

Application Document

For

Prequalification of Indian Entities for Rehabilitation
and upgrade of “De Melkcentrale N. V.” Milk
Processing Plant in Suriname including Supply,
Installation, Testing & Commissioning of Ultra High
Temperature (UHT) Equipment

Export-Import Bank of India

Date: May 12, 2020

INVITATION FOR PREQUALIFICATION OF SUPPLY CONTRACTORS FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments / their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to-time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian entities for Prequalification for Rehabilitation and upgradation of “De Melkcentrale N. V.” Milk Processing Plant in Suriname including; Supply, Installation, Testing and Commissioning of all the necessary equipment under a Line of Credit extended to the Government of the Republic of Suriname.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

| | | |
|-------|-------------------------|-------------------------------|
| [i] | Applicant Name | <Applicant Name> |
| [ii] | Name of Beneficiary | Export-Import Bank of India |
| [iii] | Beneficiary's Bank Name | Export-Import Bank of India |
| [iv] | Account No. | HO00INR11011004 |
| [v] | IFSC Code | EIBI0HO0001 |
| [vi] | GST Number | 27AAACE2769D1ZS |
| [vii] | Narration for NEFT | GOILOC-304/Suriname/Supply-10 |

The preparation and submission of Application for Prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, June 15, 2020 at the following address:

Mr. Ashok Kumar Vartia
Deputy General Manager
Export-Import Bank of India
Office Block, Tower 1
7th Floor, Adjacent Ring Road
Kidwai Nagar [East]
New Delhi -110023
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.in

Application Document (AD) consists of the following Parts:

Part I. Scope of Supply

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/ Procuring Entity subsequent to this prequalification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification (“Applications”) and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document

For

Prequalification of Indian Entities for Rehabilitation
and upgrade of “De Melkcentrale N. V.” Milk
Processing Plant in Suriname, including Supply,
Installation, Testing & Commissioning of Ultra High
Temperature (UHT) Equipment

Invitation for Prequalification No.:
GOILOC-304/Suriname/Supply-10

Issued on: **May 12, 2020**

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Part I: Scope of Supply

The Export-Import Bank of India (Exim Bank or the Bank), on behalf of the Government of India (GOI), has approved financing of a project out of a Line of Credit extended to the Government of Suriname for- Rehabilitation and up gradation of De Melkcentrale N. V. Milk Processing Plant in Suriname. The LOC shall be utilised for Supply, Installation, Testing & Commissioning of machinery for which Exim Bank now seeks applications for prequalification from eligible Indian Entities. Refer Part II ITA 4 for eligibility provisions.

A] Background and Major Components of the Project

De Melkcentrale N.V. is one of the leaders in producing Milk and Milk products in Suriname and has a market share of approx. 60%. At the same time, this state dairy company adheres to Government policy to motivate, increase and improve the production of raw Milk by local farmers. Government policy focuses on three main areas: improvement of the food security and food safety for the total population, increase in income of the farmer and processor, increase in contribution of the sector to the national economy. It is noteworthy that the Melkcentrale is the only company that processes local raw Milk.

During the past few years, imported sterilized Milk entered the Suriname market. The selling price of this product is quite high as compared to pasteurized Milk, the price of which is fixed by the Government. Sterilized Milk has been fully liberalized. In addition to sterilized Milk, yoghurt and fruit yoghurt are imported from abroad. Market research shows that there is a high local demand for it. Because of the limited storage quality of Pasteurized Milk, it is not distributed in the rural areas and the hinterland.

The policy of the Government is to make Milk accessible for everyone. That is precisely the reason why the processing capacity needs be increased/enhanced with an Ultra-High Temperature (UHT) processing line. Besides this, the intention is also to improve the quality of the cow Milk collection by establishing Milk collection centres in the Milk producing areas and the introduction of cooled transportation.

Scope of Supply

The scope of the project involve the supply of equipment and machinery, erection, installation and commissioning, training to Melkcentrale personnel and routine testing of equipment. The project will involve supply of the following equipment/ facilities but not limited to;

- i) an UHT plant with filling machines;
- ii) equipment related to pasteurization;
- iii) equipment for production of yoghurt;
- iv) equipment for renovation of the existing plant;
- v) laboratory equipment;
- vi) Construction works for installation of the new machines;
- vii) Cooling equipment for the Milk collection centres

Power, water utilities, compressed air and other utility services are not part of the scope and will be provided by the client up to the connection points with the supplied equipment. The supplier is

responsible to provide Melkcentrale N.V. in the detail engineering phase a list and the detail drawings for all utility services required.

B] Contract execution period

The duration of the contract is expected to be 12 (Twelve) Months.

C] Other Information

Suriname, situated on the Guiana Shield, lies mostly between latitudes 1° and 6°N, and longitudes 54° and 58°W. The country can be divided into two main geographic regions. The northern, lowland coastal area (roughly above the line Albina-Paranam-Wageningen) has been cultivated, and most of the population lives here. The southern part consists of tropical rainforest and sparsely inhabited savanna along the border with Brazil, covering about 80% of Suriname's land surface.

The two main mountain ranges are the Bakuys Mountains and the Van Asch Van Wijck Mountains. Julianatop is the highest mountain in the country at 1,286 metres (4,219 ft) above sea level. Other mountains include Tafelberg at 1,026 metres (3,366 ft), Mount Kasikasima at 718 metres (2,356 ft), Goliathberg at 358 metres (1,175 ft) and Voltzberg at 240 metres (790 ft).

Climate

Lying 2 to 5 degrees north of the equator, Suriname has a very hot and wet tropical climate, and temperatures do not vary much throughout the year. Average relative humidity is between 80% and 90%. Its average temperature ranges from 29 to 34 degrees Celsius (84 to 93 degrees Fahrenheit). Due to the high humidity, actual temperatures are distorted and may therefore feel up to 6 degrees Celsius (11 degrees Fahrenheit) hotter than the recorded temperature. The year has two wet seasons, from April to August and from November to February. It also has two dry seasons, from August to November and February to April.

D] GOI Guidelines

As per the GOI guidelines, goods, works and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at <https://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

Part II. Instructions to Applicants [ITA]

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Part II. Instructions to Applicants

A. General

- 1. Background**
 - 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Purchaser/Project Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Prequalification**
 - 2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, Scope of Supplies and subject to the provisions of this Application Document.
- 3. Ethics and Integrity**
 - 3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts¹, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
 - 3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
 - 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has violated the provisions stated in ITA 3.1 above, , the Bank, inter alia,
 - a. shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
 - b. may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

¹ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

4. Eligibility of Applicants

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, “Applicant” would include the single entity or in case of a joint venture (“JV”), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant’s JV Member’s Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been blacklisted/ debarred/ sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/ bidding process as applicable, during such period of time as the sanctioning authority shall have determined. In case the Applicant is blacklisted/ debarred/ sanctioned, post the prequalification process (i.e., including during bidding process and /or Project implementation phase) the Applicant shall immediately inform Exim Bank of any such blacklisting/ debarment/sanction and reasons thereof. This may lead to cancellation of prequalification/contract.
- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA’s website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if (a) it is currently under default on any loan to any Bank/ Financial Institution (FI) and its

account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and /or (b) its promoters/ directors appear in Negative list, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies, updated from time-to-time. On being included in any of the above lists post the prequalification process (i.e. including during bidding process and/or Project implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons thereof. This may lead to cancellation of prequalification / contract.

- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Supply
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV. Prequalification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.
- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

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| 7. Corrigendum of Application Document | <p>7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.</p> <p>7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.</p> <p>7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.</p> |
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C. Preparation, Submission and Opening of Applications

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| 8. Cost towards Application | <p>8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.</p> |
| 9. Language | <p>9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.</p> |
| 10. Documents constituting the Application | <p>10.1 The Application shall comprise the following:</p> <ul style="list-style-type: none">(a) Application Submission Form, in accordance with ITA 11.1;(b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;(c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and(d) any other document required as specified in the DS. |
| 11. Application Submission Form | <p>11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.</p> |
| 12. Documents Evidencing the Applicant's Eligibility | <p>12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).</p> |
| 13. Documents Evidencing the Applicant's Qualifications | <p>13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.</p> <p>13.2 If an Applicant does not manufacture or produce the goods it offers to</p> |

supply, it shall submit documentation related to Manufacturer's Authorisation as specified in the **DS**.

13.3 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:

- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation.
- (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**.
- (c) for data related to contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent as specified in the **DS**; and
- (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the **DS**.

**14. Authorized
Signatory and
Number of Copies**

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

**15. Preparing the
Application
Envelope**

15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:

- (a) be addressed to the Bank, as per ITA 16.1;
- (b) mention the name and address of the Applicant; and
- (c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

**16. Application
Submission
Deadline**

16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the **DS**.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

17. Late Applications

17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications

- 18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential

- 19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.
- 19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation

- 20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.
- 20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

- 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Prequalification of Applicants

22. Evaluation of Applications

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractor(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.
- 22.3 Only the qualifications of the Applicant in the capacity as prime contractor/ member of JV to the purchaser / project employer, shall be

considered. In particular, the qualifications of a parent or subsidiary or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA 4.3, shall not be considered.

22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.

23. Bank's Right to Accept or Reject Applications

23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

24. Prequalification of Applicants

24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.

25. Notification of Prequalification

25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.

25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.

26. Subsequent Invitation for Bids

26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of bids.

Part III. Data Sheet (DS) to ITA

A. General

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| ITA 2.1 | <p>The identification of the Invitation for Prequalification (IFP) is: GOILOC-304/Suriname/Supply-10</p> <p>The Bank's address is: Export-Import Bank of India Office Block, Tower 1 7thFloor, Adjacent Ring Road Kidwai Nagar [East] New Delhi -110023 Tel :+91 – 11 – 24607700 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in</p> |
| ITA 4.3 | <p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/ association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV <p>The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Contractors and non-prequalified Contractors or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.</p> <p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p> |

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| ITA 4.6 | <p>A list of debarred firms and individuals by:</p> <p>The World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>The African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>The European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>The Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p> |
| B. Contents of the Application Document | |
| ITA 6.1 | <p>For clarification purposes, the Bank's address is:</p> <p>Mr. Ashok Kumar Vartia Deputy General Manager Export-Import Bank of India Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi -110023 E-mail: eximloc@eximbankindia.in</p> |
| C. Preparation, Submission & Opening of Applications | |
| ITA 10.1 (d) | <p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <u>On-line mode of Application</u></p> <p>The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p> <p>For details for submitting Online Application, please refer the above portal.</p> <p><u>Minimum Requirements:</u></p> <ol style="list-style-type: none"> 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above(x86 only i.e OS of 32 Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate. |

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|-----------------------------|--|--------------------|--------------------|--------------------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|
| | <p><u>For any Online technical clarification, kindly contact:</u></p> <p>Bob EProcure Pvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069</p> <p>Email: pqsupport@bobeprocure.net</p> <p>ii) <u>Off-line mode of Application</u></p> <p>The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> | | | | | | | | | | | | | | | |
| | <p><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u></p> | | | | | | | | | | | | | | | |
| ITA 13.2 | Undertaking related to Manufacturer’s Authorisation in accordance with Form E.4.1 included in Part V of this Application Document. | | | | | | | | | | | | | | | |
| ITA 13.3 | <p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (https://www.fbil.org.in/)</p> <p>In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p> | | | | | | | | | | | | | | | |
| ITA 13.3(b)& (d) | <p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr></table> | | USD 1 = INR | 1 INR = USD | 31-Mar-11 | 44.6500 | 0.0224 | 31-Mar-12 | 51.1565 | 0.0195 | 31-Mar-13 | 54.3893 | 0.0184 | 31-Mar-14 | 60.0998 | 0.0166 |
| | USD 1 = INR | 1 INR = USD | | | | | | | | | | | | | | |
| 31-Mar-11 | 44.6500 | 0.0224 | | | | | | | | | | | | | | |
| 31-Mar-12 | 51.1565 | 0.0195 | | | | | | | | | | | | | | |
| 31-Mar-13 | 54.3893 | 0.0184 | | | | | | | | | | | | | | |
| 31-Mar-14 | 60.0998 | 0.0166 | | | | | | | | | | | | | | |

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|-------------|--|-------------|-------------|---------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|
| | <table><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr><tr><td>31-Mar-19</td><td>69.1713</td><td>0.0145</td></tr><tr><td>31-Mar-20</td><td>75.3859</td><td>0.0133</td></tr></table> | 31-Mar-15 | 62.5908 | 0.0160 | 31-Mar-16 | 66.3329 | 0.0151 | 31-Mar-17 | 64.8386 | 0.0154 | 31-Mar-18 | 65.0441 | 0.0154 | 31-Mar-19 | 69.1713 | 0.0145 | 31-Mar-20 | 75.3859 | 0.0133 |
| 31-Mar-15 | 62.5908 | 0.0160 | | | | | | | | | | | | | | | | | |
| 31-Mar-16 | 66.3329 | 0.0151 | | | | | | | | | | | | | | | | | |
| 31-Mar-17 | 64.8386 | 0.0154 | | | | | | | | | | | | | | | | | |
| 31-Mar-18 | 65.0441 | 0.0154 | | | | | | | | | | | | | | | | | |
| 31-Mar-19 | 69.1713 | 0.0145 | | | | | | | | | | | | | | | | | |
| 31-Mar-20 | 75.3859 | 0.0133 | | | | | | | | | | | | | | | | | |
| ITA 13.3(c) | <p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>75.6838</td><td>0.0132</td></tr></table> | USD 1 = INR | 1 INR = USD | 75.6838 | 0.0132 | | | | | | | | | | | | | | |
| USD 1 = INR | 1 INR = USD | | | | | | | | | | | | | | | | | | |
| 75.6838 | 0.0132 | | | | | | | | | | | | | | | | | | |
| ITA 14.1 | <p>Additionally, one (1) copy in pen drive shall also be submitted.</p> <p>The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p> | | | | | | | | | | | | | | | | | | |
| ITA 16.1 | <p>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).</p> <p>The deadline for Application submission is:</p> <p>Date: June 15 , 2020</p> <p>Time: 15:00 hrs</p> <p>For application submission purposes only, the Bank’s address is:</p> <p>Bank’s address is the same as that indicated in 2.1</p> <p><i>Attention: Mr. Ashok Kumar Vartia, Deputy General Manager</i></p> | | | | | | | | | | | | | | | | | | |
| ITA 18.1 | <p>The opening of the Applications shall be at the Bank’s address as that indicated in 2.1</p> <p>Date: June 15 , 2020</p> <p>Time: 15:30 hrs</p> | | | | | | | | | | | | | | | | | | |

Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

| | |
|--------------------------------------|--|
| A.1 Nationality | |
| Requirement: | <ul style="list-style-type: none">➤ The Applicant's Nationality shall be in accordance with ITA 4.4➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted | Form A.1.1 and A.1.2 |
| Attachments to be submitted | <ul style="list-style-type: none">➤ Articles & Memorandum of Association, Certificate of Incorporation /Partnership Deed (in case of Partnership firm) of the Applicant in accordance with ITA 4.4➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV |

| | |
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| A.2. Eligibility | |
| Requirement: | <ul style="list-style-type: none">➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Application Submission Form |
| Attachments | <ul style="list-style-type: none">➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached.➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Powers of Attorney for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents.➤ For an Applicant as a single entity or in case of JV, for each member:<ul style="list-style-type: none">• Permanent Account Number (PAN): Self attested copy• GST Registration details, as applicable: Self attested copy |

B. Past Contract Performance and Litigation

| B.1 Past Contract Non-performance | |
|--|---|
| Requirement: | <ul style="list-style-type: none"> ➤ There shall be no instance of contract² non-performance as a result of Applicant's default since May 01, 2015 (<i>5 years from the Application Submission Deadline</i>). (In case of JV, each member shall meet the requirement) ➤ The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application. ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Form B.1.1 |
| Attachments to be submitted: | Documents establishing details included in Form B.1.1 |

| B.2 Pending Contract Litigation | |
|--|---|
| Requirement: | <ul style="list-style-type: none"> ➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year (FY 2018-19), adjusted for 'Pending Contractual Litigation Value' as considered below: <ul style="list-style-type: none"> • 'Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements (FY 2018-19), which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'. <p><u>* Adjusted Net Worth:</u> <i>Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</i></p> ➤ Documentation and Attachments to be submitted as mentioned below. ➤ In case of JV, each member shall meet the above requirement. |
| Documentation to be submitted: | Form B.2.1 |
| Attachments to be submitted: | Documents establishing details included in Form B.2.1 |

²Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Applicant have been exhausted.

| B.3 Contract Litigation History | |
|--|---|
| Requirement: | <ul style="list-style-type: none"> ➤ The aggregate amount of awards against the Applicant, if any, since May 01, 2015(5 years from the Application Submission Deadline), shall not exceed 25% of net worth as per the latest audited financial statements. (In case of JV, each member shall meet the requirement.) ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Form B.3.1 |
| Attachments to be submitted: | Documents establishing details included in Form B.3.1 |

C. Financial Status and Capacity

| C.1 Financial Status | |
|-----------------------------|--|
| Requirement: | <p>(i) The audited balance sheets, statements of profit & loss and annual reports for the last 5 financial years (FY 2014-15 to FY 2018-19) shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ol style="list-style-type: none"> a. Positive Adjusted Net Worth for each of the last five (5) years as defined above; b. Profit (i.e. profit before tax) for at least two (2) out of last five (5) years as defined above c. Annual Revenue³ in any one (1) of the last five (5) financial years defined above, be not less than USD 12.00 million. <p>In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the project cash flow requirements of ongoing contracts including the present contract net of the Applicants other commitments. Minimum required liquid assets⁴ and/or credit facilities to be made available for execution of the contract shall not be less than USD 3.00 million</p> <p>In case of JVCA, for requirement (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below</p> |

³ In case of Contracts in INR, the exchange rate of 31st March of the financial year in which the contract was signed will be used to arrive at the USD equivalent.

⁴ Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

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| Documentation to be submitted: | Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV) Form C.1.2 [in case of JV, for each member of the JV] |
| Attachments to be submitted: | The Applicant and its parties shall provide copies of audited financial statements for the last five (5) years as defined above. The financial statements shall: <ul style="list-style-type: none"> a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member). b) be independently audited and certified by the Statutory Auditors/ Chartered Accountants in accordance with applicable legislation. c) be complete, including all notes to the financial statements. d) correspond to accounting periods already completed and audited. Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application. |
| C.2 Value of ongoing contracts | |
| Requirement | <ul style="list-style-type: none"> ➤ Aggregate annual residual value⁵ of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years as defined above. In case of JV, this shall apply to each member. ➤ Documentation and Attachments to be submitted as mentioned below. |
| Documentation to be submitted: | Form C.2.1 certified by a Chartered Accountant/Statutory Auditors |
| Attachments to be submitted: | None |

D. Experience

| | |
|-------------------------------|--|
| D.1 General Experience | |
| Requirement: | <ul style="list-style-type: none"> ➤ Experience in executing orders for supply and installation of equipment relating to Milk processing in the role of prime contractor, or JV member, to the main client, in India during the last seven (7) years, starting from May 01 2013 and up to application submission deadline. (In case of JV, each member shall meet the requirement). ➤ Documentation and Attachments to be submitted as mentioned below. |

⁵ Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

| | | | | | | | | | | | | | | | | | | | |
|--------------------------------|---|---|-------------------------|---|----------|---|------------------|----|--|--|-----------|---|-----------------|----|--|--|------------|---|------------------|
| Documentation to be submitted: | Form D.1.1 | | | | | | | | | | | | | | | | | | |
| Attachments to be submitted: | <p>Final Acceptance Certificate/ Completion Certificate / Testimonial Letters issued by the purchaser for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates.</p> <p>➤ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member’s delineated roles, responsibilities and scope and value of work.</p> <p>➤ Subcontractors’ experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant’s compliance.</p> | | | | | | | | | | | | | | | | | | |
| D.2 Specific Experience | Refer Part I: Scope of Supply for details | | | | | | | | | | | | | | | | | | |
| Requirement: | <p>At least the number and value of similar Supply and Installation contracts in Dairying /Milk processing Sector as mentioned below, in the role of prime contractor or JV member⁶ to the main purchaser / project employer, completed between May 01, 2013 and application submission deadline:</p> <table><tr><td></td><td>No. of Contracts</td><td>Value of Each Contract⁷ (USD Million)</td></tr><tr><td>Option I</td><td>3</td><td>USD 4.45 Million</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>USD 5.57Million</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>USD 8.90 Million</td></tr></table> <p>The scope under the above contracts shall collectively demonstrate experience involving :</p> <p>a) Supply, installation and commissioning of UHT, pasteurized Milk processing plant and storage units.</p> <p>b) The applicants should have their own facility/units for manufacturing UHT plant with filling machines or equipment related to pasteurization or equipment for the production of yoghurt or Milk cooling equipment.</p> <p>The Applicant shall submit the completion certificate as document of proof for completion of the similar nature of work and mention the date and cost of completion of the work.</p> | | No. of Contracts | Value of Each Contract⁷ (USD Million) | Option I | 3 | USD 4.45 Million | OR | | | Option II | 2 | USD 5.57Million | OR | | | Option III | 1 | USD 8.90 Million |
| | No. of Contracts | Value of Each Contract⁷ (USD Million) | | | | | | | | | | | | | | | | | |
| Option I | 3 | USD 4.45 Million | | | | | | | | | | | | | | | | | |
| OR | | | | | | | | | | | | | | | | | | | |
| Option II | 2 | USD 5.57Million | | | | | | | | | | | | | | | | | |
| OR | | | | | | | | | | | | | | | | | | | |
| Option III | 1 | USD 8.90 Million | | | | | | | | | | | | | | | | | |

⁶ Experience shall be considered on basis of scope of work of the Applicant in that JV for the contract under reference.

⁷In case of contracts in INR, for contracts signed in FY 2017-18, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at USD equivalent. For other contracts, the exchange rate as on 31st March of the financial year in which the contract was signed shall be used to arrive at the USD equivalent.

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| | <p>In case of JVCA, the specific requirements as above shall be met by all the members of JVCA individually i.e. contract values of each member shall not be aggregated but number of contract(s) by individual members meeting, the value fully and the technical aspects listed in (a) to (b) above, can be aggregated to meet the requirement on number of contracts.</p> <p>In addition to the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the value indicated under Option I above, demonstrating experience similar to the technical aspects listed in (a) to (b) above.</p> <p>Subcontractors' experience and resumes, if any, submitted with the application will not be taken into account in determining the Applicant's compliance.</p> <p>Documentation and Attachments to be submitted as mentioned below</p> |
| Documentation to be submitted: | Form D.2.1 and Form D.2.2 |
| Attachments to be submitted: | <ul style="list-style-type: none"> ➤ Final Acceptance/ Completion Certificate/ Testimonial Letters issued by the purchaser for each contract listed in Form D.2.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. ➤ In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. |

E. Quality Assurance

| | |
|--|--|
| E.1 Certified Management System | |
| Requirement: | ISO Accreditation / Certified Quality Management System |
| Documentation to be submitted: | Form E.1.1 |
| Attachments to be submitted: | Self-attested copy of ISO Accreditation / Quality Management System Certificate valid as on the deadline for submission of application |

| E.2 Skilled Human Resources | | | | | |
|---------------------------------------|---|--|---|------------------------------------|---------------|
| Requirement: | Applicant must demonstrate that it can deploy the personnel for the key positions that meet the following requirements: | | | | |
| | Position | Qualification | Total (Post-Qualification) Experience (In years) | Experience in similar works | Number |
| | Instrumentation Engineer | B.E/ B. Tech (Instrumentation) | 5 | 3 | 1 |
| | Mechanical Engineer | B.E/ B. Tech (Mechanical Engineering) | 5 | 3 | 1 |
| | Dairy Specialist | M.Sc /M. Tech (Dairy Technology) | 10 | 5 | 1 |
| | Micro Biologist | M.Sc (Dairy Microbiology/ Dairy Chemistry) | 5 | 3 | 1 |
| | Team leader | B.E/B.Tech (Mechanical Engineering/ Instrumentation) | 10 | 5 | 1 |
| | <ul style="list-style-type: none"> The Applicant is required to submit an undertaking to engage and deploy personnel as above. Documentation and Attachments to be submitted as mentioned below. | | | | |
| Documentation to be submitted: | Form E. 2.1 CVs should, inter alia, incorporate qualifications, experience in the sector and should also list professional memberships/ accreditations and knowledge of languages including foreign languages, countries of work experience. | | | | |
| Attachments to be submitted: | <ul style="list-style-type: none"> The applicant is required to submit an undertaking to engage and deploy personnel as above CVs of abovementioned staff along with copies of academic certificates mentioned therein. | | | | |

| E.3 Manufacturer's Authorization (Undertaking) | |
|---|--|
| Requirement: | <ul style="list-style-type: none"> In the case of an Applicant who offers to supply and/or install equipment in accordance with Part I of the Application Document that the Applicant did not |

Part IV. Prequalification Criteria and Requirements

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|--------------------------------|---|
| | <p>manufacture or otherwise produce, the Applicant shall submit an undertaking to submit Manufacturer's Authorization(s) for all major equipment in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant.</p> <ul style="list-style-type: none">• Documentation to be submitted as mentioned below |
| Documentation to be submitted: | Form E.3.1 |

NOTE:

The Evaluation Criteria for Prequalification of Applicants

| Sr. No. | Description | Remarks |
|---------|--|--------------------------------|
| A. | General | Evaluation on Pass/ Fail basis |
| B. | Past Contract Performance and Litigation | Evaluation on Pass/ Fail basis |
| C. | Financial Status and Capacity | Evaluation on Pass/ Fail basis |
| D. | Experience | Evaluation on Pass/ Fail basis |
| E. | Quality Assurance | Evaluation on Pass/ Fail basis |

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Application Submission Form

Date: *[insert day, month, and year]*
IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/sanctioned in accordance with ITA 4.5; Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/ are not (strike off whichever is not applicable) facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)
- (g) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name [insert full name of person(s) signing the Application]

In the capacity of [insert capacity of person(s) signing the Application]

Address [insert street number/town or city/country address]

Dated on [insert day number] day of [insert month], [insert year]

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

| Form No. | Details of Forms and Documents | Page no. |
|----------|---|----------|
| | NEFT acknowledgement receipt towards payment of Processing Fee | |
| A.1.1 | Applicant Information Form | |
| | 1. Articles & Memorandum of Association | |
| | 2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration | |
| | 3. PAN and GST Registration Details | |
| | 4. Letter of intent to form JV or JV agreement, in case of JV | |
| | 5. Organizational chart | |
| | 6. List of Board of Directors with their complete designation in case of nominee directors | |
| | 7. The beneficial ownership with respective shareholding and nationality of shareholders | |
| | 8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant | |
| | 9. A copy of relevant Company Board Resolution referred to in item No. 8 above | |
| A.1.2 * | Applicant's JV Member's Information Form [in case Applicant is a JV] | |
| * | 1. Articles & Memorandum of Association of the JV Member | |
| * | 2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member | |
| * | 3. PAN and GST Registration Details of the JV Member | |
| * | 4. Organizational chart of the JV Member | |
| * | 5. List of Board of Directors with their complete designation in case of nominee directors of the JV Member | |
| * | 6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member | |
| * | 7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant | |
| * | 8. A copy of relevant Company Board Resolution referred to item No. 7 above. | |
| B.1.1* | Non-Performed Contracts | |
| * | 1. Documents establishing details included in Form B.1.1 | |

| | | |
|------------|--|--|
| B.2.1 * | Pending Litigation | |
| * | 1. Documents establishing details included in Form B.2.1 | |
| B.3.1 * | Litigation History | |
| * | 1. Documents establishing details included in Form B.3.1 | |
| C.1.1* | Financial Status & Capacity, certified by the Statutory Auditors of the Applicant | |
| C.1.2 * | Sources of Finance | |
| * | 1. Audited Annual Reports for the last 5 years | |
| * | 2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks. | |
| C.1.3 * | Annual Turnover, certified by the Statutory Auditors of the Applicant | |
| C.2.1 * | Ongoing Contracts (Certified by a Chartered Accountants) | |
| D.1.1* | General Experience | |
| * | 1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the purchaser for each contract listed in Form D.1.1 | |
| * | 2. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between purchaser/Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work. | |
| D.2.1 * | Specific Experience | |
| * | 1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the purchaser for each contract listed in Form D.2.1 | |
| * | 2. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work. | |
| D.2.2 | Details of the Applicant's manufacturing facility | |
| E.1.1 | Certified Management System | |
| | Self-attested copy of ISO Accreditation / Quality Management System Certificate | |
| E 2.1 | Skilled Human Resources (Undertaking to deploy list of dedicated Human resources) | |
| E.3.1 | Manufacturer's Authorisation (Undertaking) | |
| Part VI. * | Questionnaire for Applicants | |

Note: i. Please insert page numbers
ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1

Applicant Information Form

[To be filled by Applicant if being a Single Entity or as Lead member of JV]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

| |
|--|
| Applicant's name <i>[insert full name]</i> |
| In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i> |
| Applicant's country* of registration: <i>[indicate country of Constitution]</i> |
| Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i> |
| Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i> |
| Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i> |
| 1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders. |

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form A.1.2

Applicant's JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

| |
|---|
| Applicant name: <i>[insert full name of the JV]</i> |
| Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i> |
| Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i> |
| Applicant JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i> |
| Applicant JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i> |
| Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i> |
| 1. Attached are copies of original documents of Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership. |

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV Member/s]*

| Form B.1.1 | | | |
|--|---------------------------------------|---|--|
| Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements | | | |
| <input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1. | | | |
| <input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1 | | | |
| Year | Non- performed portion of Contract | Contract Identification | Total Contract Amount (current value, currency, exchange rate and US\$ equivalent) |
| <i>[insert financial year]</i> | <i>[insert amount and percentage]</i> | Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Purchaser: <i>[insert full name]</i> Address of Purchaser: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i> | <i>[insert amount in USD equivalent and specify exchange rate and date]</i> |

| Form B.2.1 | | | | |
|--|------------------------------|---|--|--|
| Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements | | | | |
| <input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 | | | | |
| <input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below. | | | | |
| Year of dispute | Amount in dispute (currency) | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate) | Amount of specific provision already made, if any |
| <i>[insert financial year]</i> | <i>[insert amount]</i> | Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Purchaser: <i>[insert full name]</i> Address of Purchaser: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Purchaser" or "Supplier"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i> | <i>[insert amount]</i> | <i>[insert amount in USD equivalent and specify exchange rate]</i> |

| Form B.3.1 | | | |
|---|------------------------------------|--|---|
| Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements | | | |
| <input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3. | | | |
| <input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below. | | | |
| Year of award | Outcome as percentage of Net Worth | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate) |
| <i>[insert financial year]</i> | <i>[insert percentage]</i> | Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Purchaser: <i>[insert full name]</i> Address of Purchaser: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Purchaser" or "Supplier"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i> | <i>[insert amount in USD equivalent and specify exchange rate and date]</i> |

Form C.1.1

Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

1. Financial data

| Type of Financial information in | Historic information for previous five (5) years, (amount in Indian Rupees) | | | | |
|--|--|------------|------------|------------|------------|
| | FY 2014-15 | FY 2015-16 | FY 2016-17 | FY 2017-18 | FY 2018-19 |
| Sources of Fund | | | | | |
| Share Holder's Fund | | | | | |
| Loan Fund | | | | | |
| Application of Fund | | | | | |
| Fixed Assets | | | | | |
| Investments | | | | | |
| Net Current Assets | | | | | |
| (i) Current assets, loans and advances | | | | | |
| Less: (ii) Current liabilities & provisions | | | | | |
| Misc. exp. to the extent not W/Off or adjusted | | | | | |
| Profit and Loss Account | | | | | |
| Net Worth | | | | | |
| Net Worth | | | | | |
| Information from Income Statement | | | | | |
| Income | | | | | |
| Expenditure | | | | | |
| Profit/(Loss) Before Tax (PBT) | | | | | |
| Profit/(Loss) After Tax (PAT) | | | | | |
| Information From Cash flow Statement | | | | | |
| Cash Flow from Operating Activities | | | | | |
| Cash Flow from Investments | | | | | |
| Cash Flow from Financing Activities | | | | | |

Form C.1.2

Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

| Sr No | Type of Facility | Sanctioned Limit | Utilised Limit | Unutilised Limit |
|-------|---|------------------|----------------|------------------|
| | Fund Based Limits | | | |
| | a. | | | |
| | b. | | | |
| | c. | | | |
| | Total Fund Based | | | |
| | Non-fund Based Limits | | | |
| | a | | | |
| | b | | | |
| | C | | | |
| | Total Non –fund Based | | | |
| | Total Fund and Non-Fund Based Limits | | | |

B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

| Sr No | Type of Liquid Assets | Amount |
|-------|-----------------------|--------|
| 1. | | |
| 2. | | |
| 3. | | |

Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV for each member, and not of an affiliated entity (such as parent company or group member);
- (b) be independently audited or certified in accordance with local legislation;
- (c) be complete, including all notes to the financial statements;
- (d) correspond to accounting periods already completed and audited.

- ☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements. Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
- ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

Form C.1.3

Annual Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

| Year | Amount (INR) | | | Exchange rate* | USD equivalent |
|-----------|--------------------|--------------------|----------------|----------------|----------------------------|
| | Contracts in India | Overseas Contracts | Total Turnover | | |
| 2014-2015 | | | | | |
| 2015-2016 | | | | | |
| 2016-2017 | | | | | |
| 2017-2018 | | | | | |
| 2018-2019 | | | | | |
| | Total: | Total: | Total: | | Average Annual Turnover ** |
| | Average: | Average: | Average: | | |

*Refer ITA 13.3 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year

Form C.2.1

Ongoing Contracts

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

| Contract Identification | Role of Applicant | Start Date | Contractual Completion Date | Intended/ Revised Completion Date | Residual period for completion | Residual value of Work | Annual Residual value of Work |
|---|---|------------|-----------------------------|-----------------------------------|--|---|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| Contract Ref: Brief Title of the works: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name & Address of Employer: | <i>[insert "Prime Contractor" or "JV Member"]</i> | | | | <i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i> | <i>[Insert value in US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i> | <i>[Insert value in US\$ equivalent]</i> <i>[7 divide by 6]</i> |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | <i>[Insert Total for all Contracts in USD]</i> |

* Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD. Refer ITA 13.3 for source of exchange rate

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

| Contract Identification | Start Date | Initial Contractual End Date | Actual Completion Date and Reasons for delay, if any | Role of Applicant |
|--|---------------------------------------|---------------------------------------|--|--|
| Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Purchaser: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i> | <i>[indicate date as dd/mmm/yyyy]</i> | <i>[indicate date as dd/mmm/yyyy]</i> | | <i>[insert "Prime Contractor " or "JV Member"]</i> |
| | | | | |
| | | | | |
| | | | | |

* Refer ITA 13.3 for date and source of exchange rate

Final Acceptance Certificate/ Completion Certificate / Testimonial Letters issued by the purchaser to be attached for each contract, in an orderly fashion.

Form D.2.1

Specific Supply and Installation Experience

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Information

| | | | |
|---|--|--|---|
| Similar Contract No. | <i>[insert number] of [insert number of similar contracts required]</i> | | |
| Contract Identification | <i>[insert contract name and number, if applicable]</i> | | |
| Contract date | <i>[dd/mmm/yyyy]</i> | | |
| Completion date | Contractual: <i>[dd/mmm/yyyy]</i> | Actual: <i>[dd/mmm/yyyy]</i> | |
| Role in Contract <i>[check the appropriate box]</i> | Prime Contractor <input type="checkbox"/> | Member in JV <input type="checkbox"/> | |
| Total Contract Amount | <i>[insert total contract amount in contract currency]</i> | US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i> | |
| If member in a JV, specify participation in total Contract amount | <i>[insert a percentage amount]</i> | <i>[insert total contract amount in local currency]</i> | <i>[insert exchange rate and total contract amount in US\$ equivalent]*</i> |
| Purchaser Details | Purchaser's Name: Address: Telephone, fax number, E-mail: | | |
| Description of the similarity in accordance with Sub-Factor D.2 of Part IV: | <i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics]</i> | | |
| For the above contract, mention the following attributes | | | |
| 1. Capacity/ Physical size of key works items | <i>[insert capacity/ physical size of key work items]</i> | | |
| 2. Complexity | <i>[insert description of complexity in accordance with the technical aspects mentioned under Part IV D.2]</i> | | |
| 3. Methods/ Technology | <i>[insert specific aspects of the methods/ technology involved in the contract]</i> | | |
| 4. Rate of execution for key items | <i>[insert execution rates for key items]</i> | | |
| 5. Other Characteristics | <i>[insert other characteristics as appropriate]</i> | | |

Note: Rate implies the quantity executed in unit time e.g. cubic meters of earthwork per annum, etc

* Refer ITA 13.3 for date and source of exchange rate.

* Final Acceptance Certificate/ Completion Certificate / Testimonial Letters issued by the purchaser to be attached for each contract, in an orderly fashion.

Form D.2.2

Specific Supply and Installation Experience

[The following table shall be filled in for the Applicant, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2 and certified by an independent Chartered Accountant]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

| S. No. | Address of Manufacturing Unit in India | Type of Dairy equipment manufactured <i>[use separate rows for each type]</i> | Year Wise* Installed manufacturing capacity | Year Wise* No. of units manufactured |
|--------|--|--|---|--------------------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

* For 7 (seven) years prior to the Application Submission Deadline.

Registration No/ Membership No:

Stamp

Date:

Place:

Form E.1.1

Certified Management System

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

| ISO Accreditation/ Certified Management System | Process/ Discipline Certified/ Inclusions | Certification Start Date | Certification Valid up to | Certifying Organization |
|---|---|-----------------------------|------------------------------|---|
| <i>[indicate the quality management system accreditation]</i> | <i>[indicate certificate identification number and mention which process or discipline has been certified and inclusions]</i> | <i>[dd/mmm/yyyy]</i> | <i>[dd/mmm/yyyy]</i> | <i>[indicate the name of the certifying organization and contact details]</i> |
| | | | | |
| | | | | |

Copy of ISO Certificate(s) to be attached

Form E.2.1

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

| Position | Name of key staff | Academic Qualification | Specialization | Years of Experience | Experience in Countries |
|----------|-------------------|------------------------|----------------|---------------------|-------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form E.3.1

Manufacturer's Authorisation (Undertaking)

[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

We, *[insert Applicant's full name and in case of JV, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) to bid for the supply of equipment for the tender under reference;
- (b) confirming guarantee/ warranty support for the equipment bid for by us; and
- (c) confirming that the equipment being bid for to be supplied shall be new and unused.

[To be attested by the authorized representative of the Applicant and in case of a JV, by the lead member on behalf of the JV members]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

| <u>Eligibility, Confirmation and Other Important Information</u> | <u>Response*</u> |
|---|--|
| 1. Is your firm, either singly or in joint venture currently empanelled or prequalified as an EPC contractor. If yes, please provide details of Client, Country and Scope of EPC. Attach additional sheets, if needed. | Confirm: Yes___/No___ |
| 2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification. | Confirm: Yes___/No___ |
| 3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts. | Confirm: Yes___/No___ |
| 4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed. | Yes___/No___ |
| 5. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary. | Yes___/No___ |
| 6. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed. | Yes___/No___ |
| 7. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary. | Yes___/No___ |
| 8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary. | Yes___/No___ |
| 9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm | Confirm: Yes___/No___ Yes___/No___ Yes___/No___ |

Part VI. Questionnaire for Applicants

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| (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India | Yes___/No___ |
| 10. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit | Confirm: Yes___/ No ___ |

** Note: To confirm, print "Yes", else "No"*

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date: