probable effects upon project implementation including direct and indirect effects. Assess benefits of the proposed project, not only in terms of financial or economical, but also in terms of safety, environmental impacts, less transportation costs, poverty reduction, enhancement of trade and commercial activities likely to be created as an outcome of the projects.

(v) Environmental Considerations:

- The project will have to be screened for its environmental impacts compliance according to the Government's Guidelines. The consultant will have to prepare Initial Environmental Examination (IEE), Environment Impact Assessment (EIA) and Environment Management Plan (EMP) report etc., as applicable for the project. All the documents will have to be in conformity with the requirements of Department of Environment (DoE), Government of Bangladesh and the consultants will also provide technical assistance to BR in getting clearance from DoE.
- The Environmental Impact Assessment (EIA) report shall cover but not be limited to the following major environmental and social issues:
 - Human Interest
 - Terrestrial Ecology
 - Aquatic Ecology
 - Physio-chemical

- The EIA report will clearly identify the environmental parameters/resources to be impacted by the project, make a quantitative or qualitative assessment of magnitude of each impact and devise mitigation measures to eliminate or reduce adverse environmental impacts as well as enhancement measures for the improvement of environment. The report will also contain a monitoring program for continuous evaluation of situation during implementation and operation of the project.
- The EIA Report must contain the following with others :
 - Delineation of impact area
 - Environmental Issues & Impact Analysis with sufficient explanations
 - Quantitative assessment of environmental and social impacts (qualitative evaluation is acceptable where quantitative evaluation is not possible)
 - Mitigation measures/ plan for adverse impacts with provisions for environmental enhancement
 - Monitoring Plan/ Program
 - Quantification of impact in each field should be supported by sufficient reasons and calculations.

(vi) Socio-economic survey:

The baseline socio-economic survey is to be designed to capture information on the PAPs' resources, employment, and vulnerability. Define categories for impact and eligibility of affected people for compensation and prepare a matrix of entitlements covering compensation and other assistance for all types, of impacts to fully replace lost assets, income, and livelihood. Assess whether the compensation- standards for all types of assets, crops, and trees are based on replacement value and discuss in detail the valuation methodology used. Identify specific measures for severely affected poor people, ethnic minorities, or other vulnerable households. The survey data will lead to the development of management information system (MIS) that will help consultation process and assist in planning and implementation.

(vii) Project Location Map:

A project location map shall be prepared showing the RoWs of the proposed rail line, surrounding areas likely to be environmentally and socially affected due to the project interventions and topographic contours. The map, apart from delineation or international, district and upazila boundaries, will depict physical features like major surface waters, roads, railways, town centers, parks and reserves etc. The map will be prepared to appropriate scale with necessary legends using computer program and the same be printed in A-3size paper for incorporation in the report's.

(viii) Land Use Map:

A land use map shall be prepared illustrating existing land use including industrial, residential, commercial, institutional and agricultural development in the project area.

The map will be prepared to suitable scale with appropriate legends using computer program and the same be printed in A-3 size paper for incorporation in the reports.

(ix) Land Acquisition Plan (LAP):

Prepare a Land Acquisition Plan (LAP) with cost that is acceptable to the Government in compliance with the Requisition of Immovable Property Ordinance (1982) of Bangladesh and relevant laws and regulations related to land acquisition and resettlement. Collect Cadastral Survey (C.S.) and Revenue Survey (R.S.) (Mouza) Maps & other documents and scan & digitize those maps, transfer them to an electronic database and submit to the project authority on tracing cloth duly traced showing the rail route plotted on it and minimum amount of land to be acquired. Mouza maps showing the proposed alignment including acquisition and requisition boundaries, plot number, labels of mouza, upazila and districts homesteads, angle at change of direction of alignment, and other physical features shall be prepared using CAD program to suitable scale with appropriate legends for incorporation in the RP report. The map will constitute a basis for calculation of land acquisition and requisition areas of the affected plots at the pre-project condition.

2. Detailed Engineering Design & Estimation

This will include all sorts of physical investigations, preparation of detail engineering design & estimate of the project, revising the DPP if required. It shall cover the following tasks but not limited to:

- Conducting detail soil investigation along the alignment.
- Detail Design of all the structures. This will include design of embankment, railway track, bridge, viaduct, stations and yards, water supply system, signaling and telecom, facilities for rolling stocks (carriages, Locomotive, BFCT etc.), residential & functional buildings, other facilities and electrical design of station building and other infrastructures.
- Dynamic analysis of the main bridges, viaducts & other structures should be performed where applicable according to the international best practices.
- Stability of all the structures should also be checked against earthquake & wind loads where applicable according to the international best practices.
- Prepare detail cost estimates for the proposed project, showing foreign exchange, local currency, tax and duty elements etc. Cost estimates will be conducted keeping in view of the international trends in price fluctuation and exchange rates, international shipping rates and steel prices, which are undergoing rapid changes.
- Economic and Financial Analysis of the project based on the cost of detail design.
- Assist Project Director in revising the Development Project Proforma (DPP) based on the detailed cost estimate if required.
- Preparation of construction work plan in detailed.
- Incorporate comments from client and finalize report.

3. Preparation of Bid Documents and provide bidding services

It shall cover the following tasks but not limited to:

- Preparation of bills of quantities (BOQ) of all designed components based on detailed cost estimate. Bill of quantities and tender base prices would be fixed accordingly.
- Preparation of bid documents in conformity with procurement rules of Bangladesh Incorporate the recommendation of Environmental Mitigation Plan (EMP) and Social Impact Assessment (SIA)
- Provision of bidding evaluation assistance services to the bid evaluation committee for selection of contractor including assistance in bid evaluation, award of contract, contract negotiation and finalizing the contract documents.

Phase II: Construction Supervision including implementation of SMP & RP as collaboration work with NGO and Services during Defects Liability Period

The scope of the services provided by the Consultant shall include all the services required from the Employer's Representative and the services that would be outlined and defined in the Condition of Contract Document (CCD). The services to be provided by the Engineer shall cover the following categories:

- a) Contract Management, Administration and Planning;
- b) Review of working detailed design and other technical support;
- c) Construction Supervision, Testing and Inspection;
- d) Review of IPC
- e) Environmental Aspects;
- f) Defects Liability Period.

B] Consulting Service Period

The role of the PMC shall continue until the end of the EPC contract. The total duration of the services is expected to be Seventy Two (72) months [First phase of 12 months for validation of DPR / assistance in tendering process and second phase for PMC services]. There may be time lag between the first phase and second phase.

C] GOI Guidelines

As per the GOI guidelines, goods, works and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above for details available at <http://www.eximbankindia.in/sites/default/files/C.pdf>

Part II. Instructions to Applicants [ITA]**Table of Articles**

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Part II. Instructions to Applicants

A. General

- 1. Background**
 - 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Pre-qualification**
 - 2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, Scope of Consulting Services and subject to the provisions of this Application Document.
- 3. Ethics and Integrity**
 - 3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts¹, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
 - 3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
 - 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has

¹ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

violated the provisions stated in ITA 3.1 above, the Bank, inter alia,

- a. shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- b. may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been blacklisted/ debarred/ sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and

procedures, shall be ineligible to participate in the prequalification/ bidding process as applicable, during such period of time as the sanctioning authority shall have determined. Subsequent to the deadline for Application submission, in case the Applicant is blacklisted/ debarred/ sanctioned, the Applicant shall immediately inform Exim Bank of such debarment/sanction and reasons thereof.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if it has defaulted on any loan to any Bank/ Financial Institution (FI) as per Central Repository of Information on Large Credits (CRILC) database or otherwise or its account has been classified as Non-Performing Asset (NPA) with any Bank/FI or their companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed) and Credit Information Bureau India Ltd. (CIBIL) Defaulter List, updated from time to time. On being included in any of the above lists post the prequalification process, the Applicant shall immediately inform Exim Bank on the inclusion and reasons for inclusion thereof.
- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Consulting Services
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV. Prequalification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document

in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language

- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English

language, which shall be the governing language.

10. Documents constituting the Application

- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11.1;
 - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **DS**.

11. Application Submission Form

- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.

12. Documents Evidencing the Applicant's Eligibility

- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).

13. Documents Evidencing the Applicant's Qualifications

- 13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with requirement may result in summary rejection of the Application
- 13.2 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:
- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
 - (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**;
 - (c) for data related to contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the

- USD equivalent; and
 (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the DS.

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| 14. Authorized Signatory and Number of Copies | 14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV. |
| 15. Preparing the Application Envelope | <p>15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:</p> <ul style="list-style-type: none"> (a) be addressed to the Bank, as per ITA 16.1; (b) mention the name and address of the Applicant; and (c) mention the specific identification of this prequalification process indicated in the DS ITA 2.1. <p>15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.</p> |
| 16. Application Submission Deadline | <p>16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.</p> <p>16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.</p> |
| 17. Late Applications | 17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant. |
| 18. Opening of Applications | 18.1 The Bank shall open all Applications at the date, time and place specified in the DS . Applicants' representatives may be present during opening. |

D. Procedures for Evaluation of Applications

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| 19. Evaluation to be Confidential | 19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25. |
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19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation

20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Pre-qualification of Applicants

22. Evaluation of Applications

22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.

22.3 Only the qualifications of the Applicant shall be considered. In particular, the qualifications of a parent or other affiliated company that is not party to the Applicant under a JV in accordance with ITA 4.3 shall not be considered.

- 22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.
- 23. Bank's Right to Accept or Reject Applications**
- 23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 24. Pre-qualification of Applicants**
- 24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be pre-qualified by the Bank.
- 25. Notification of Prequalification**
- 25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.
- 25.2 Applicants that have not been pre-qualified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not pre-qualified.
- 26. Subsequent Invitation for Bids**
- 26.1 The Bank shall forward the list of pre-qualified firms to the Borrower for subsequent invitation of bids.

Part III. Data Sheet (DS) to ITA

A. General

ITA 2.1

The identification of the Invitation for Pre-qualification [IFP] is:
GOILOC-274/BOG-SMMA-BGD/CS-14

The Bank is:
Export-Import Bank of India
7th Floor, Office Block 1,
East Kidwai Nagar
New Delhi – 110023
Tel: +91 11-2460 7750
Email: eximloc@eximbankindia.in
Website: www.eximbankindia.in

ITA 4.3

The lead partner of the JV shall be an Indian Consultant with the highest share in the JV which shall not be less than 51%. The maximum number of other members in the JV shall be such that each of the other members should have at least **20%** share. The JV can be either an incorporated JV company or an unincorporated consortium/ association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.

The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:

- Names of the Lead Partner and each of the other members
- Percentage share of each JV member
- Confirmation of Joint and Several Liability of all members of the JV

The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Consultant and non-prequalified Consultant or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.

The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.

In the event of award of final contract to any JVCA, all the payments

	<p>to the JVCA shall be made to the account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p>
ITA 4.6	<p>A list of debarred firms and individuals by: the World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>the African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>the European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>the Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>

B. Contents of the Application Document

ITA 6.1	<p>For clarification purposes, the Bank's address is:</p> <p>Mr. Ajay Rana Assistant General Manager Lines of Credit Group Export-Import Bank of India 7th Floor, Office Block 1, NBCC Complex, East Kidwai Nagar New Delhi – 110023 Tel: +91 11-2460 7750 E-mail: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
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C. Preparation, Submission & Opening of Applications

ITA 10.1 (d)	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <u>On-line mode of Application</u> The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p>
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	<p>For details for submitting Online Application, please refer the above portal.</p> <p><u>Minimum Requirements:</u></p> <ol style="list-style-type: none">1. Computer/Laptop with Internet connection.2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit.3. Java Version: 1.8 or above4. Mozilla version should be 45.0 and above(x86 only i.e OS of 32 Bit Version)5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.
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<p>ITA 10.1 (d)</p>	<p><u>For any Online technical clarification, kindly contact:</u></p> <p>Bob EProcurePvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069</p> <p>Contact Person: Ms. Alka Pillai Mob: +91-9148982205 +91-9148998467 Email: pqsupport@bobeprocure.in</p> <p>ii) <u>Off-line mode of Application</u></p> <p>The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u></p>
<p>ITA 13.2(a)</p>	<p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL)(https://www.fbil.org.in/)</p> <p>In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p>

ITA 13.2(b) & (d)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr></table>		USD 1 = INR	1 INR = USD	31-Mar-11	44.6500	0.0224	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154
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31-Mar-16	66.3329	0.0151																										
31-Mar-17	64.8386	0.0154																										
31-Mar-18	65.0441	0.0154																										
ITA 13.2(c)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>70.9408</td><td>0.01409</td></tr></table>	USD 1 = INR	1 INR = USD	70.9408	0.01409																							
USD 1 = INR	1 INR = USD																											
70.9408	0.01409																											
ITA 14.1	<p>Additionally, one (1) copy in pen drive shall also be submitted.</p> <p>The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>																											
ITA 16.1	<p>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).</p> <p>The deadline for Application submission is: Date: March 18, 2019 Time: 1500 hrs For application submission purposes only, the Bank’s address is:</p> <p>Bank’s address is the same as that indicated in ITA 2.1</p> <p><i>Attention: Mr. Ajay Rana, Assistant General Manager</i></p>																											
ITA 19.1	<p>The opening of the Applications shall be at the Bank’s address as that indicated in ITA 2.1</p> <p>Date: March 18, 2019</p> <p>Time: 1530 hrs</p>																											

Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

Contents

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C	Financial Status and Capacity	29
D	Experience	30
E	Skilled Human Resources	32-33

A. General

A.1 Nationality	
Requirement:	<ul style="list-style-type: none">➤ The Applicant's Nationality shall be in accordance with ITA 4.4➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted	Form A.1.1 and A.1.2
Attachments to be submitted	<ul style="list-style-type: none">➤ Articles & Memorandum of Association, Certificate of Incorporation / Partnership Deed of the Applicant in accordance with ITA 4.4➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV

A.2. Eligibility	
Requirement:	<ul style="list-style-type: none">➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7.➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none">➤ The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.➤ In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with copy (self-attested) of relevant Board Resolutions or equivalent documents.<ul style="list-style-type: none">➤ For an Applicant as a single entity or in case of JV, for each member:<ul style="list-style-type: none">○ Permanent Account Number (PAN): Self attested copy○ TIN & GST Registration details, as applicable: Self attested copy

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> • There shall be no instance of contract² non-performance as a result of Applicant's default since February 01, 2014 (in case of JV, each member shall meet the requirement). • The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application. • Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	<ul style="list-style-type: none"> • Applicant shall have a positive*adjusted net worth determined as per the financial statements for the financial year FY 2017-18 and the contractual litigation value as considered below net of provision made in the audited financial statements for FY 2017-18: <ul style="list-style-type: none"> ○ Litigation value shall be deemed to be 25% of the aggregate value of pending litigation across all contracts and net of provisions, this value shall be deducted from the net worth to arrive at the adjusted net worth. ○ Documentation and Attachments to be submitted as mentioned below ○ In case of JV, each member shall meet the requirement. <p><i>*Note: Net worth – [25% of Litigation Value – provisions already made] = positive</i></p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

B.3 Contract Litigation History	
Requirement:	<ul style="list-style-type: none"> • The aggregate amount of court/arbitration

²Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

	awards related to contractual litigation against the Applicant ³ , if any since February 01, 2014 , shall not exceed 25% of net worth as per the latest financial statements (in case of JV, each member shall meet the requirement). <ul style="list-style-type: none"> Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status	
Requirement:	<p>(i) The audited balance sheets and profit & loss account/ annual report for the last three financial years (FY 2015-16 to FY 2017-18) shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ul style="list-style-type: none"> a) Positive net worth for each of the last three (3) years as defined above; b) Profit (i.e. Profit Before Tax) for at least one (1) out of last three (3) years as defined above c) Annual Design and Work Revenue⁴ in any one (1) of the last three (3) financial years as defined above, be not less than USD 2.10 million. <p>In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form C.1.1 and Form C.1.3 both certified by the Statutory Auditor of the Applicant (in case of JV, for each member of the JV). Form C.1.2 [in case of JV, for each member of the JV]
Attachments to be submitted:	a) The Applicant and its parties shall provide copies of audited financial statements for the last three (3) years as defined above (FY 2015-16 to FY 2017-18). The financial statements shall:

³The Applicant (each member in case of a JV) shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years

⁴Exchange rate prevalent as on the date of Invitation for Prequalification (IFP) shall apply

	<ul style="list-style-type: none"> b) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member). c) be independently audited and certified by the Statutory Auditor/ Chartered Accountant in accordance with applicable legislation. d) be complete, including all notes to the financial statements. e) correspond to accounting periods already completed and audited. f) Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application.
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D. Experience

D.1 General Experience	
Requirement:	<ul style="list-style-type: none"> ➤ Experience as PMC/ Lender's Engineer/Owner's Engineer/ Supervision Engineer for Design and Build /Design Engineering/ construction/ track works/rail lines construction contracts in the role of Prime Consultant or JV member to the main client, in India, during the last 7 years, starting February 01, 2012 and up to application submission deadline. (In case of JV, each member shall meet the requirement.) ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<p>Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates.</p> <ul style="list-style-type: none"> ➤ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. ➤ Sub consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.

D.2 Specific Experience	Refer Part I – Scope of Consulting Services																		
Requirement:	<p>A. Experience as PMC either as a prime consultant, or joint venture member to the main client/project authority for Railway projects. Additionally, the PMC contract for the respective project should have been satisfactorily completed, between February 01, 2012 and application submission deadline:</p> <table><tr><td></td><td>No. of Contracts</td><td>Contract covering laying of Railway line (in Km)</td></tr><tr><td>Option I</td><td>3</td><td>36 Km</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>45Km</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>73Km</td></tr></table> <p>B. In case of JVCA, the requirement shall be met by the JVCA on a combined basis i.e. contract/project values of each member shall not be aggregated but number of contract(s)/project(s) by individual members meeting the value can be aggregated to meet the requirement on number of contracts.</p> <p>In addition to the above, each member of JVCA shall demonstrate experience of at least one project of 50% of the value of projects handled indicated above.</p> <p>In case, completed project cited by an Applicant was supervised as member of JV/ Consortium/ JVCA, credentials for same shall be considered based on role and responsibility of the Applicant under the contract.</p> <p>C. Sub consultants’ experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant’s compliance.</p> <p>D. Documentation and Attachments to be submitted as mentioned below</p>		No. of Contracts	Contract covering laying of Railway line (in Km)	Option I	3	36 Km	OR			Option II	2	45Km	OR			Option III	1	73Km
	No. of Contracts	Contract covering laying of Railway line (in Km)																	
Option I	3	36 Km																	
OR																			
Option II	2	45Km																	
OR																			
Option III	1	73Km																	
Documentation to be submitted:	Form D.2.1																		
Attachments to be submitted:	Final Acceptance/ Completion Certificate/ Testimonial issued by the employer for each contract listed in Form D.2.1.																		

E. Skilled Human Resources

Requirement:	<ul style="list-style-type: none"> Applicant shall indicate that it can deploy personnel for the key positions that meet the following requirements : 				
	Proposed position	Minimum Desirable Qualification/ Experience	Minimum Relevant Experience (in years)	Total Experience Post-Qualification (in years)	Number of Positions Required
	(Team Leader cum Rly. Track engineer) (Senior)	Graduate in Civil Engineering/ Railway Engineering. Minimum 20 years working experience in this field as railway Track engineer. Having 8 years' experience or similar Study & detailed design and at least 5 years working experience as Team Leader	8	20	1
	Railway Track Engineer (Senior)	Graduate in Civil Engineering/ Railway Engineering. Experience in the relevant field & having at least 5 years proven experience of similar study required.	5	15	1
	Geotechnical foundation Engineer (senior)	Graduate in Civil Engineering. 15 years of experience in the related field as geotechnical /foundation engineer.	5	15	1

Part IV. Prequalification Criteria and Requirements

	Railway Bridge Engineer(Senior)	Graduate in Civil Engineering	8	10	1
	Signaling and Telecommunication Engineer (Senior)	Graduation in Electrical Engineering or relevant subject	10	12	1
	Contract Specialist	Graduate in Law or relevant subject	10	12	1
	Structural Engineer	Graduate in Civil Engineering or relevant subject	8	10	1
	<ul style="list-style-type: none"> The applicant is required to submit an undertaking to engage and deploy personnel as above Documentation and Attachments to be submitted as mentioned below. 				
Documentation to be submitted:	Form E				
Attachments to be submitted:	The applicant is required to submit an undertaking to engage and deploy personnel as above.				

NOTE:

The Evaluation Criteria for Pre- Qualification of Applicants

Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis

Part V. Forms

Table of Forms

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Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be pre-qualified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/ sanctioned in accordance with ITA 4.5; Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we have not defaulted in any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) and CIBIL Defaulter List, updated from time to time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the pre-qualified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Pre-qualification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/ are not facing (strike off whichever is not applicable) any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)
- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of JV Member	
*	3. PAN and GST Registration Details of JV Member	
*	4. Organizational chart of JV Member	

*	5. List of Board of Directors with their complete designation in case of nominee directors of JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditor of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 5 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual Turnover, certified by the Statutory Auditor of the Applicant	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the	

	Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	3. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.3.1	Skilled Human Resources	
E.4.1	Manufacturer's Authorisation (Undertaking)	
Part VI. *	Questionnaire for Applicants	

Note : i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1
Applicant Information Form

(To be filled by Applicant if being a Single Entity or as Lead member of JV)

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms.

Form A.1.2

Applicant's JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

<p>Applicant name:</p> <p><i>[insert full name of the JV]</i></p>
<p>Applicant's JV Member's name:</p> <p><i>[insert full name of Applicant's JV Member]</i></p>
<p>Applicant's JV Member's country* of registration:</p> <p><i>[indicate country of registration]</i></p>
<p>Applicant's JV Member's date of constitution:</p> <p><i>[indicate date of constitution in dd/mmm/yyyy]</i></p>
<p>Applicant's JV Member's legal address registered in India:</p> <p><i>[insert street/ number/ town or city/ country]</i></p>
<p>Applicant's JV Member's authorized representative information</p> <p>Name: <i>[insert full name]</i></p> <p>Address: <i>[insert street/ number/ town or city/ country]</i></p> <p>Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i></p> <p>E-mail address: <i>[indicate e-mail address]</i></p>
<p>1. Attached are copies of original documents of Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4.</p> <p>2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.</p>

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms.

Form B.1.1/ B.2.1/ B.3.1**Past Contract Non-Performance, Pending Litigation and Litigation History**

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	Non-performed portion of Contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form B.2.1				
Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2				
<input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

Form B.3.1			
Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form C.1.1**Financial Status and Capacity**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

1. Financial data

Type of Financial information in	Historic information for last 3 years, (amount in Indian Rupees)				
FY	FY 2015-16		FY 2016-17		FY 2017-18
Sources of Fund					
Share Holder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current Assets, loans and advances					
Less: (ii) Current liabilities & provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					

Networth					
Networth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
Information From Cash flow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

Form C.1.2**Sources of Finance**

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

Applicant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name: [insert full name]
IFP No. and title: [insert IFP number and title]
Page [insert page number] of [insert total number] pages
Information pertaining to: [Insert name of JV Leader or JV member/s]

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	a			
	b			
	C			
	Total Non –fund Based			
	Total Fund and Non-Based Limit			

- B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last three years [FY 2015-16 to FY 2017-18] pursuant Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV, for each member and not of an affiliated entity (such as parent company or group member);
- (b) be independently audited or certified in accordance with local legislations;
- (c) be complete, including all notes to the financial statements;
- (d) correspond to accounting periods already completed and audited.

- ☐ Attached are copies of financial statements for the **three** years required above; and complying with the requirements.
- ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed & stamped.
- ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

Form C.1.3**Annual Consultancy Turnover**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

Annual Consultancy Revenue Data						
Year	PMC Revenue Amount (INR)			Total Consultancy Revenue #	Exchange Rate*	USD equivalent
	By PMC Contracts in India	By Overseas PMC Contracts	Total PMC Revenue			
2015-16						
2016-17						
2017-18						

* Refer ITA 13.2 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate revenue for respective financial year

Total Consultancy Revenue would include revenues from all consultancy contracts including PMC contracts.

Form D.1.1**General Experience**

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

[List contracts that demonstrate experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/mm/yyyy]</i>	<i>[indicate date as dd/mm/yyyy]</i>		<i>[insert "Prime Consultant" or "JV Member"]</i>

* Refer ITA 13.2 for date and source of exchange rate

Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion

Form D.2.1**Specific Experience**

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification/ Title	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	Contractual: <i>[dd/mmm/yyyy]</i>		Actual: <i>[dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Consultant <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Brief Scope of the Services as per Contract			
Narration of the Actual Services Rendered			
Key Staff Positions and Names of Staff			

Total Staff Months by your firm	
Total Staff Months by Associate firm (if applicable)	

* Refer ITA 13.2 for date and source of exchange rate.

Final Acceptance Certificate/Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion

Annexure-I**Form E.****Skilled Human Resources**

[Furnish details for key staff pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor E. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E. :

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

<u>Eligibility, Confirmation and Other Important Information</u>	<u>Response*</u>
1. Is your firm, either singly or in joint venture currently empanelled or prequalified as a PMC. If yes, please provide details of Client, Country and Scope of PMC. Attach additional sheets, if needed.	Confirm: Yes___/No _____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes___/No _____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes___/No _____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No_____
5. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No _____
6. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes___/No_____
7. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes___/No_____
8. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions	Confirm: Yes___/No _____ Yes___/No _____

(iii) to be responsible for the performance of the work executed by your firm	Yes___/No _____
(iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Yes___/No _____
9. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ____

** Note: To confirm, print "Yes", else "No"*

(Signature)

[Name of Authorized Signatory]

[Title] of Authorized Signatory

Date: