Application Document

for

Prequalification of Project Management Consultants [PMC] for Upgradation of Mongla Port in Bangladesh

Export-Import Bank of India
Date: January 18, 2021

INVITATION FOR PREQUALIFICATION OF PMC FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities or consortia led by Indian entities.

These Lines of Credit (LOCs) are governed by the guidelines issued by the GOI from time-to- time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 07, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance of the above, the Bank invites Applications from eligible Indian entities or joint venture between Indian and Bangladeshi entities for prequalification of consultants for Project Management Consultancy (PMC) Services for Prequalification of Project Management Consultants [PMC] for Up gradation of Mongla Port, in Bangladesh, under a GOI-supported Line of Credit (LOC) extended to the Government of Bangladesh.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant Name	*<Applicant Name>*
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	Export-Import Bank of India
[iv]	Account No.	HO00INR11011004
[v]	IFSC Code	EIBI0HO0001
[vi]	GST Number	27AAACE2769D1ZS
[vii]	Narration for NEFT	**GOILOC-274/Bangladesh/CS-35**

The preparation and submission of Application for Prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by **February 19, 2021 15:00 hours**, at the following address:

Mr. Sandeep Kumar
Deputy General Manager, Lines of Credit
Export-Import Bank of India
Office Block, Tower 1,
7th Floor, Adjacent Ring Road,
Kidwai Nagar [East]
New Delhi – 110023
Tel: +91 11-2460 7700
E-mail: eximloc@eximbankindia.in

This Application Document (AD) consists of the following Parts:

Part I. **Scope of Consultancy Services**

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/Procuring Entity subsequent to this prequalification.

Part II. **Instructions to Applicants (ITA)**

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification ("Applications") and the procedures for this prequalification process.

Part III. **Data Sheet (DS) to ITA**

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. **Prequalification Criteria and Requirements**

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/Procuring Entity.

Part V. **Forms**

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI **Questionnaire for Applicants**

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/joint venture with respect to past performance and prospective engagement.

Application Document

for

Prequalification of Project Management Consultants [PMC] for Upgradation of Mongla Port in Bangladesh

Invitation for Pre-Qualification
No. GOILOC-274/Bangladesh/CS-35

Export-Import Bank of India
Date: January 18, 2021

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Part I: Scope of Consultancy Services

The Export-Import Bank of India (hereafter Exim Bank or the Bank), on behalf of the Government of India (GOI) has approved financing of a project out of a Line of Credit extended to the Government of Bangladesh. A part of the LOC shall be utilized for Upgradation of Mongla Port, Bangladesh, for which Exim Bank now seeks applications for prequalification from eligible Indian entities or joint venture between Indian and Bangladeshi entities. Refer Part II ITA 4 for eligibility provisions.

A] Background

Mongla Port stands in a strategic position to support international trade. Entire western part of Bangladesh, Nepal, Bhutan and boarder areas of India are considered as its hinterland and it has unique opportunity to play a vital role in the arena of regional trade & economy as well. At present 35 ships can take berth at a time in Mongla Port. It has 6 jetties, but no dedicated container jetty. Mongla Port has capacity to handle 100.00 lac m. tons of cargo, 1 Lac TEUs [**Twenty-foot Equivalent Unit**] containers, 1500 cars yearly with the existing facilities.

Government of Bangladesh has undertaken several development activities, such as, construction of Padma Bridge at Mawa point, establishment of Khulna-Mongla railway link, construction of Khan Jahan Ali airport, establishment of 1320 MW powered coal based power plant at Rampal, Special Economic Zone etc. to revive the Mongla Port and south western zone of Bangladesh. After completion of the Padma Bridge, an opportunity will be opened up for Dhaka based export and import cargo, especially for ready-made garments. Moreover, after establishment of coal based power plant at Rampal, minimum 45 lac m. ton coal would likely be imported through Mongla Port annually as raw material for the Power Plant. Consequently, the uses of Mongla port will increase significantly.

To meet up these additional demands/requirements efficiently and effectively, Mongla Port proposes to undertake a project named 'Upgradation of Mongla Port', for construction of Container Terminal, Container Handling Yard, Container Delivery Yard including all facilities, Service Vessel Jetty, Shed, Office including all facilities, MPA Tower, Port Residential Complex and Community Facilities, Mechanical Workshop, Equipment Yard, Yard Shed and MT Pool with all equipment, Marine Workshop Complex with Slipway and all equipment, Overpass at Digraj Rail Crossing, River Embankment with road and recreation facilities, Procurement of Harbour Crafts (5 nos.), Expansion of Port Protected Area with Security System, Road, Security, Yard, Shed Wall, Automation and Other Infrastructure etc. and Expansion of Bandar Bhaban (Administration Building) and other related facilities. Mongla Port after completion of the project, would be able to handle additional 15 million M. Ton cargo and 4,00,000 TEUs container.

B] Description of Scope for Project Management Consultant (PMC) services

The objective of the consulting services is to achieve the efficient and proper implementation of the Project through the following works:

1. Components of the project

The following present, in brief, the major components of the project:

I. Construction of Container Terminal including all facilities at Jetty No. 1& 2
II. Construction of Container Handling Yard including all facilities
III. Construction of Container Delivery Yard including all facilities
IV. Expansion of Port Protected Area with Security System, Road, Yard, Shed, Security Wall, Automation and Other Infrastructures etc.
V. Construction of Service Vessel Jetty, Shed, Office including all facilities
VI. Construction Port Residential Complex and Community Facilities
VII. Expansion of Bandar Bhaban (Administration Building)
VIII. Construction of Mechanical Workshop, Equipment Yard, Equipment Shed and MT Pool with all equipment
IX. Construction of Marine Workshop Complex with Slipway and all equipment.
X. Construction of Overpass at Digraj Rail Crossing
XI. Procurement of Harbour Crafts (8 nos.).
XII. Expansion of Existing main road upto 6 lane bypass road upto 4 lane and internal road upto 2 lane.
XIII. Construction of 1No. Multi Storied Car Yard.

2. Objectives of the Works

The main objective of the consultancy work is to design (Structural and Architectural) the civil engineering/ infrastructures and prepare the necessary drawings, inspect & monitor the construction work of the proposed infrastructure and equipment installation, thereby facilitating smooth implementation of Project. For this purpose, Consultant is expected to play a positive and independent role in discharging its functions. The objective of the consultancy work, as well as, the role and functions of the consultant shall include the following:

I. To prepare the Structural, Architectural , Electrical, Plumbing, sanitary, Interior, 3D modeling, prototype model, bill of quantity, tender documents(if required), layout plan, and all other relevant drawings and design of infrastructures as per requirements of site condition or requirements of authority.
II. To correct or re-design any component/structure or anything relevant to the project.
III. Full time Inspection and monitoring of Construction Works;
IV. Monitoring the installation of equipment;
V. To ensure that necessary tests for the quality of material and quality of construction are performed by the contractor;
VI. Conducting Tests on completion of construction and issuing Completion/Provisional Certificate;
VII. Determining, as required under the Agreement, the costs of any works or services and/or their reasonableness.
VIII. Determining, as required under the Agreement, the period or any extension thereof, for performing any duty or obligation;
IX. Reviewing the data and information provided by the Contractor/Authority in accordance with this Agreement;
X. Monitoring the compliance of the Contractor/Authority with the terms of this Agreement;

XI. Assisting the Parties in resolution of disputes as regards the Designs and Drawings; and

XII. Undertaking all other duties and functions as envisaged under the Agreement.

XIII. All drawing –design of harbour craft including full specification and BOQ.

XIV. Assist to prepare specification of handling equipment of the project.

XV. The consultant has to appoint external has to appoint external agent/agency for inspection and classification of goods and vessels with approval of MPA. Cost associated for this appointment will be carried by consultant.

XVI. Any other services deemed to performed for execution of Scope of work

3. Scope of PMC Works

The scope of the PMC work is summarised as below:

(i) Structural and Architectural Designs and Drawings

- To collect the supporting design data/parameters including the geo-technical and hydrological investigations, topographical surveys and traffic surveys, soil investigation and all other related test and planning for design and drawings.
- To prepare the Structural, Architectural, Electrical, Plumbing, sanitary, Interior, 3D modeling, prototype model, bill of quantity, tender documents (if required), layout plan, and all other relevant drawings and design of infrastructures as per requirements of site condition or requirements of authority.
- Review the tender stage design of works completed earlier for adequacy and standards and provides comments thereon, if any.
- Obtain Client’s approval, as necessary, for any major changes required in the designs already completed for bidding stage before finalization of construction drawings.
- Incorporate the changes in all kind of designs/drawings as agreed with the Client.
- Prepare a supplementary design report by way of updating the tender level design report of the project giving sufficient details.
- Carry out all additional designs/modifications of designs that may emerge during project implementation, with the approval of the client.
- The consultant shall prepare design of works which may be identified by the client during project implementation for which design has not been prepared before. For that purpose, consultant would carry out needed surveys, investigations, and prepare design and bidding documents.
- To prepare the construction methodology, quality assurance procedures and the procurement, engineering and construction time schedule.
- Consideration of comments and suggestions of the Authority, if any, while furnishing the report.
- Prepare all kind of technical specifications of materials used.
- Finalization of design and specifications of the vessels including preparation of cost estimate (Item wise).

- Assist to prepare the specification of handling equipment under this project.

(ii) To prepare Progress Report during Construction Work

- To prepare the monthly progress reports as regards the Construction Works.
- To prepare documents for environmental clearance certificate and other clearance certificate (if required).

(iii) Inspection of Work and Reporting

- To inspect the construction works all over the construction period.
- To prepare a monthly inspection report (the “inspection report”) setting forth an overview of the status, progress, quality and safety of construction, including the work methodology adopted, the materials used and their sources, and conformity of construction works with the construction and safety standards.
- To describe in reasonable detail, the lapses, defects or deficiencies observed in the construction works, in a separate section of the inspection report and mention the correction or rectify method.
- To arrange power point presentation every 1.5 month during design period and every quarter during construction period to the Ministry and other concerned authority/ies.

(iv) Test of materials and Quality Control

- To ensure that the required tests for the construction materials are undertaken on a random sample basis and carried out by the Contractor/Authority for its own quality assurance in accordance with Good Industry Practice.
- To inspect the site and ensure that the Construction Works conform to Construction Standards.
- Issue necessary directions to the contractor for ensuring that the tests are conducted in a fair and efficient manner.
- To Monitor and prepare the test results.
- To ensure that the necessary tests are undertaken after any remedial works for removal or rectification of any defects or deficiencies.
- To determine the name of test required at different stage for all over the project.

(v) Monitoring Implementation Schedule

- To monitor the Project Schedule and completion of the Construction Works on the specified Milestone Dates with PERT and CPM method.
- To determine, as required under the Agreement, the period or any extension thereof, for performing any duty or obligation.
- To prepare progress report of construction and identify potential delays, if any.
- To propose necessary steps to be undertaken to expedite the progress, if necessary.
- Regarding the delay in progress, upon receipt of a report from the Contractor/Authority, the consultant shall prepare a report and send its comments to the Contractor/Authority forthwith.

- Provide adequate site supervision team for every lot under each package, approved by the MPA, resident team to be at site throughout the construction phase to administer the contracts. The team shall include suitably qualified and experienced engineers, inspectors, technicians, surveyors and assistants and shall be sufficient size to cover all aspects of the construction works on all parts of the site as needed.
- Issue instruction when necessary to the contractors under the contracts by the approval of PD/DPD/APD or authorized person.
- The engineer shall liaise and coordinate with the authorities concerned for shifting of the utilities as required for implementation of the project work.
- Management and coordination with all relevant utility authority, municipalities, road administration authorities.
- Management and coordination with the Department of Environment (DoE) for all DoE related certificate for the construction and implementation of the project
- Arrange for issuance of the “Notice to Commence Works” with the approval of Employer.
- Inspect and evaluate the contractors’ establishment including in particular the laboratory facilities to ensure with the terms and conditions of the contract agreement. Check and ensure to the client that the contractors have taken suitable measure with regard to the safety and health care of their workers as per accepted standard.
- Assure submission and advice on the adequacy of the contractor’s insurance policies, performance bonds, and advance payment guarantees.
- Inspect Quarries and borrow pits, and crushing plants, and order tests of materials and ensure adherence to specifications, and approve the sources of materials. Make arrangement to check the quality of materials brought to the site and ensure quality of construction consistent with the specifications.
- Maintain all relevant records of correspondence, instructions, drawings etc. relating to the administration and progress of the construction contracts and make the same available for inspection if required by the client.
- Rectify any drawings and design (if required) during construction period shall submitted and take approval from the authority within 07 days as per site condition.

(vi) Monitoring the Safety Standard

- To inspect the safety measures and ensure safety standard.
- Make recommendations to the Authority, if it is not safe to carry on construction works for any reason and identifying whether the whole or part of the construction works need to be suspended for ensuring safety.
- Upon remedial measures being taken, to inspect the safety measures for adequacy and recommend whether or not such suspension may be revoked by the Authority.
- To ensure the implementation of Environmental Management Plan (EMP) during the construction phase of the project that includes Dust suppression and Air quality management plan, Noise and waste management plan, Site establishment plan and green belt development, Water Resources and

ecosystem management plan, Occupation health & safety as well as Hazard/risk management plan (vii) To prepare of Costs and Procurement.

(vii) To prepare Costs and Procurement

- To prepare/determine, as required under the Agreement, the costs of any works or services and/or their reasonableness
- Prepare the detailed procurement procedure.

(viii) Issue of Completion/Provisional Certificates for Project Facilities

- To inspect the Construction Works with the intention of issuing the Completion Certificate and determine and notify the schedule and manner of the tests.
- To observe, monitor and review the results of the Tests to determine compliance of the Project with Specifications and Standards.
- To issue Completion Certificate upon completion of Construction Works after assessing the required Tests.
- To issue a provisional certificate of completion and prepare Punch List, if the Tests are successful and the Project can be safely and reliably placed in commercial operation though certain works or things forming part thereof are outstanding and not yet complete.
- Certify the BOQ during billing period of the project.
- Training program for MPA Engineers

B] Contract execution period

The overall duration of the contract is expected to be **60 (Sixty) Months.**

C] Other Information:

Mongla port is the second largest seaport of Bangladesh and holds a huge scope of shipping for export and import by neighboring countries like Nepal, Bhutan and India. It is located in the southwestern region of the country (22°28'N and 89°35'E), which is a part of alluvial delta formed by major rivers originating from the Himalayas. Precisely, Mongla port is situated at the confluence of Pussur River and Mongla Nulla approximately 71 nautical miles upstream of the Bay of Bengal and about 45 km in the south of Khulna Metropolitan City. The Port is surrounded as well as well protected by the world's largest mangrove forest "the Sundarbans". Mongla Port provides facilities of 5 jetties 600 feet each in length and 3 container yard of 35,529 sqm, 2 warehouse of 9,630 sqm, 4 transit shed of 9628 sqm and refer plug points 120 numbers with standby power generator and different types of cargo handling equipment. Port channel can provide facilities for maneuvering ships having length of 225 m and draft upto 8.5 m. Mongla Port can provide berth for 34 sea going ships at a time. Moreover, jetties in port based industries can accommodate berth to additional 7 nos. of ships. As a whole, Mongla Port is in a unique position to serve the regional as well as global sea trades.

D]GOI Guidelines

As per the GOI guidelines, goods, works and services for a minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at https://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf.

Part II. Instructions to Applicants [ITA]

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Part II. Instructions to Applicants

A. General

1. Background

1.1 The Export-Import Bank of India (hereinafter called "the Bank"), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called "Lines of Credit") under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called "Borrower or Employer"), for financing projects to be executed by Indian entities and in certain instances by joint venture (JV) between Indian and Borrower country entities. Payment by the Bank to the Indian entity/ JV entity will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.

2. Scope of Pre-qualification

2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document ("Application Document") is being issued by the Bank to prospective applicants ("Applicants") interested in submitting applications ("Applications") for prequalification as per the scope described in Part I, Scope of Consultancy Services and subject to the provisions of this Application Document.

3. Ethics and Integrity

3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts[1], as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.

3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding

[1] These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

for the contract(s) has violated the provisions stated in ITA 3.1 above, the Bank, inter alia,

(a) shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or

(b) may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

4.1 This prequalification exercise is open to Applicants, in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.

4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/JV Member's Information Form), for any part of the prospective contract(s) including related services.

4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorised representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the prequalification process. The specific provisions and limit on the number of members in a JV would be as specified in the **DS**.

4.4 Unless otherwise specified in the DS, this prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if it is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.

4.5 An Applicant that has been blacklisted/debarred/sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/bidding process as applicable, during such period of time as the sanctioning authority shall have determined. Subsequent to the deadline for Application submission, in case the Applicant is

blacklisted/debarred/ sanctioned, the Applicant shall immediately inform Exim Bank of such blacklisting/ debarment/sanction and reasons thereof. This may lead to cancellation of prequalification / contract.

4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/procuring entities/ corporations and maintained offline.

4.7 An Applicant shall be ineligible to be prequalified if (a) it is currently under default on any loan to any Bank/Financial Institution (FI) and its account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and/or (b) its promoters/directors appear in Negative List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies, updated from time-to-time; and/ or (c) any other conditions as specified in the DS. On being included in any of the above lists post the prequalification, the Applicant shall immediately inform Exim Bank on the inclusion and reasons thereof. This may lead to cancellation of prequalification / contract.

4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.

- Part I. Scope of Consultancy Services
- Part II. Instructions to Applicants (ITA)
- Part III. Data Sheet (DS) to ITA
- Part IV. Prequalification Criteria and Requirements
- Part V. Forms
- Part VI. Questionnaire for Applicants

5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS.** The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.

7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.

7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language

9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.

10. Documents constituting the Application

10.1 The Application shall comprise the following:

(a) Application Submission Form, in accordance with ITA 11.1;

(b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
(c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
(d) any other document required as specified in the **DS**.

11. Application Submission Form

11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.

12. Documents Evidencing the Applicant's Eligibility

12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).

13. Documents Evidencing the Applicant's Qualifications

13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause 13.1 may result in summary rejection of the Application.

13.2 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:

(a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
(b) Unless otherwise specified in the DS, for data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR) for Indian entities. Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year, as specified in the **DS**;
(c) for data related to contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent; and
(d) for contracts signed prior to the current financial year, the exchange rate as on last date of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the **DS**.

14. Authorized Signatory and Number of Copies

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

15. Preparing the Application Envelope

15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:
(a) be addressed to the Bank, as per ITA 16.1;
(b) mention the name and address of the Applicant; and
(c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

16. Application Submission Deadline

16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

17. Late Applications

17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications

18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential

19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications

20.1 During the evaluation of Applications, in case of ambiguity,

during Evaluation

the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Prequalification of Applicants

22. Evaluation of Applications

22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.

22.3 Only the qualifications of the Applicant, in the capacity as prime Consultant/member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA 4.3, shall not be considered.

22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/promoter/group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.

23. Bank's Right to Accept or Reject Applications

23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

24. Pre-qualification of Applicants

24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be pre-qualified by the Bank.

25. Notification of Prequalification

25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.

25.2 Applicants that have not been pre-qualified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not pre-qualified.

26. Subsequent Invitation for Bids

26.1 The Bank shall forward the list of pre-qualified firms to the Borrower for subsequent invitation of proposals.

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	The identification of the Invitation for Pre-qualification [IFP] is: **GOILOC-274/Bangladesh/CS-35** The Bank's address is: **Export-Import Bank of India** **Office Block, Tower 1,** **7th Floor, Adjacent Ring Road,** **Kidwai Nagar [East]** **New Delhi – 110023** **Email: eximloc@eximbankindia.in** **Website: www.eximbankindia.in**
ITA 4.3	(i) An Applicant may be a single entity or a combination of entities in the form of a JV between: (a) Indian entities, in which case, the lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%; or (b) Indian and Bangladeshi entities, in which case, the share of the Indian partner(s) whose share shall not be less than 26% of the JV shall not be less than 51% on aggregate basis. In case of such JV, Indian entity shall be the lead partner. (ii) The maximum number of other members (apart from the lead partner) in the JV shall be such that each of the other members should have at least **20%** share. (iii) The JV can be either an incorporated JV company or an unincorporated consortium/association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above. (iv) The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following: - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV (v) The JV is permissible at prequalification stage only. JV among prequalified Consultants and non–prequalified Consultants or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.

	(vi) The JVCA shall nominate a Lead Partner, in accordance with (i) above, who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution. (vii) In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s). (viii) The Joint Venture Agreement shall in all respect be governed by and interpreted either in accordance with the laws of India or the laws of Bangladesh, as agreed by the parties to the Agreement.
ITA 4.4	This prequalification exercise is open to Indian Applicants, or joint venture between Indian and Bangladeshi entities (as specified in ITA 4.3 and DS). An entity shall be deemed to be Bangladeshi if the entity is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of Bangladesh, as evidenced by its articles of incorporation and its registration documents, as the case may be.
ITA 4.6	A list of debarred firms and individuals by: The World Bank is available on the website: http://www.worldbank.org/debarr The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999 The African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/ The European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml The Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html
ITA 4.7	An entity from Bangladesh (as a JV partner) shall be ineligible to be prequalified if it has defaulted on any loan to any Bank/ Financial Institution (FI) and the name of the entity / promoters/ directors appear in the list published by the relevant agencies of the Government of Bangladesh from time to time. In case of participation from Bangladeshi entity suitable declaration has to be made by them.

B. Contents of the Application Document	
ITA 6.1	For **clarification purposes,** the Bank's address is: Mr. Sandeep Kumar Deputy General Manager Lines of Credit Group Export-Import Bank of India Office Bock, Tower 1, 7th Floor, Adjacent Ring Road, East Kidwai Nagar New Delhi – 110023 E-mail: eximloc@eximbankindia.in Website: www.eximbankindia.in

C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	The preparation and submission of Application Form will be in dual mode (on-line as well as off-line). i) On-line mode of Application The applicant shall submit the application through Exim Bank's portal **https://locprocure.eximbankindia.in** For details for submitting Online Application, please refer the above portal. Minimum Requirements: 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above(x86 only i.e OS of 32 Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.

ITA 10.1 (d)	For any Online technical clarification, kindly contact: Bob E Procure Pvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069 Email: pqsupport@bobeprocure.net **ii) Off-line mode of Application** The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No. The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form: The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents. **Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.**

<table>
<tr><td>ITA 13.2(a)</td><td>The source for determining exchange rates is:

(i) For conversion of foreign currency (except as specified in (ii) below, if any)/Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL)(https://www.fbil.org.in/)

For the historical exchange rates, which are not made available by FBIL, the reference rate published by Reserve Bank of India (RBI) and available on https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx may be used.

In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com

(ii) For conversion of Bangladeshi Taka (BDT) to US Dollar, the Reference Rates of Foreign Currency published by the Bangladesh Bank shall be applicable.</td></tr>
<tr><td>ITA 13.2(b) & (d)</td><td>For data related to financial statements ;

Indian Entities shall use the following rates

In respect of exchange rate, Indian entities shall use the following rates for conversion between USD and INR:

<table>
<tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr>
<tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr>
<tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr>
<tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr>
<tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr>
<tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr>
<tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr>
<tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr>
<tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr>
<tr><td>31-Mar-19</td><td>69.1713</td><td>0.0145</td></tr>
<tr><td>31-Mar-20</td><td>75.3859</td><td>0.0133</td></tr>
</table>

Bangladeshi entity shall use the Reference Rates of Foreign Currency published by the Bangladesh Bank between BDT and USD.
For data related to financial statements, the amounts shall be mentioned in Bangladesh Taka (BDT) as on 30th June of respective financial year.</td></tr>
</table>

<table>
<tr><td>ITA 13.2(c)</td><td>Applicants shall use the following rates for conversion between USD and INR:
<table>
<tr><th>USD 1 = INR</th><th>1 INR = USD</th></tr>
<tr><td>73.0243</td><td>0.0137</td></tr>
</table></td></tr>
<tr><td>ITA 14.1</td><td>Additionally, one (1) copy in pen drive shall also be submitted.

The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</td></tr>
<tr><td>ITA 16.1</td><td>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).

The deadline for Application submission is:
Date: February 19, 2021
Time: 15:00 hrs

For application submission purposes only, the Bank’s address is:
Bank’s address is the same as that indicated in ITA 2.1

Attention: Mr. Sandeep Kumar , Deputy General Manager</td></tr>
<tr><td>ITA 19.1</td><td>The opening of the Applications shall be at the Bank’s address as that indicated in ITA 2.1
Date: February 19, 2021
Time: 15:30 hrs</td></tr>
</table>

Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

A.1 Nationality	
Requirement:	➢ The Applicant's Nationality shall be in accordance with ITA 4.4 ➢ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted	Form A.1.1 and A.1.2
Attachments to be submitted	➢ Articles & Memorandum of Association, Certificate of Incorporation, Partnership Deed (in case of Partnership firm) of the Applicant in accordance with ITA 4.4. ➢ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV

A.2. Eligibility	
Requirement:	➢ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7. ➢ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	➢ The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. ➢ In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Power of Attorneys for each member of the JV along with copy (self-attested) of relevant Board Resolutions or equivalent documents. ➢ For an Applicant as a single entity or in case of JV, for each member's : o Permanent Account Number (PAN): Self attested copy for Indian consultant and similar document for Bangladeshi consultant in case of JV with Bangladeshi consultant. o GST Registration details, as applicable: Self attested copy for Indian consultant and similar document for Bangladeshi consultant in case of JV with Bangladeshi consultant.

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	• There shall be no instance of contract[2] non-performance as a result of Applicant's default since **January 01, 2016** (in case of JV, each member shall meet the requirement). • The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application. • Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	➢ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year 2019-20, adjusted for 'Pending Contractual Litigation Value' as considered below: • Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements , which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'. ***Adjusted Net-worth:*** *Net worth as per the latest financial statements* ***less*** *[25% of Pending Contractual Litigation Value* ***less*** *provisions made in the latest audited financial statements for pending contractual litigations] = Positive* ➢ Documentation and Attachments to be submitted as mentioned below. ➢ In case of JV, each member shall meet the requirement.
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

B.3 Contract Litigation History	

[2]Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

Requirement:	• The aggregate amount of court/arbitration awards related to contractual litigation against the Applicant, if any since **January 01, 2016**, shall not exceed 25% of net worth as per the latest financial statements (in case of JV, each member shall meet the requirement). • Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status	
Requirement:	(i) The audited balance sheets, statements of profit & loss and annual reports for the last three financial years i.e. FY-2017-18 to 2019-20 shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of: a) Positive Adjusted Net Worth for each of the last three (3) years as defined above; b) Profit (i.e. Profit Before Tax) for at least one (1) out of last three (3) years as defined above; and c) Annual Consultancy Revenue[3] in any one (1) of the last three (3) financial years as defined above, be not less than **USD 3.00 million**. In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), lead member shall meet at least 40% and each **member shall meet** at least 25%, and all members together shall meet 100%. (ii) Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV). Form C.1.2 [in case of JV, for each member of the JV]
Attachments to be submitted:	The Applicant and its parties shall provide copies of audited financial statements for the last three (3) years as defined above (FY 2017-18 to FY 2019-20). The financial statements shall: a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or

[3]Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply

	group member). b) be independently audited and certified by the Statutory Auditor/Chartered Accountants in accordance with applicable legislation. c) be complete, including all notes to the financial statements. d) correspond to accounting periods already completed and audited.

D. Experience

D.1 General Experience	
Requirement:	• Experience under PMC/ Lender's Engineer/ Owner's Engineer/ Supervision Engineer Contracts related to Sea port related infrastructure construction in the role of Prime Consultant or JV member to the main client, in India[4] during the last **ten (10)** years, starting from **January 01, 2011** and up to application submission deadline. (In case of JV, each member shall demonstrate similar experience as above) • Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	➢ Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. ➢ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. ➢ Sub-consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.

D.2 Specific Experience	**Refer Part I – Scope of Consultancy Services**
Requirement:	1. At least the number and value of similar contracts as mentioned below, for experience as PMC, for Sea port related infrastructure construction projects including jetty, container terminal, yard and building that have been satisfactorily completed as a prime consultant, or joint venture member to the main client, between,

[4] In case JV permitted with non-Indian entity, experience in India applies to only Indian member(s). For other JV member(s), experience is required in the entity's country of registration/ incorporation.

between **January 01, 2011** and application submission deadline:

	No. of Projects/ Contracts	**Value[5] of Projects / Value of PMC Contracts (USD mn)**
Option I	3	285 or 6
OR		
Option II	2	357 or 7.5
OR		
Option III	1	571 or 12

2. Additionally, the applicant shall demonstrate experience as PMC in the contracts submitted under D.2.1 above or in other contracts, in the following:
   i. Flyover;
   ii. Roads.

3. The applicant shall submit the completion certificate as document of proof for completion of the similar nature of the work and mention the date and cost of completion of the work.

4. In case of JVCA, the requirement shall be met as below:
   i. Eligibility in terms of Project Value shall be met by each of the JVCA member, and cannot be aggregated.
   ii. On meeting the Project Value criterion by each member of the JVCA, their past experience in terms of the number of contract(s) executed may be considered on combined basis for meeting the criterion on number of contracts at (1) above.
   iii. Past experience of JVCA member fully meeting one or more technical aspects, may be considered on combined basis for meeting the experience required at (1) above.

5. In addition to the above, each member of JVCA shall demonstrate experience of at least one project of 50% of the size of project indicated in Option I under (1) above, demonstrating experience similar to at least one of the technical aspects listed in (1) above.

6. In case, the completed project cited by an Applicant was supervised as member of the JV/ Consortium/ JVCA, credentials for same shall be considered based

[5] In case of contracts in INR, the exchange rate of 31st March of the FY in which the contract was signed, shall be used to arrive at the USD equivalent In case of contracts in BDT the exchange rate of 30June of the FY in which the contract was signed, shall be used to arrive at the USD equivalent

	on the role and responsibility of the Applicant under the contract. 7. Sub consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance. 8. Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form D.2.1
Attachments to be submitted:	Final Acceptance/Completion Certificate/Testimonial issued by the employer for each contract listed in Form D.2.1. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.

E. Quality Assurance

E.1 Certified Management System	
Requirement:	ISO Accreditation / Certified Quality Management System
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Accreditation / Quality Management System Certificate valid as on the deadline for submission of application

E.2 Skilled Human Resources

Requirement

- Applicant must demonstrate that it can deploy personnel for the key positions that meet the following requirements :

Proposed position	Minimum Desirable Qualification	Minimum Relevant Experience (in years)	Total Experience Post-Qualification (in years)	Number of Positions Required
Team Leader	Master degree in Structural/ Geotechnical/ Hydraulic Engineering	10	20	03

	Structural Specialist	Master degree in Structural Engineering	05	15	05
	Structural Engineer	Master degree, degree in Civil/Structural Engineering	05	15	05
	Quality Assurance and Procurement Engineer;	Master degree in Civil Engineering	05	10	05
	Hydraulic Structure Specialist	Master degree Hydraulic/Water Resources Engineering	05	15	05
	Hydrology & Geo-Morphological Specialist	Master degree Hydraulic/Water Resources Engineering	05	15	03
	River Training Expert	Master degree in Hydraulic/ Water Resources /Civil Engineering	05	10	05
	Geotechnical Specialist	Master degree in Geotechnical Engineering	05	15	05
	Geotechnical Engineer	Master degree in Geotechnical Engineering	05	10	05
	Environmental Specialist	Master degree in Environmental Engineering	05	15	05
	Architect	B. Arch. Degree	05	15	02
	Electrical Engineer	Master degree in Electrical Engineering	05	10	02
	Mechanical Engineer	Master degree in Mechanical Engineering	05	10	01
	CAD Operator	Should have Minimum Diploma in Architecture	05	10	04

Junior project Engineer (Civil)	Should have Minimum Diploma in Civil Engineering or B.Sc Engineer	5/3	10/4	06
Junior project Engineer (Mechanical)	Should have Minimum Diploma in mechanical Engineering/ B. Sc. Engineering Degree in civil	5/3	10/4	02
Junior project Engineer (Electrical)	Should have Minimum Diploma in Electrical Engineering/ B. Sc. Engineering Degree in Electrical	5/3	10/4	02
Surveyor	Minimum Diploma in Civil Engineering/ B. Sc. Engineering Degree in civil	5/3	10/4	04
Foreman	SSC	03	05	06
Naval architect	M.Sc. in Naval architecture	05	15	02
Marine machinery expert	M.Sc. in Mechanical engineering.	05	15	02
Railway expert	M.Sc. in Electrical engineering.	05	15	02

The applicant is required to submit an undertaking to engage and deploy personnel as above.

Documentation and Attachments to be submitted as mentioned below.

Documentation to be submitted:	Form E.1.2
Attachments to be submitted:	None

NOTE:

The Evaluation Criteria for Pre- Qualification of Applicants

Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E	Skilled Human Resources	Evaluation on Pass/ Fail basis

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Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be pre-qualified for the referenced IFP and declare that:

(a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued].*

(b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/sanctioned in accordance with ITA 4.5; Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;

(c) We confirm that we are currently compliant with the provisions of ITA 4.7 We shall immediately inform Exim Bank in the event of default and reason(s) thereof, in accordance with ITA 4.7;

(d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the pre-qualified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.

(e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Pre-qualification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.

(f) We further declare that we are/are not (strike off whichever is not applicable) facing any investigation by any Government procuring entity/corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)

(e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name [insert full name of person(s) signing the Application]

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month], [insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of JV Member	
*	3. PAN and GST Registration Details JV Member (or equivalent document of in case of non-Indian entity as JV member, if permitted)	
*	4. Organizational chart of JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in	

	favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditor of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 3 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual Consultancy Turnover, certified by the Statutory Auditors of the Applicant	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	

E.1.1	Certified Management System Self-attested copy of ISO Accreditation / Quality Management System Certificate	
E.1.2	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
Part VI. *	Questionnaire for Applicants	

Note:i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1
Applicant Information Form

(To be filled by Applicant if being a Single Entity or as Lead member of JV)

Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page*[insert page number]*of *[insert total number]*pages

Applicant's name *[insert full name]*
In case of Joint Venture (JV), name of each member: *[insert full name of each member in JV]*
Applicant's country* of registration: *[indicate country of Constitution]*
Applicant's actual year of incorporation: *[indicate year of Constitution]*
Applicant's legal address [registered in India]: *[insert street/ number/ town or city/ country]*
Applicant's authorized representative information Name: *[insert full name]* Address: *[insert street/ number/ town or city/ country]* Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]* E-mail address: *[indicate e-mail address]*
1. Attached are copies of original documents of ☐ Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. ☐ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN & GST Registration details, organisational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

* Note: Applicant’s attention is drawn to ITA 4.1 on eligibility provisions.

Form A.1.2

Applicant's JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

Applicant name: *[insert full name of the JV]*
Applicant's JV Member's name: *[insert full name of Applicant's JV Member]*
Applicant's JV Member's country* of registration: *[indicate country of registration]*
Applicant's JV Member's date of constitution: *[indicate date of constitution in dd/mmm/yyyy]*
Applicant's JV Member's legal address and country of Registration/ Incorporation: *[insert street/ number/ town or city/ country]*
Applicant's JV Member's authorized representative information Name: *[insert full name]* Address: *[insert street/ number/ town or city/ country]* Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]* E-mail address: *[indicate e-mail address]*
1. Attached are copies of original documents of Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN & GST Registration details (or equivalent documents in case of non-Indian entity as JV member, if permitted), organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA 4.1 on eligibility provisions.

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Form B.1.1 Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
☐ Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1. ☐ Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	**Non-performed portion of Contract**	**Contract Identification**	**Total Contract Amount (current value, currency, exchange rate and US$ equivalent)**
[insert financial year]	*[insert amount and percentage]*	Contract Identification: *[indicate complete contract name/ number, date, value and any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Reason(s) for non-performance: *[indicate main reason(s)]*	*[insert amount in USD equivalent and specify exchange rate and date]*

Form B.2.1 Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
☐ No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 ☐ Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	**Amount in dispute (currency)**	**Contract Identification**	**Total Contract Amount (currency), USD Equivalent (exchange rate)**	**Amount of specific provision already made, if any**
[insert year]	*[insert amount]*	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Matter in dispute: *[indicate main issues in dispute]* Party who initiated the dispute: *[indicate "Employer" or "Consultant"]* Status of dispute: *[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]*	*[insert amount]*	*[insert amount in USD equivalent and specify exchange rate]*

Form B.3.1 Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
☐ No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3. ☐ Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	**Outcome as percentage of Net Worth**	**Contract Identification**	**Total Contract Amount (currency), USD Equivalent (exchange rate)**
[insert financial year]	*[insert percentage]*	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Matter in dispute: *[indicate main issues in dispute]* Party who initiated the dispute: *[indicate "Employer" or "Consultant"]* Result of dispute: *[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]*	*[insert amount in USD equivalent and specify exchange rate and date]*

Form C.1.1

Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to: *[Insert name of JV leader or JV member/s]*

1. Financial data

Type of Financial information in	Historic information for last 3 years, *(amount in [insert currency][6])*				
FY			FY 2017-18	FY 2018-19	FY 2019-20
Sources of Fund					
Shareholder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current assets, loans and advances					
Less: (ii) Current liabilities& provisions					
Misc. exp. to the extent not W/Off or adjusted					

[6] Currency shall be Indian Rupee for Indian Entity. In case JV with non-Indian entities is permitted, the currency for such entity shall be the currency of the country in which such entity is registered/ incorporated.

Profit and Loss Account					
Net worth					
Net worth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
Information From Cash flow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

Form C.1.2

Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

Applicant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name:[insert full name]
IFP No. and title: [insert IFP number and title]
Page [insert page number] of [insert total number] pages
Information pertaining to:[Insert name of JV Leader or JV member/s]

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
.	Non-fund Based Limits			
	A			
	B			
	C			
	Total Non –fund Based			
	Total Fund and Non-fund Based Limit			

B. Details of Liquid Assets such as cash and bank balance, marketable securities andany other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last three years [FY 2016-17 to FY 2018-19] as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

(a) reflect the financial situation of the Applicant or in case of JV, for each member and not of an affiliated entity (such as parent company or group member);
(b) be independently audited or certified in accordance with local legislations;
(c) be complete, including all notes to the financial statements;
(d) correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements for the **three** years required above; and complying with the requirements.

☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.

☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

Form C.1.2

Annual Consultancy Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to:*[Insert name of JV leader or JV member/s]*

Annual Consultancy Revenue Data							
Year	PMC Revenue Amount (*[insert currency[7]]*)			Total Consul-tancy Revenue #	PMC Revenue as % of Total Consul-tancy Revenue	Exchange Rate* (v/s USD)	PMC Revenue in USD equivalent
	By PMC Cont-racts in entity's country	By Overseas PMC Contracts	Total PMC Revenue				
	[A]	[B]	C=[A+B]	[D]	C/D*100	[E]	C/E
2017-18							
2018-19							
2019-20							

* Refer ITA 13.2 for source of exchange rate. Exchange rate prevalent on the last day of the financial year shall be used to calculate revenue for respective financial year

\# Total Consultancy Revenue would include revenues from all consultancy contracts including PMC contracts.

[7] Currency shall be Indian Rupee for Indian Entity. In case JV with non-Indian entities is permitted, the currency for such entity shall be the currency of the country in which such entity is registered/ incorporated.

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to: *[Insert name of JV Leader or JV member/s]*

[List contracts that demonstrate experience in India[8] pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: *[insert full name]* Brief Description of the works performed by the Applicant: *[describe works performed briefly]* Amount of contract: *[insert amount in currency, mention currency used, exchange rate and US$ equivalent*]* Name of Employer: *[indicate full name]*Address: *[indicate street/number/town or city/country]*	*[indicate date as dd/ mmm/ yyyy]*	*[indicate date as dd/ mmm/ yyyy]*		*[insert "Prime Consultant" or "JV Member"]*

* Refer ITA 13.2 for date and source of exchange rate

Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion

[8] In case JV permitted with non-Indian entity, experience in India applies to only Indian member(s). For other JV member(s), experience is required in the entity's country of registration/ incorporation.

Form D.2.1

Specific PMC Experience

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Information

Similar Contract No.	*[insert number]* of *[insert number of similar contracts required]*		
Contract Identification	*[insert contract name and number, if applicable]*		
Contract date	*[dd/mmm/yyyy]*		
Completion date	*Contractual: [dd/mmm/yyyy]*		*Actual: [dd/mmm/yyyy]*
Role in Contract *[check the appropriate box]*	Prime Consultant☐		Member in JV☐
Total Contract Amount and Project value	*[insert total contract amount and Project Value in contract currency]*		US$*[insert Exchange rate and total contract amount and Project Value in US$ equivalent]**
If member in a JV, specify participation in total Contract amount	*[insert a percentage amount]*	*[insert total contract amount in local currency]*	*[insert exchange rate and total contract amount in US$ equivalent]**
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	*[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics]*		
Brief Scope of the Services as per Contract			
Narration of the Actual Services Rendered			
Key Staff Positions and Names of Staff			

Total Staff Months by your firm	
Total Staff Months by Associate firm (if applicable)	

* Refer ITA 13.2 for date and source of exchange rate.

Final Acceptance Certificate/ Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion

Form E.1.1

Certified Management System

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to:*[Insert name of JV leader or JV member/s]*

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the quality management system accreditation]	*[indicate certificate identification number and mention which process or discipline has been certified and inclusions]*	*[dd/mmm/yyyy]*	*[dd/mmm/yyyy]*	*[indicate the name of the certifying organization and contact details]*

Copy of ISO Certificate(s) to be attached

Form E.1.2

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.3 :

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in each partner separately]*

IFP No.: *[insert IFP No.]*

Eligibility, Confirmation and Other Important Information	Response*
1. Is your firm, either singly or in joint venture currently empanelled or prequalified as a PMC. If yes, please provide details of Client, Country and Scope of PMC. Attach additional sheets, if needed.	Yes__/No _____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes___/No _____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes__/No _____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes_____/No_____
5. Has your firm/ organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes_____/No ___
6. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes_____/No_____
7. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes_____/No_____
8. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Confirm: Yes__/No _____ Yes__/No _____ Yes__/No _____ Yes__/No _____

9. Please confirm that you agree to the provisions of the Government of India guidelines dated 7th December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ___
10. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary.	Confirm: Yes___/ No ___

** Note: To confirm, print "Yes", else "No"*

_____

(Signature)

[*Name of Authorized Signatory*]
[*Title*] of Authorized Signatory

Date: