

Application Document

For

Prequalification of Engineering, Procurement And  
Construction (EPC) Contractors for Construction of  
a Cricket Stadium Complex In Maldives

**Export-Import Bank of India**

**Date: June 18, 2020**

**INVITATION FOR PREQUALIFICATION OF EPC CONTRACTORS FOR  
GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT**

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments / their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to-time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian entities for prequalification of Engineering, Procurement and Construction (EPC) Contractors for the Construction of Cricket Stadium Complex in Maldives

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant Name	<Applicant Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	Export-Import Bank of India
[iv]	Account No.	HO00INR11011004
[v]	IFSC Code	EIBI0HO0001
[vi]	GST Number	27AAACE2769D1ZS
[vii]	Narration to be inserted for NEFT	GOILOC-309/Cricket Stadium

The preparation and submission of Application for Prequalification is **required in dual mode (on-line as well as off-line )** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours July 20, 2020\* at the following address:

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours July 20, 2020\* at the following address:

Mr. Ashok Kumar Vartia  
Deputy General Manager  
Export-Import Bank of India  
Office Block, Tower 1  
7<sup>th</sup> Floor, Adjacent to Ring Road  
Kidwai Nagar [East]  
New Delhi -110023  
E-mail: [eximloc@eximbankindia.in](mailto:eximloc@eximbankindia.in)  
Website: [www.eximbankindia.in](http://www.eximbankindia.in)

\* Please note that request for any extension in the Last Date for Submission of Applications shall not be entertained.

This Application Document (AD) consists of the following Parts:

**Part I. Scope of EPC**

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/ Procuring Entity subsequent to this prequalification.

**Part II. Instructions to Applicants (ITA)**

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification ("Applications") and the procedures for this prequalification process.

**Part III. Data Sheet (DS) to ITA**

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

**Part IV. Prequalification Criteria and Requirements**

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/Procuring Entity.

**Part V. Forms**

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

**Part VI Questionnaire for Applicants**

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

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## **Part I: Scope of EPC**

The Export-Import Bank of India (hereafter Exim Bank or the Bank), on behalf of the Government of India (GOI) has approved financing of various developmental projects out of a Line of Credit extended to the Government of Maldives. A part of the LOC shall be utilized for the project for the construction of Cricket Stadium Complex in Maldives. for which Exim Bank now seeks applications for prequalification from eligible Indian entities. Refer Part II ITA 4 for eligibility provisions.

### **A] Background and Major Components of the Project**

#### **Site and other Data**

The Republic of Maldives, is a small island nation in South Asia, located in the Arabian Sea of the Indian Ocean. It lies southwest of Sri Lanka and India, about 1,000 kilometres from the Asian continent. The chain of 26 atolls stretches from Ihavandhippolhu Atoll in the north to Addu Atoll in the south. Comprising a territory spanning roughly 298 square kilometres, the Maldives is one of the world's most geographically dispersed sovereign states as well as the smallest Asian country by land area and population, with around 515,696 inhabitants.

The International Cricket Council elected Maldives to be a member country for Twenty 20 matches, for the purpose of promoting the game in the island nation. This coincides with the aims of the Government to promote the game and develop a 20000 seating capacity, International Standard cricket stadium complex that can be used both locally, and to also host international Twenty 20 cricketing events.

The Cricket Control Board of Maldives (CCBM) was established on 1 January 1983 by the government to promote and develop the game of cricket in the Maldives. The Maldives became a member of the Asian Cricket Council in 1996, and an affiliate member of the International Cricket Council in 1998.

The proposed site is located in Hulhumalé suburb, which is well connected with roads and ferries with the capital city of Male'

## **Project background**

The stated objectives and goals of the stadium are:

1. To develop a stadium with 20,000 seats for a range of uses including, but not limited to: Cricket, concerts and other entertainment events.
2. In addition to the standard codes, the stadium shall meet the requirements of the following codes:
  - ICC Guidelines for crickets stadium
  - BCCI Guidelines for cricket stadium
  - Relevant safety guidelines as prescribed by the Government of Republic of Maldives.
3. To provide a highly cost effective low maintenance facility.
  - a. To complement a sports and entertainment precinct that can be used seven days a week by ensuring the stadium integrates with, and makes use of, adjacent civic spaces and commercial and community facilities.
4. Strengthen the national infrastructure capacity in tourism and hospitality business by allowing the nation to be able to host international cricket tournaments and events frequently, i.e. IPL or Asia Cup.
5. To act as a catalyst for complementary development in the surrounding area.
6. To provide a facility that will achieve a 50 year life.
7. To provide a facility that can be utilised all year for a number of events, in order to maximize opportunity for revenue generation and sustainability.
8. To provide an "Iconic" stadium facility that reflects the growth of sports in Maldives and is a showcase for sports and the state both nationally and internationally.
9. To provide a facility that will allow Maldives to effectively compete for international sports events against comparable facilities in Asia.
10. To provide a sustainable development that will enhance public utilisation of facility and ancillary structures.
11. That will control and mitigate effects on local communities surrounding the stadium.
12. Roof coverage for 60% of the seats.
13. The seating bowl shall be provided to maximise the atmosphere and locate patrons as close as possible to the field of play.
14. The seating bowl shall be column free and allow excellent viewing conditions for sports and events held in the stadium.

In addition to the stated Objectives, the complex should also achieve the following goals:

1. To create a multi-use, 20,000 seat stadium that maximises atmosphere and intimacy and will enhance the event experience for spectators for all sports.
2. To create a stadium that is internationally recognised as a world-class venue for the showcasing of professional sport and entertainment.

3. Sufficient infrastructure to allow for safe entry and egress for the site.
4. To provide a design that adheres to the principles of Environmental Sustainable Design with a minimal ecological footprint.
5. To provide an iconic design solution that represents the unique environment of Maldives along with the aspirations of the community, which it serves.

### **Scope of EPC**

The scope of EPC includes the construction of a Multipurpose Sports Complex.

Details are as under:

### **Major Components of the Project**

#### **1. FIELD OF PLAY**

A minimum of 2 emergency vehicle access routes onto the playing field, 6-meter wide and fitted with suitable security gates, shall be provided.

##### **1.1 Cricket Ground**

The Cricket Ground should follow the ICC minimum standards for new cricket grounds.

##### **1.1.1 The Field**

The playing surface shall be natural grass incorporating permanent drainage and irrigation points. The field and pitch must drain well and the grass be cut short to result in a fast outfield.

##### **1.1.2 The Pitch**

Pitches will be orientated north to south. A total of 5 pitches will be provided with the spacing of 3.66 meters between the pitches. The pitch should have 'true bounce' throughout for the duration of the games, and be of reasonable pace. The pitch cover shall be provided to cover all pitches and shall have a raised rim built into its edge, and have 4 discharge outlets at its corners to allow water that collects on the cover during rain to be discharged into the field drainage system. The bowler's run-ups shall be provided with adequate covers.

##### **1.1.3 Boundary & Moat**

A continuous Fence / Moat will be provided around the stadium in front of the spectator seating. It is important under emergency circumstances to allow access across the moat on to the playing area and therefore a method of bridging the 'gap' will be incorporated, either on a permanent or temporary basis.



## 1.2 Turf Protection

Turf protection is to be provided to allow for the change in events from cricket to a concert and back again. Turf protection tiles are used to achieve this change. These tiles are Translucent which allows for photosynthesis to continue and therefore not damaging the turf.

## 1.3 Turf Drainage Design

The cricket field should be constructed with the most optimum sub-surface drainage solution for the cricket fields to sustain extreme climatic conditions. The System should provide the ability to promote healthier, stronger, and safer turf by accelerating moisture removal and increasing the amount of oxygen to the root zone. The system should help in providing an optimal growing environment for any playing surface – increasing playability and producing a more enjoyable experience for players and spectators.

## 2. SEATING BOWL

The total minimum ground spectator capacity upon completion is to be 20,000 seats. The functional requirements are summarized here:

- A variety of seating types will be configured around the circular ground, consisting of a combination of general admission seating, members seating, private boxes, dine & view seating and press seating.
- Seating for people with disabilities will be available within each seating category and distributed at various locations within the seating bowl.

## 3. SITE REQUIREMENTS

- The playing field is to be oriented within the optimum parameters for field orientation. Orientation should also maximize external circulation / evacuation space. To maximize the multi-use nature of the field the relevant sporting codes has indicated that the North/South Orientation of the cricket pitches are acceptable.
- On-site parking to be provided for non-event day. This parking will serve as an enhancement to the surrounding developments. On an event day, use of these car parks will be restricted. The public plaza of the stadium should create an area for pedestrian circulation and other activities. Any adjacent car parks and kerbing to be designed to accommodate pedestrian flow and the finishes of the car park will be integral with the plaza so it appears as one space, but should align

with local road policy. In addition, dedicated stadium parking will be provided for administration, operations, media, team, and possibly VIP parking.

- Event day vehicular access to the site will need to be accommodated within the design as it will be required primarily for media, services and operational teams, VIPs and emergency vehicles.
- Boulevard-type atmosphere. The plaza is to be designed as an open space to allow for maximized and safe pedestrian circulation during events. Some hard landscaping components, such as benches, may be integrated in strategic locations to enhance the plaza and break down the scale of the open space.
- Power outlets for plaza use to be incorporated into the enclosure of the stadium exterior.
- The main public entries to be located to respond to the largest influx of spectators.
- Environmentally sustainable design: Provisions for storm water retention to be included.
- Adequate Storage tanks to be included for fire protection
- Provision for large external Video Boards.

#### 4. TEAM FACILITIES

Team facilities shall be located in the North Pavilion and will have direct access to the playing field. There will be ramped entrances for player's access onto the field. Team facilities shall be completed to include air conditioning, finished walls, floor finishes, ceilings, general lighting, furniture, electrical sockets and media requirements. Parking for two team buses will be required as close as possible to the team change facilities.

##### 4.1 Teams Changing Rooms – Cricket (2 Nos)

Air conditioned changing room that will accommodate 25 players, including locker space (25) hanging space and benches in front of lockers with direct access to shower area.

##### 4.2 Umpire / Referee Changing Rooms

Air conditioned changing rooms shall be provided for match officials. The room will be located with direct access to the Field of Play.

##### 4.3 First Aid

Provide an air conditioned first aid treatment suite for use by players (spectators in extreme emergencies) as needed on match days. The main first aid suite shall be situated at pitch level on the team changing room side of the service level with direct access to the field through the pitch

access vomitories for the transfer of injured players by Ambulance. Two ambulance bays shall be located adjacent to the facility.

#### 4.4 Doping Control Room

A doping control room shall be provided adjacent to the Team Changing Rooms. The facility will be located away from media accessible areas to provide players privacy.

#### 4.5 Player's Lounge and Family Room

An air conditioned player's lounge will be shared by all teams, and shall be located in close proximity to the central drop off area for the teams with access to both changing areas.

A serving counter and a finishing kitchen to serve snacks and light meals.

#### 4.6 Entrance Lobby and Hall of Fame

The Main Entrance Lobby to the Players / North Pavilion will include a dedicated display space for trophies and memorabilia.

#### 4.7 Player's Benches

Two team portable benches shall be located with direct access to the centre line Player's Tunnel to the team changing rooms.

### 5. SPECTATOR FACILITIES

#### 5.1 Capacity

The approximate net seating distributions, including wheelchair positions as currently planned are listed in the table below. It is proposed to offer various levels of season ticket and associated amenity packages which will be incorporated into the General Admission and VIP seating configurations.

The following table is based on stadium capacity of 20,000 seats.

Seating	Capacity	Comments
General Admission	14400	Public Seating
VIP Members	4380	Seating for premium members
VVIP Members	1040	Reserved Suite for special invitees
Disabled (0.5%) + Companion seating (0.5%)	200	Distributed across all seating categories and locations.
Total	19820	

#### 5.1.1 General seating requirements

A variety of seating types will be configured around the playing field, consisting of a combination of general admission seating, reserved seating, corporate seating including corporate boxes and suites, press seating and team seating.

- A variety of seating types will be provided and configured around the circular ground (arena), consisting of a combination of disabled seating, general admission seating, corporate box and dine & view seating.
- Total net capacity of approximately 20,000 spectators.

#### 5.1.2 General admission seating

New general admission seating shall have Bucket seats with backs. Removable public seating adjacent to all disabled seating spaces to be provided to allow maximum of 6 disabled spaces in a group. Additional banks of seating to be provided to allow for use in disabled seating space, when disabled spaces are not being used.

#### 5.1.3 Corporate suite seating

Corporate Suites having enclosed Air Conditioned private hospitality space with fixed pitch view seating in front of the suite glazing. Suites shall be located to allow an excellent viewing angle to the pitch.

#### 5.1.4 Accessible (Disabled Seating)

Seating for People with Disabilities will be distributed at various locations within the seating bowl within all seating categories and all tiers of the stadium.

### 5.2 Public & Corporate Dining Rooms

The public and corporate dining areas should be provided with various amenities.

### 5.3 Public Toilet facilities

Adequate public toilets shall be provided throughout the stadium evenly distributed off the public concourses located behind the seating tiers.

### 5.4 Corporate & VVIP Toilets

VVIP Seating / Dining areas and Private Box toilets shall be of a higher quality finish.

### 5.5 Prayer Rooms

Prayer Rooms are provided at multiple locations throughout the stadium. Prayer room is a quiet location set aside in a busy public place (stadiums, hospital, university, airport, etc.) where people of differing religious beliefs, or none at all, are able to spend time in contemplation or prayer.

Many of these spaces are "small, clean and largely unadorned areas", which can be adapted and serve for any religious or spiritual practice.

#### 5.6 Merchandising outlets

Merchandising outlets shall be provided at locations within the public concourses.

#### 5.7 Public first aid rooms

Satellite first aid stations will be distributed throughout the public concourses. Spectators requiring more extensive treatment and/or x-rays will be evacuated by ambulance to local hospitals.

#### 5.8 Signage/graphics

A comprehensive, visible, readable, flexible and effective signage system shall be provided both outside and inside the building. The graphics shall be coordinated with those for the entire complex.

#### 5.9 Match Day Public Information Office

Match Day Public Information Office to be provided. It is to be accessible both externally and internally to the ground. This facility will be used for Lost Children, Lost & Found etc. All power, data, and telephone outlets shall be provided.

#### 5.10 Ticketing Windows

Ticketing windows will be provided at all Main Gates into site. Non-event day ticket sales will be in the club facility.

#### 5.11 Public Telephones

Public telephone booths shall be located on the main concourse adjacent to the entry points. These shall be free standing units and shall be contained within acoustic shrouds at designated locations close to the main entry points.

#### 5.12 TV Monitors

Provision of Space and Support for large size Flat Screen TV monitors, including all power, data and MATV cabling requirements should be located in the following locations:

- Throughout the public concourse
- Adjacent to food and beverage concessions.
- Within all Corporate Suites
- Players Areas

## 6. FOOD AND BEVERAGE CATERING REQUIREMENTS

The planning requirements of the Food & Beverage Services shall meet the catering needs of the stadium in an efficient and effective manner. The needs of all the different user groups identified have to be incorporated into the detailed design.

The location of the various areas that make up the Catering System shall be carefully considered to ensure easy distribution of food and beverages from loading dock to end-user.

### 6.1 Food and Beverage Service

An efficient and effective system for serving food and beverages to spectators, suites and boxes, hospitality spaces, and athletes is an important component of successful stadium operations.

### 6.2 Catering Support

The main kitchen / kitchens and commissary will be located with immediate access to the loading docks and service lifts and shall be designed to accommodate all match day catering requirements.

### 6.3 Catering Staff Facilities

Space will be provided within the commissary for Catering administrative offices, staff lockers, uniform distributing, and event-day briefing. Laundry facilities are to be included within the facility. Space will be provided for temporary catering staff in close proximity to the main catering facilities and a briefing room on the service level of the stadium

## 7. ENTRANCES AND CIRCULATION

### 7.1 Entrances / Ticketing & Ticket Booths

An efficient and effective control system for access into and exit from the stadium will be provided for all users, including both Event-day and non-Event day times.

#### 7.1.1 Turnstiles

Provide provision for a minimum of 1 turnstile for 660 spectators at public entries.

#### 7.1.2 Access and Circulation

A system for the efficient and effective control of access into and out of the Stadium and circulation around the outside and within the Stadium shall be provided for all users.

#### 7.1.3 Corporate and VIP Entrance

A separate entrance lobby is to be provided for the Corporate Suite, Corporate Box, VIP, sponsors and guests on the main public access level and preferable in close proximity to car parking

#### 7.1.4 Players Entrance

- A secure Players' entry within the building with bus drop-off. This entrance shall be protected from public exposure and shall be able to accommodate at least two team buses parked in front of the Main entry or Secondary entry.
- Direct access from the entrance area to the changing rooms shall be provided.

#### 7.1.5 Staff Entrance

A single entry point will be provided for all event staff and catering staff with direct access to the external plaza areas surrounding the stadium. This space shall be adjacent to the events offices and catering offices, including changing rooms, dining room and uniform distribution.

#### 7.1.6 Media Entrance

Media parking to be located as close as possible to the media access/egress point. A lift is to be available for use by the media staff to allow the vertical circulation of media personnel and equipment. All media facilities are to be separated from the public by secure means. All doors into the media areas are to be controlled with electric locks and proximity card readers.

### 7.2 Access and Egress

A public egress system shall be provided so that in emergencies it allows the public to leave the Stadium by smooth unimpeded exit routes to adequately lit and clearly indicated assembly points in safe areas. The emergency egress system shall be designed in accordance with the "Guide to Safety at Sports Grounds" (The Green Guide)

### 7.3 Concourses

#### 7.3.1 Public Concourses

The main public concourse shall be located behind all main seating tiers and shall serve directly all vomitories, concessions, and toilets.

The concourses shall be designed to ensure the safe passage of spectators in the event of an emergency to appropriate exits. The basis for the width of the concourse shall be as set out in the United Kingdom "Guide to Safety at Sports Grounds" (Green Guide) and in accordance with good international practice and local and national regulations.

#### 7.3.2 Corporate Suite Concourse

Private corridors will provide access to the Suites and Boxes and associated toilet facilities. These corridors shall feature upgraded finishes, similar to the suite finishes.

### 7.4 Passenger Lifts

Passenger lifts shall serve all main levels of the stadium. All lifts shall conform generally to National Building Code and other safety guidelines.

### 7.5 Service Elevators

Service Elevators will be provided with fully opening doors and durable stainless steel finish. Goods lifts will have a minimum 3500 kg load capacity. Goods lifts required to be close to kitchens, storage and service areas.

### 7.6 Stairways and Ramps

Stairways and ramps will be designed for Emergency Exit in accordance with the Green Guide and the MNBC. Stairways will be distributed throughout the building, from lowest level to the upper floor, allowing for both public and service use

### 7.7 Field Entrances

A minimum of two pitch access points shall be provided from the Service Road to the FOP. These shall provide access for service vehicles, pitch maintenance vehicles and emergency vehicles as well as providing alternate means of egress from the field of play for events where patrons are located on the field (Concerts, Opening Ceremonies etc.).

These access points shall also be designed to assist the natural ventilation of the field to promote healthy grass cultivation.

## 8. PRESS FACILITIES



## 8.1 Media Access

Media parking is to be provided on the entry level and is to be located as close as possible to the media access/egress point. A lift will be located as close as possible to the media area to allow the vertical circulation of media personnel and equipment. All media facilities are to be separated from the public by secure means.

## 8.2 Written Press Box

A written press box shall be provided with a clear elevated view on the field.

## 8.3 Media Facility – Lounge

Provide a lounge area within the press area. This lounge shall be capable of serving food and beverages to all written press, TV and radio broadcasters. It may be used as the expansion space for the main press workroom for major events.

## 8.4 Toilets

The press shall have dedicated male and female toilets adjacent to the media lounge.

## 8.5 Player Interview/Conference Room

A conference & interview room will be provided for interviewing players and coaches in an 'official' setting with appropriate lighting, backdrop, air conditioned and adequate space for reporters and cameras. The interview room will be in close proximity to the team change rooms. This room shall be provided with television cable links, radio broadcasting, television box and links back to all press areas.

## 8.6 Interview Areas at Pitch Level

In addition to the main press conference room located in close proximity to the players and media facilities on the service level the following zones shall be provided at pitch level.

# 9. BROADCAST FACILITIES

## 9.1 Television Broadcasting Box

Two television broadcasting booths shall be provided with special acoustic treatment to walls and ceilings. Allow for the maximum flexibility for the producer's camera positions and presenters back- drop of the arena. Sufficient height shall be provided to ensure adequate lighting positions.

## 9.2 Television Pre/Post Production Studio

The studio shall be sized to accommodate 4 presenters (located at the front of the booth), camera operators and sound engineers, for a total of 10 persons at one time. The front of the booth shall be fixed with anti-reflective glass to allow the presenters to be shot with the pitch as a backdrop. These spaces shall be built as shell space only to be fitted out by the TV networks.

### 9.3 Radio Broadcaster Booths

Radio broadcasting booths shall be provided for radio commentators and shall have built-in counters and special acoustic isolation treatment on walls and ceilings to each box. The spaces shall be flexible to accommodate the varying broadcasting media requirements

### 9.4 Third Umpire Room & Scorer

Third Umpire Room shall be located at an elevated position with preferential view to pitch and scoreboards.

### 9.5 Production Control Room

The Production Control Room will be located in the lower level of the building to facilitate ease of movement of Heavy Equipment.

### 9.6 Camera Platforms

Final camera positions will be subject to the requirements of individual broadcasters and producers for each particular sport. The camera and cabling requirements positions shall be located around the ground as per ICC Guidelines. Camera positions to be designed to minimize obscuring sightlines from seating and shall not reduce the spectator capacity of 20,000.

### 9.7 Television Outside Broadcast Vehicle

Parking for OB Vans shall be provided within the stadium compound within 100m of the main TV Box and with minimum clearance height 4600mm. Patch room to be provided within the OB Van parking area with direct link to all camera outlets and Broadcast Box and interview rooms.

### 9.8 Cabling/Service Provisions

The cable route from the OB Van compound and parking area into the building will allow for easy access to cabling routes. Permanent fibre optic cabling shall be installed to the primary broadcast facilities between Broadcast Room, OB Van Area and all the camera positions.

## 10. STADIUM OPERATIONS FACILITIES

## 10.1 Stadium Management

The stadium management requirements shall be developed further in consultation with the stadium operator as the design progresses. At this feasibility stage the following provisions shall be made:

### 10.1.1 Stadium Management Offices

Stadium Management offices shall be provided with access to natural light and ventilation. A space allocation shall be made at this stage.

### 10.1.2 Maintenance Staff Lockers

Locker/shower/toilet rooms for full-time staff, including grounds keeping and maintenance personnel, will be provided. Separate shower facilities will be required for male and female staff.

## 10.2 Security

This brief allows for the inclusion of separate facilities for privately contracted Stadium Security and the Maldives Police, which shall work jointly during events.

### 10.2.1 Main Security Office

The main security office suite will be located on the lower level in close proximity to the main entry. It will be used for coordinating security personnel and stewarding operations on event days. It must be able to accommodate all emergency services in case of building evacuation, so all basic facilities within the event control room will be duplicated, in a reduced fashion. It will be located in a different quadrant than the Event Day Command Post.

### 10.2.2 Security Help Desks

Security help desks and offices shall be located adjacent to the main entry points.

### 10.2.3 Police

The main Police office shall be located in an ideal location in discussion with them and the stadium authorities.

## 10.3 Event Storage

### 10.3.1 Cricket Equipment

Storage for equipment, including boundary ropes, sight-screens, and balls, will be provided on the Field Level, with direct access to the field.

#### 10.3.2 Entertainment Equipment

Storage for pre-event and half time entertainment production equipment will be provided in the service level. This may be a lockable multi-purpose room, or other service level rooms not required for concert / opening ceremony use. On site storage will be required for seats removed to allow for stage set-up.

#### 10.3.3 Rigging Store

Storage for rigging equipment shall be provided within the entertainment equipment stores and main facility workshops.

### 10.4 Grounds keeping

A large storage area for grounds keeping materials and equipment will be provided. This will be located adjacent to the pitch, with direct access by grounds keeping vehicles.

### 10.5 Janitorial

#### 10.5.1 Central Supply Storage

A centralized storeroom will be provided on the Service Level for storage of bulk cleaning supplies.

#### 10.5.2 Cleaner's Closets

Each pair of public toilet rooms will have a cleaner's closet, with a mop sink and space for storing toilet room supplies.

#### 10.5.3 Rubbish Collection

Rubbish collection rooms will be distributed on each level for handling of waste collections.

#### 10.5.4 Waste Compactors

Waste Compactor units shall be located beneath all refuse chutes. A refrigerated compactor area shall be located adjacent to the central kitchen.

#### 10.5.5 Waste Management Office

A Waste Management office will be located adjacent to the main service drive and in close proximity to the main refuse transfer point.

## 10.6 Maintenance

### 10.6.1 Maintenance Storage

A large storage room will be provided for storage of materials and supplies.

### 10.6.2 General Building Storage

A large storage room will be provided for miscellaneous building storage.

## 10.7 Building Services

Space allocation shall be allowed for the following building services.

- Mechanical
- Electrical
- IT/ Communications
- PA Systems
- Fire
- BMS
- Lifts

Noise generating plant equipment shall be ideally located away from acoustically sensitive areas. Where possible, roof top equipment shall be attenuated and isolated to avoid noise breakout. Consideration is being given to utilizing the principles of environmentally sustainable design to minimize the use of air conditioning plants.

## 11. STADIUM CLUB FACILITIES

### 11.1 Lounge & Reading Area

The lounge/bar is an integral part of the Club and will be a space directed towards adult use. It will have a full service sit-down bar for beer, wine and mixed drinks. Provide a dedicated storage room for the lounge/bar. The lounge/bar will possibly include games such as billiards and other table games, darts, and shuffle board. Multiple TV monitors will be included as part of the interior space of the Lounge

### 11.2 Gymnasium & Fitness Centre

The fitness gym is generally separated into individual zones, determined by equipment and exercise types - Stretch area (warm up), cardiovascular area, and Resistance and/or free weights area

#### 11.2.1 Floors

The floor structure must be able to resist high dead loads imposed by the equipment, along with the potential for high live loads.

#### 11.2.2 Walls

Finishes should take account of the ease of cleaning and maintenance. Scuffing of walls by moving equipment and users may be a particular issue. Users may also use walls during stretching exercises. Therefore, sharp edges and wall projections should be avoided in these areas.

#### 11.2.3 Mirrors

Continuous mirrors should be provided in required zones. The mirrors should be a minimum of 2m high. Mirrors should be securely fixed above skirting level, to avoid impact from loose weights or cleaning equipment. Large continuous mirrors should not be directly fixed to walls but be fixed onto a rigid plywood backing board to avoid distortion. Point fixing mirrors should be avoided. Mirrors should be bonded to their backing board or mounted onto a metal carrier frame.

#### 11.2.4 Ceilings

Fully suspended ceilings with raised (or coffered) feature areas giving extra height needed for specific equipment. Support integrated or hanging fixtures e.g. loud speakers, ventilation grilles, lighting. Heavier fittings e.g. air conditioning units, screens or gantries should be supported from structural points. Allow easy access to building services located above the ceiling. Gyms are generally highly serviced areas.

### 11.3 Indoor Cricket Academy

10 Nos. of Indoor Practice Pitches are provided. Coach Rooms and Discussion Rooms are provided as part of the academy. Lockers and changing rooms are provided for the players.

### 11.4 Multipurpose Hall

Multipurpose hall is provided for recreation of the club members. Billiards, Snookers and table tennis tables are provided along with seating areas. This can be multi used for Yoga/ Meditation or some other purpose.

### 11.5 Swimming Pool

Full Olympic Size Pool of size 50m x 25m is provided along with required surrounding pool deck areas.

### 11.6 Cafeteria

Cafeteria cum informal seating area is provided in the club area.

### 11.7 Club Changing and Locker Rooms

Separate sets of locker and changing rooms are provided for the club areas. One set of Dry Changing and Locker Room for male and female is provided for Indoor Cricket Academy and Fitness centre. One set of Wet Changing and Locker Room for male and female is provided for Swimming Pool and Hydrotherapy.

### 11.8 Outdoor Courts and Recreational Areas

2 Nos. of outdoor Tennis Courts are provided on the Podium to activate it on non-match days.

2 Nos. of Basketball courts are provided in the public plaza areas along with 4.5m high fencing on east side (fixed) to be provide with two access gates. Skate park is also provided and designed to merge with the landscape of the public plaza areas. These outdoor courts and skate parks are provided to activate the public areas.

## 12. EXTERNAL FACILITIES

### 12.1 Pitch

The quality of the turfed playing surface is to be of a standard suitable for national and international sporting events. The pitch is to have irrigation and drainage, covering the full extent of the grassed area. The pitch is to be flat with the surrounding area up to the front row sloped only for drainage. Details of the pitch drainage & irrigation system will be as per ICC Guidelines

### 12.2 Scoreboard / Videoboard

Provide two video boards which will be located in opposite sides of the stadium and will provide re- play coverage to all patrons. Associated plant and equipment rooms are to be located in close proximity to the screens.

### 12.3 Parking

Parking distribution will be as follows:

- Public Parking (Outside the Stadium plot)
- People with disabilities Parking Bays
- Team Parking Bays:
- VVIP Parking
- Media Parking
- Police
- Ambulance
- Provide parking spaces for 3 Broadcast Trucks and 2 Team Coaches.

### 12.4 Transport

A strategy to address pedestrian and public transport routes will be developed in consultation with the traffic consultant and the stakeholders.

### 12.5 Advertising

Accommodations will be made for several varieties and locations of advertising:

### 12.6 Sports Lighting

Artificial Lighting levels are provided as per ICC standards. 4 Nos. of Highmast towers are considered.

### 12.7 Roof Design

The roof should be designed to be an architectural statement that reflects the aspirations of modern design within the Maldives context whilst providing an economical, lightweight structure that covers spectators. Careful consideration shall be given to ensuring that the shadow cast by the roof on the field of play is minimised to provide the optimum growing conditions for the natural grass pitch.

### 12.8 Roof Services and Roof Access

The roof will house the following services and access provisions amongst others:



- House lighting and Emergency Lighting supported from the circumferential service zone.
- Provision for PA Speakers supported from the circumferential service zone.
- Architectural Lighting

#### 12.9 Façade Design

The entries shall be read from the same architectural language with their own individual identity. The external façade massing and form shall be designed to mediate between the macro size of the stadium and the context at a human scale. The facades shall be designed such that they will maintain a maximum amount of visual transparency through the external envelope when viewed from both sides.

#### 12.10 Earth Mound Seating

East Side of the stadium has Earth Mound (sloping) Seating acting as an informal spectator viewing areas. This mound opens up the stadium bowl from east side towards the ocean. Two staircases and ramps and provided which leads to the mound seating. The slope to be provided for the mound should be in accordance to the seating standards as per Safety Guidelines.

#### 12.11 Amphitheater

Outdoor Amphitheater is provided in the east side plaza area facing the ocean. It is sloping in opposite direction of the slope of the mound. Combination of hardscape & green is used for its finish.

#### 12.12 Environmental / ESD Requirements

The design and construction of the Stadium will incorporate principles of Ecologically Sustainable Development. This will appropriately incorporate environmentally responsible materials and processes at the time of design/construction and to reduce energy consumption and adverse effects on the environment in both construction and operation which are financially viable.

### **B] Contract execution period**

The overall duration of the contract is expected to be: 18 months

### **C] Other Information: Nil**

**D] GOI Guidelines**

As per the GOI guidelines, goods and services for minimum 75% value of the contracts covered under the Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at <https://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

## Part II. Instructions to Applicants [ITA]

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## Part II. Instructions to Applicants

### A. General

- 1. Background**      1.1      The Export-Import Bank of India (hereinafter called "the Bank"), on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities (hereinafter called "Lines of Credit") under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called "Borrower or Employer"), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Prequalification**      2.1      With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document ("Application Document") is being issued by the Bank to prospective applicants ("Applicants") interested in submitting applications ("Applications") for prequalification as per the scope described in Part I, Scope of EPC and subject to the provisions of this Application Document.
- 3. Ethics and Integrity**      3.1      The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts<sup>1</sup>, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
- 3.2      In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

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<sup>1</sup> These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

- 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has violated the provisions stated in ITA 3.1 above, the Bank, inter alia,
- a. shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
  - b. may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

**4. Eligibility of Applicants**

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.

- 4.5 An Applicant that has been blacklisted/ debarred/ sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/ bidding process as applicable, during such period of time as the sanctioning authority shall have determined. In case the Applicant is blacklisted/ debarred/sanctioned, the Applicant shall immediately inform Exim Bank of such blacklisting/ debarment/sanction and reasons thereof. This may lead to cancellation of prequalification / contract.
- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if (a) it is currently under default on any loan to any Bank/Financial Institution (FI) and its account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and/or (b) its promoters/directors appear in Negative List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies, updated from time-to-time. On being included in any of the above lists post the prequalification process (i.e. including during bidding process and/or Project implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons thereof. This may lead to cancellation of prequalification / contract.
- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

## **B. Composition of the Application Document**

### **5. Contents of this Document**

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.

- Part I. Scope of EPC
- Part II. Instructions to Applicants (ITA)
- Part III. Data Sheet (DS) to ITA
- Part IV. Prequalification Criteria and Requirements
- Part V. Forms
- Part VI. Questionnaire for Applicants

5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

## 6. Clarifications

6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

## 7. Corrigendum of Application Document

7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.

7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.

7.3 Post Corrigendum, in order to allow sufficient time to the prospective



Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

### C. Preparation, Submission and Opening of Applications

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|--|--|
| <b>8. Cost towards Application</b>                             | 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.   |
| <b>9. Language</b>   | 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.  |
| <b>10. Documents constituting the Application</b>              | 10.1 The Application shall comprise the following: <ul style="list-style-type: none"><li>(a) Application Submission Form, in accordance with ITA 11.1;</li><li>(b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;</li><li>(c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and</li><li>(d) any other document required as specified in the <b>DS</b>.</li></ul> |
| <b>11. Application Submission Form</b>                         | 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.  |
| <b>12. Documents Evidencing the Applicant's Eligibility</b>    | 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).  |
| <b>13. Documents Evidencing the Applicant's Qualifications</b> | 13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the   |

questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.

13.2 If an Applicant does not manufacture or produce the goods it offers to supply; it shall submit documentation related to Manufacturer's Authorization as specified in the **DS**.

13.3 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:

- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
- (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31<sup>st</sup> March of respective financial year as specified in the **DS**;
- (c) for data related to Liquidity, Annual Residual Contract Value and contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent as specified in the **DS**; and
- (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the **DS**.

**14. Authorized  
Signatory and  
Number of Copies**

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

**15. Preparing the  
Application  
Envelope**

15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:

- (a) be addressed to the Bank, as per ITA 16.1;

- (b) mention the name and address of the Applicant; and
- (c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

**16. Application  
Submission  
Deadline**

16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Exim Bank at the address and no later than the deadline indicated in the **DS**.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

**17. Late Applications**

17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

**18. Opening of  
Applications**

18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

**D. Procedures for Evaluation of Applications**

**19. Evaluation to be  
Confidential**

19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

**20. Clarifications during**

20.1 During the evaluation of Applications, in case of ambiguity, the

**Evaluation**

Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

- 20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

**21.Determination of  
Responsiveness of  
Applications**

- 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

**E. Evaluation of Applications and Prequalification of Applicants**

**22.Evaluation of  
Applications**

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractor(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.
- 22.3 Only the qualifications of the Applicant, in the capacity as prime contractor/ member of JV to the project employer, shall be considered. In particular, the qualifications of parent or subsidiary or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA 4.3, shall not be considered.

- 22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered. However, the experience of the SPV can be considered in favour of the Applicant provided it is established through documentary evidence that the SPV was established by the Applicant pursuant to a concession granted by a Project Authority who is unrelated to both the Applicant and the SPV and the Letter of Acceptance of bid/ Letter of Award was issued by the Project Authority in favour of the Applicant. Further, the FAC issued by the Project Authority should indicate that the project was executed by the Applicant as an EPC contractor.
- 23. Bank's Right to Accept or Reject Applications** 23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 24. Prequalification of Applicants** 24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.
- 25. Notification of Prequalification** 25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.
- 25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.
- 26. Subsequent Invitation for Bids** 26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of bids.

Part III. Data Sheet (DS) to ITA	
<b>A. General</b>	
<b>ITA 2.1</b>	<p>The identification of the Invitation for Prequalification (IFP) is:</p> <p>GOILOC-309/Maldives/EPC-51</p> <p>The Bank's address is: Export-Import Bank of India Office Block, Tower 1 7<sup>th</sup>Floor, Adjacent to Ring Road Kidwai Nagar [East] New Delhi -110023 Tel :+91 – 11 – 23474829/23474800 Email: <a href="mailto:eximloc@eximbankindia.in">eximloc@eximbankindia.in</a> Website: <a href="http://www.eximbankindia.in">www.eximbankindia.in</a></p>

ITA 4.3	<p><b>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%.</b> The maximum number of other members in the JV shall be such that each of the other members should have at least <b>20%</b> share. The JV can be either an incorporated JV company or an unincorporated consortium/ association [JVCA] based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract [in terms of percentage] being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> <li>- Names of the Lead Partner and each of the other members</li> <li>- Percentage share of each JV member</li> <li>- Confirmation of Joint and Several Liability of all members of the JV</li> <li>- Scope of JV Partners</li> </ul> <p>The JV is permissible at Prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified EPC Contractors and non-prequalified EPC Contractors or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same contract package in a prequalification process.</p> <p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p>
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ITA 4.6	<p>A list of debarred firms and individuals by: The World Bank is available on the website: <a href="http://www.worldbank.org/debarr">http://www.worldbank.org/debarr</a></p> <p>The Asian Development Bank is available on the website: <a href="http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&amp;count=999">http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&amp;count=999</a></p> <p>The African Development Bank is available on the website: <a href="http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/">http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</a></p> <p>The European Bank for Reconstruction and Development is available on the website: <a href="http://www.ebrd.com/pages/about/integrity/list.shtml">http://www.ebrd.com/pages/about/integrity/list.shtml</a></p> <p>The Inter-American Development Bank is available on the website: <a href="http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html">http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</a></p>
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## B. Contents of the Application Document

ITA 6.1	<p>For <b>clarification purposes</b>, the Bank's address is:</p> <p>Mr. Ashok Kumar Vartia Deputy General Manager Export-Import Bank of India Office Block, Tower 1 7<sup>th</sup> Floor, Adjacent to Ring Road Kidwai Nagar [East] New Delhi -110023 Email: <a href="mailto:eximloc@eximbankindia.in">eximloc@eximbankindia.in</a></p>
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## C. Preparation, Submission & Opening of Applications

ITA 10.1 (d)	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <b><u>On-line mode of Application</u></b></p> <p>The applicant shall submit the application through Exim Bank's portal <b><u><a href="https://locprocure.eximbankindia.in">https://locprocure.eximbankindia.in</a></u></b></p>
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	<p>For details for submitting Online Application, please refer the above portal.</p> <p><b><u>Minimum Requirements:</u></b></p> <ol style="list-style-type: none"> <li>1. Computer/Laptop with Internet connection.</li> <li>2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit.</li> <li>3. Java Version: 1.8 or above</li> <li>4. Mozilla version should be 45.0 and above (x86 only i.e. OS of 32 Bit Version)</li> <li>5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.</li> </ol> <p><b><u>For any Online technical clarification, kindly contact:</u></b></p> <p>Bob E Procure Pvt. Ltd. 3 / 4, 3<sup>rd</sup> Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069</p> <p>Email: pqsupport@bobeprocure.net</p>
	<p><b>ii) <u>Off-line mode of Application</u></b></p> <p>The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p>
	<p><b><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance</u></b></p>

	<u>with the requirements mentioned in this Clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u>																																											
<b>ITA 13.2</b>	Undertaking related to Manufacturer's Authorization in accordance with Form E.4.1 included in Part V of this Application Document.																																											
<b>ITA 13.3</b>	<p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (<a href="https://www.fbil.org.in/">https://www.fbil.org.in/</a> )</p> <p>In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: <a href="http://www.oanda.com">http://www.oanda.com</a></p>																																											
<b>ITA 13.3(b) &amp; (d)</b>	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table border="1"> <thead> <tr> <th></th><th><b>USD 1 = INR</b></th><th><b>1 INR = USD</b></th></tr> </thead> <tbody> <tr><td>31-Mar-08</td><td>39.9700</td><td>0.0250</td></tr> <tr><td>31-Mar-09</td><td>50.9500</td><td>0.0196</td></tr> <tr><td>31-Mar-10</td><td>45.1400</td><td>0.0221</td></tr> <tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr> <tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr> <tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr> <tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr> <tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr> <tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr> <tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr> <tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr> <tr><td>31-Mar-19</td><td>69.1713</td><td>0.0145</td></tr> <tr><td>31-Mar-20</td><td>75.3859</td><td>0.0133</td></tr> </tbody> </table>			<b>USD 1 = INR</b>	<b>1 INR = USD</b>	31-Mar-08	39.9700	0.0250	31-Mar-09	50.9500	0.0196	31-Mar-10	45.1400	0.0221	31-Mar-11	44.6500	0.0224	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154	31-Mar-19	69.1713	0.0145	31-Mar-20	75.3859	0.0133
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76.2032	0.0131				
<b>ITA 14.1</b>	<p>Additionally, one (1) copy in a pen drive shall also be submitted.</p> <p>The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>				
<b>ITA 16.1</b>	<p>Submission of Application is required in <b>dual mode i.e. on-line as well as off-line</b> as mentioned in ITA 10.1(d).</p> <p><b>The deadline for Application submission is:</b></p> <p><b>Date: July 20, 2020**</b></p> <p><u>** Please note that request for any extension in the Last Date for Submission of Applications shall not be entertained.</u></p> <p><b>Time: 15:00hrs</b></p> <p>For <b>application submission purposes only</b>, the Bank's address is:</p> <p>Bank's address is the same as that indicated in ITA 2.1</p> <p><i>Attention: <b>Mr. Ashok Kumar Vartia, Deputy General Manager</b></i></p>				
<b>ITA 18.1</b>	<p>The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1</p> <p><b>Date: July 20, 2020</b></p> <p><b>Time: 15:30hrs</b></p>				

#### **Part IV. Prequalification Criteria and Requirements**

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

##### **Contents**

<b>A</b>	<b>General</b>	<b>45</b>
<b>B</b>	<b>Past Contract Performance and Litigation</b>	<b>46-47</b>
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## A. General

<b>A.1 Nationality</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ The Applicant's Nationality shall be in accordance with ITA 4.4</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
<b>Documentation to be submitted</b>	Form A.1.1 and A.1.2
<b>Attachments to be submitted</b>	<ul style="list-style-type: none"> <li>➤ Articles &amp; Memorandum of Association, Certificate of Incorporation /Partnership Deed (in case of partnership firm) of the Applicant in accordance with ITA 4.4</li> <li>➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV</li> </ul>

<b>A.2. Eligibility</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5and ITA 4.7.</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
<b>Documentation to be submitted:</b>	Application Submission Form
<b>Attachments</b>	<ul style="list-style-type: none"> <li>➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached.</li> <li>➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Powers of Attorney for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents.</li> <li>➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> <li>• Permanent Account Number [PAN]: Self attested copy</li> </ul> </li> </ul>

	<ul style="list-style-type: none"><li>• GST Registration details, as applicable: Self attested copy</li><li>• Screening Committee Application / Approval of Project Exports Promotion Council of India - PEPC [MOCI].</li></ul>
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**B. Past Contract Performance and Litigation**

<b>B.1 Past Contract Non-performance</b>	
Requirement:	<ul style="list-style-type: none"><li>➤ There shall be no instance of contract<sup>2</sup> non-performance as a result of Applicant's default since February 01, 2015. (In case of JV, each member shall meet the requirement)</li><li>➤ The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application.</li><li>➤ Documentation and Attachments to be submitted as mentioned below</li></ul>
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

<b>B.2 Pending Contract Litigation</b>	
Requirement:	<ul style="list-style-type: none"><li>➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year (FY 2018-19), adjusted for 'Pending Contractual Litigation Value' as considered below:<ul style="list-style-type: none"><li>➤ 'Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements (FY 2018-19), which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'.</li></ul></li></ul>

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<sup>2</sup>Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Applicant have been exhausted.

	<p><b><u>*Adjusted Net Worth:</u></b>  <i>Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</i></p> <ul style="list-style-type: none"> <li>➤ Documentation and Attachments to be submitted as mentioned below.</li> <li>➤ In case of JV, each member shall meet the requirement.</li> </ul>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1
<b>B.3 Contract Litigation History</b>	
Requirement:	<ul style="list-style-type: none"> <li>➤ The aggregate amount of awards against the Applicant, if any, since February 01, 2015 shall not exceed 25% of net worth as per the latest audited financial statements. (In case of JV, each member shall meet the requirement.)</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

### C. Financial Status and Capacity

<b>C.1 Financial Status</b>	
Requirement:	<p>(i) The audited balance sheets, statements of profit &amp; loss and annual reports for the last 5 financial years (FY 2014-15 to FY 2018-19) shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ul style="list-style-type: none"> <li>a. Positive Net Worth for each of the last five (5) financial</li> </ul>

	<p>years defined above;</p> <p>b. Profit (i.e. Profit Before Tax) for at least two (2) out of last five (5) financial years defined above; and</p> <p>c. Annual Construction Revenue<sup>3</sup> in any one (1) of the last five (5) financial years as defined above be not less than USD 30.68 million.</p> <p>In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the project cash flow requirements of ongoing contracts including the present contract net of the Applicants other commitments. Minimum required liquid assets and/or credit facilities to be made available<sup>4</sup> for execution of the contract shall not be less than USD 7.67 million.</p> <p>In case of JVCA, for requirement (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	<p>Forms C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV)</p> <p>Form C.1.2 [in case of JV, for each member of the JV]</p>
Attachments to be submitted:	<p>The Applicant and its parties shall provide copies of audited financial statements for the last <b>five (5)</b> years as defined above. The financial statements shall:</p> <p>a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member).</p>

<sup>3</sup>In case of Contracts in INR, the exchange rate of 31<sup>st</sup> March of the financial year in which the contract was signed will be used to arrive at the USD equivalent.

<sup>4</sup>Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].



	<p>b) be independently audited and certified by the Statutory Auditors/ Chartered Accountants in accordance with applicable legislation.</p> <p>c) be complete, including all notes to the financial statements.</p> <p>d) correspond to accounting periods already completed and audited.</p> <p>Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application.</p>
<b>C.2 Value of ongoing contracts</b>	
Requirement	<ul style="list-style-type: none"> <li>➤ Aggregate annual residual value<sup>5</sup> of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years as defined above. In case of JV, this shall apply to each member.</li> <li>➤ Documentation and Attachments to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form C.2.1 certified by a Chartered Accountant
Attachments to be submitted:	None

## D. Experience

<b>D.1 General Experience</b>	
Requirement:	<ul style="list-style-type: none"> <li>➤ Experience in <b>EPC contracts in Construction of Sports Stadiums/ Integrated Sports Complexes in India</b> in the role of prime contractor or JV member to the main client, in India during the last ten (10) years, starting from June 01, 2010 <b>and up to application submission deadline</b>. (In case of JV, each member shall meet the requirement).</li> <li>➤ Documentation and Attachments to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	➤ Final Acceptance Certificate/ Completion Certificate/

<sup>5</sup>Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

	<p>Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.</p> <ul style="list-style-type: none"> <li>➤ In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: <ul style="list-style-type: none"> <li>❖ Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and</li> <li>❖ Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant;</li> </ul> </li> <li>➤ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</li> <li>➤ Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</li> </ul>
<b>D.2 Specific Experience</b>	<b>Refer Part I: Scope of EPC for details</b>
Requirement:	<p><b>A. At least the number and value of similar EPC contracts in Construction of a Stadium/Commercial Airport</b>, in the role of prime contractor or JV member<sup>6</sup> to the main client/project employer, completed between June 01, 2010 and application submission deadline:</p>

<sup>6</sup>Experience shall be considered on basis of scope of work of the Applicant in that JV for the contract under reference.

		<b>No. of Contracts</b>	<b>Value of Each Contract<sup>7</sup> (USD Million)</b>
	Option I	3	17.27
	OR		
	Option II	2	21.59
	OR		
	Option III	1	34.54
	<p>B. Additionally, the Applicant shall also demonstrate experience involving the following during the period referred to above:</p> <ul style="list-style-type: none"> <li>a) At least one project involving construction of a sports stadium/complex with a seating capacity of at least 12,000 people;</li> <li>b) At least one project involving construction of a drainage system for a sports complex;</li> <li>c) At least one project involving construction/rehabilitation of a cricket pitch of BCCI/ICC Standards..</li> <li>d) At least one project of Pre-Engineered Building Structure using Structural Steel.</li> <li>e) At least one project involving Construction of tensile fabric roofing with supporting structure and foundations.</li> </ul> <p>C. The Applicant shall submit the completion certificate as document of proof for completion of the similar nature of work and mention the date and cost of completion of the work as well as scope of contractor under the project.</p> <p>D. In case of JVCA, the requirement shall be met as below:</p> <ul style="list-style-type: none"> <li>i. Eligibility in terms of the number of contracts under each option can be aggregated across the JVCA members.</li> </ul>		

<sup>7</sup> In case of contracts in INR, for contracts signed in the current financial year, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at USD equivalent. For other contracts, the exchange rate as on 31st March of the financial year in which the contract was signed shall be used to arrive at the USD equivalent.

	<p>ii. The contracts considered for meeting the criterion at (i) above shall each be of the min. value as mentioned under each option.</p> <p>iii. In addition to the above, each member of the JVCA shall have executed atleast one contract of min. value of USD 8.64 mn.</p> <p>iv. In respect of the past experience of JVCA Applicant for meeting the experience required at (B) above, each member shall meet atleast 2 (two) conditions out of the five additional conditions, and all members shall meet 5 conditions together.</p> <p>E. Subcontractors' experience and resumes, if any, submitted with the application will not be taken into account in determining the Applicant's compliance.</p> <p>F. Documentation and Attachments to be submitted as mentioned below.</p>
Documentation to be submitted:	Form D.2.1
Attachments to be submitted:	<ul style="list-style-type: none"> <li>➤ Final Acceptance/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.2.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.</li> <li>➤ In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: <ul style="list-style-type: none"> <li>❖ Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and</li> <li>❖ Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant</li> </ul> </li> <li>➤ In case the contracts listed in D.2.1 are executed by the</li> </ul>

	Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.
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**E. Quality Assurance**

<b>E.1 Certified Management System</b>	
Requirement:	ISO Accreditation / Certified Quality Management System
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Accreditation / Quality Management System Certificate valid as on the deadline for submission of application

<b>E.2 Equipment</b>	
Requirement:	The Applicant must confirm that it can avail and deploy the necessary key tools & plants/ equipment that may be required for execution of the scope of work as defined in Part I.  Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form E.2.1
Attachments to be submitted:	None

<b>E.3 Skilled Human Resources</b>	
Requirement:	Applicant must demonstrate that it can deploy the necessary personnel or requisite skills/experience for key positions.
Documentation to be submitted:	Form E.3.1
Attachments to	None

be submitted:	
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<b>E.4 Manufacturer's Authorisation (Undertaking)</b>	
Requirement:	<ul style="list-style-type: none"><li>• In the case of an Applicant who offers to supply and/or install equipment in accordance with Part I of the Application Document that the Applicant did not manufacture or otherwise produce, the Applicant shall submit an undertaking to submit Manufacturer's Authorization(s) for all major equipment in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant.</li><li>• Documentation to be submitted as mentioned below</li></ul>
Documentation to be submitted:	Form E.4.1

**NOTE:**

The Evaluation Criteria for Prequalification of Applicants

<b>Sr. No.</b>	<b>Description</b>	<b>Remarks</b>
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Quality Assurance	Evaluation on Pass/ Fail basis

## Part V. Forms

### Table of Forms

Application Submission Form	:	
Checklist for Various Forms and Documents to be Submitted	:	
Applicant Information Form	:	
Applicant's JV Member's Information Form	:	
Past Contract Non-Performance, Pending Litigation and Litigation History	:	
Financial Status and Capacity	:	
Sources of Finance	:	
Annual EPC Turnover	:	
Ongoing Contracts	:	
General Experience	:	
Specific EPC Experience	:	
Certified Management System	:	
Contractor's Equipment Details	:	
Skilled Human Resources	:	
Manufacturer's Authorisation (Undertaking)	:	



### Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s).\_\_\_\_, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List, and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that **we are/are not** (*strike off whichever is not applicable*) facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)
- (g) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that

misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

### Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of the JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member	
*	3. PAN and GST Registration Details of the JV Member	
*	4. Organizational chart of the JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of the JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	

*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditors of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 5 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual EPC Turnover, certified by the Statutory Auditors of the Applicant	
C.2.1 *	Ongoing Contracts (Certified by a Chartered Accountant)	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	3. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	

*	2. In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Certified Management System	
	Self-attested copy of ISO Accreditation / Quality Management System Certificate	
E.2.1	Contractor's Equipment Details Undertaking stating that the equipment listed under E.2. Contractor's Equipment can be arranged and deployed at site.	
E.3.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
E.4.1	Manufacturer's Authorisation (Undertaking)	
Part VI. *	Questionnaire for Applicants	

Note:i. Please insert page numbers

ii. Documents marked '\*' to be submitted for each JV member separately.

## Form A.1.1

## Applicant Information Form

(to be filled by Applicant if being a Single Entity or as Lead member of JV)

Date: *[insert day, month, year]*IFP No. and title: *[insert IFP number and title]*Page *[insert page number]* of *[insert total number]* pages

Applicant's name

*[insert full name]*

In case of Joint Venture (JV), name of each member:

*[insert full name of each member in JV]*

Applicant's country\* of registration:

*[indicate country of Constitution]*

Applicant's actual year of incorporation:

*[indicate year of Constitution]*

Applicant's legal address [registered in India]:

*[insert street/ number/ town or city/ country]*

Applicant's authorized representative information

Name: *[insert full name]*Address: *[insert street/ number/ town or city/ country]*Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]*E-mail address: *[indicate e-mail address]*

1. Attached are copies of original documents of

- ☐ Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4.
- ☐ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3.

2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

\* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

## Form A.1.2

## Applicant's JV Member's Information Form

*[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name:

*[insert full name of the JV]*

Applicant's JV Member's name:

*[insert full name of Applicant's JV Member]*

Applicant's JV Member's country\* of registration:

*[indicate country of registration]*

Applicant JV Member's date of constitution:

*[indicate date of constitution in dd/mmm/yyyy]*

Applicant JV Member's legal address registered in India:

*[insert street/ number/ town or city/ country]*

Applicant JV Member's authorized representative information

Name: *[insert full name]*

Address: *[insert street/ number/ town or city/ country]*

Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]*

E-mail address: *[indicate e-mail address]*

1. Attached are copies of original documents of Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4.

2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors, and



the beneficial ownership.

\* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities

**Form B.1.1/ B.2.1/ B.3.1****Past Contract Non-Performance, Pending Litigation and Litigation History**

*[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[insert name of JV Leader or JV Member/s]*

<b>Form B.1.1</b>			
Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
<b>Year</b>	<b>Non-performed portion of Contract</b>	<b>Contract Identification</b>	<b>Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)</b>
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

**Form B.2.1**

Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements

- ☐ No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2
- ☐ Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.

<b>Year of dispute</b>	<b>Amount in dispute (currency)</b>	<b>Contract Identification</b>	<b>Total Contract Amount (currency), USD Equivalent (exchange rate)</b>	<b>Amount of specific provision already made, if any</b>
<i>[insert financial year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification]  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Matter in dispute: <i>[indicate main issues in dispute]</i>  Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

		Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>		
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Form B.3.1			
Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the dates specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)

<p><i>[insert financial year]</i></p>	<p><i>[insert percentage]</i></p>	<p>Contract Identification: [indicate complete contract name, number, date, value and any other identification]</p> <p>Name of Employer: <i>[insert full name]</i></p> <p>Address of Employer: <i>[insert street/city/country]</i></p> <p>Matter in dispute: <i>[indicate main issues in dispute]</i></p> <p>Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i></p> <p>Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i></p>	<p><i>[insert amount in USD equivalent and specify exchange rate and date]</i></p>
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## Form C.1.1

## Financial Status and Capacity

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

## 1. Financial data

Type of Financial information in	Historic information for previous five (5) years, (amount in Indian Rupees)				
	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19
<b>Sources of Fund</b>					
Share Holder's Fund					
Loan Fund					
<b>Application of Fund</b>					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current Assets, loans and advances					
Less: (ii) Current liabilities & provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					
<b>Net Worth</b>					
Net Worth					

<b>Information from Income Statement</b>					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
<b>Information From Cash Flow Statement</b>					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

Registration No/ Membership No:  
(of the Statutory Auditors of the Applicant/each JV member)

Stamp

Date:

Place:

## Form C.1.2

## Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

*Applicant's Name: [insert full name]*

*Date: [insert day, month, year]*

*Joint Venture Member Name: [insert full name]*

*IFP No. and title: [insert IFP number and title]*

*Page [insert page number] of [insert total number] pages*

*Information pertaining to: [Insert name of JV Leader or JV member/s]*

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant **(amount in Indian Rupees)**

Sr. No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	<b>Total Fund Based</b>			
.	Non-fund Based Limits			
	a			
	b			
	C			
	<b>Total Non –fund Based</b>			
	<b>Total Fund and Non-fund Based Limit</b>			

B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant



Sr. No.	Type of Liquid Assets	Amount(in Indian Rupees)
1.		
2.		
3.		

### 3. Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV for each member, and not of an affiliated entity (such as parent company or group member);
- (b) be independently audited or certified in accordance with local legislation;
- (c) be complete, including all notes to the financial statements;
- (d) correspond to accounting periods already completed and audited.

- ☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements.
- ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
- ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

:

## Form C.1.3

## Annual Construction Turnover

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

	Annual Turnover Data						
Year	Construction Turnover Amount (INR)			Total Turnover (INR)	Construction Turnover as % of Total Turnover	Exchange rate* (v/s USD)	Construction Turnover in USD equivalent
	Contract s in India	Overseas Contract s	Total EPC Turnover				
	[A]	[B]	C = [A+B]				
2014-2015							
2015-2016							
2016-2017							
2017-2018							
2018-2019							

\* Refer ITA 13.3 for source of exchange rate. Exchange rate prevalent on 31<sup>st</sup> March shall be used to calculate turnover for respective financial year.

Registration No/ Membership No:

Stamp

(of the Statutory Auditors of the Applicant/each JV member)

Date:

Place:

## Form C.2.1

## Ongoing Contracts

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by a Chartered Accountant. Failure to comply with this requirement may result in rejection of the Application.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion	Residual value of Work	Annual Residual value of Work
1	2	3	4	5	6	7	8
Contract Ref:  Brief Title of the works:  Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i>  Name & Address of Employer:	<i>[insert "Prime Contractor" or "JV Member"]</i>				<i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert value in US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i>	<i>[Insert value in US\$ equivalent]</i>  <i>[7 divide by 6]</i>

**Part V. Forms**

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							<i>[Insert Total for all Contracts in USD]</i>

\* Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD. Refer ITA 13.3 for source of exchange rate

Registration No/ Membership No:  
(of the Chartered Accountants of the Applicant/each JV member)

Stamp

Date:

Place:

## Form D.1.1

## General Experience

*[The following table shall be filled in for the Applicant and in the case of a JV Applicant, for each Member]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

*[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]*

<b>Contract Identification</b>	<b>Start Date</b>	<b>Initial Contractual End Date</b>	<b>Actual Completion Date and Reasons for delay, if any</b>	<b>Role of Applicant</b>
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>		<i>[insert "Prime Contractor" or "JV Member"]</i>

\* Refer ITA 13.3 for date and source of exchange rate

Final Acceptance Certificate/Completion Certificate / Testimonial Letters issued by the employer / Concession Granting Authority in case of SPV to be attached for each contract in an orderly fashion.

## Form D.2.1

## Specific EPC Experience

*[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

## Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual:</i> <i>[dd/mmm/yyyy]</i>		<i>Actual: [dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name:  Address:  Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics and technical aspects listed in Sub-Factor D.2]</i>		
<b>For the above contract, mention the following attributes</b>			
1. Capacity/ Physical size of key works	<i>[insert capacity/ physical size of key work items]</i>		

items	
2. Complexity	<i>[insert description of complexity in accordance with the technical aspects mentioned under Part IV D.2]</i>
3. Methods/ Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>
4. Rate of execution for key items	<i>[insert execution rates for key items]</i>
5. Other Characteristics	<i>[insert other characteristics as appropriate]</i>

*Note: Rate implies the quantity executed in unit time e.g. cubic meters of earthwork per annum, etc.*

\* Refer ITA 13.3 for date and source of exchange rate.

\* Final Acceptance Certificate /Completion Certificate / Testimonial Letters issued by the employer / Concession Granting Authority in case of SPV to be attached for each contract, in an orderly fashion



## Form E.1.1

## Certified Management System

*[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
<i>[indicate the quality management system accreditation]</i>	<i>[indicate certificate identification number and mention which process or discipline has been certified and inclusions]</i>	<i>[dd/mmm/yyyy]</i>	<i>[dd/mmm/yyyy]</i>	<i>[indicate the name of the certifying organization and contact details]</i>

Copy of ISO Certificate(s) to be attached

**Form E.2.1**

**Contractor's Equipment Details**

*[In case of JVCA, this form shall be submitted on a combined basis]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy following equipment, listed in E.2, at site:

<b>Equipment Type</b>	<b>Make/ Model</b>	<b>Capacity</b>	<b>Number</b>
<i>[mention the generic name/ type of equipment]</i>	<i>[indicate make/ model of equipment]</i>	<i>[indicate capacity of equipment]</i>	<i>[indicate number available]</i>

*[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]*

**Form E.3.1**

**Skilled Human Resources**

*[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.3:

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

*[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]*

**Form E.4.1**

**Manufacturer's Authorisation (Undertaking)**

*[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) to bid for the supply of equipment for the tender under reference;
- (b) confirming guarantee/ warranty support for the equipment bid for by us; and
- (c) confirming that the equipment being bid for to be supplied shall be new and unused.

*[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]*

**Part VI. Questionnaire for Applicants**

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

<b><u>Eligibility, Confirmation and Other Important Information</u></b>	<b><u>Response*</u></b>
<p>1. Is your firm, either singly or in joint venture currently empanelled or prequalified as an EPC contractor.</p> <p>If yes, please provide details of Client, Country and Scope of EPC. Attach additional sheets, if needed.</p>	<p>Confirm:</p> <p>Yes__ / No __</p>
<p>2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper.</p> <p>Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.</p>	<p>Confirm:</p> <p>Yes__ / No __</p>
<p>3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.</p>	<p>Confirm:</p> <p>Yes__ / No __</p>
<p>4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.</p>	<p>Yes__ / No__</p>
<p>5. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary.</p>	<p>Yes____ / No__ =</p>
<p>6. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.</p>	<p>Yes__ / No__</p>
<p>7. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.</p>	<p>Yes__ / No__</p>

8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes__/No__
9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Confirm:  Yes__/_/No__ Yes__/_/No__ Yes__/_/No__ Yes__/_/No__
10. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 <sup>th</sup> December 2015 on IDEAS Lines of Credit	Confirm:  Yes__/_/ No __

*\* Note: To confirm, print "Yes", else "No"*

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*(Signature)*

*[Name of Authorized Signatory]*

*[Title of Authorized Signatory]*

Date:

