

Application Document

for

**Prequalification of Consultants for
Project Management Consultancy (PMC) Services
for Establishment of twenty-seven (27) new
drinking water supply systems in the Mouhoun
Loop and the Upper Basin Regions, Republic of
Burkina Faso**

**Export-Import Bank of India
Date: July 27, 2021**

**INVITATION FOR PREQUALIFICATION OF
CONSULTANTS FOR
PROJECT MANGEMENT CONSULTANCY (PMC) SERVICES FOR
GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT**

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to- time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 07, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance of the above, the Bank invites Applications from eligible Indian entities for prequalification of consultants for Project Management Consultancy (PMC) Services for the Establishment of twenty-seven (27) new drinking water supply systems in the Mouhoun Loop and the Upper Basin Regions in the Republic of Burkina Faso under a GOI-supported Lines of Credit (LOC) extended to the ECOWAS Bank for Investment and Development (EBID), Togo.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant Name	<Applicant Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	Export-Import Bank of India
[iv]	Account No.	HO00INR11011004
[v]	IFSC Code	EIBI0HO0001
[vi]	GST Number	27AAACE2769D1ZS
[vii]	Narration for NEFT	GOILOC-284/EBID/Burkina Faso/PMC-38

The preparation and submission of Application for Prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by **15:00 hours August 26, 2021**, at the following, address:

Mr. Sandeep Kumar
Deputy General Manager, Lines of Credit Group
Export-Import Bank of India
Office Block, Tower 1, 7th Floor, Adjacent Ring Road,
Kidwai Nagar [East], New Delhi – 110023
Tel: +91 11-2460 7700
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.in

This Application Document (AD) consists of the following Parts:

Part I. Scope of Consultancy Services

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/Procuring Entity after this prequalification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification (“Applications”) and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/Procuring Entity.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/joint venture with respect to past performance and prospective engagement.

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Loop and the Upper Basin Regions, Republic of
Burkina Faso**

**Invitation for Pre-Qualification
No. GOILOC-284/EBID/BURKINA FASO/PMC-38**

**Export-Import Bank of India
Date: July 27, 2021**

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Part I: Scope of Consultancy Services

The Export-Import Bank of India (hereafter Exim Bank or the Bank), on behalf of the Government of India (GOI) has approved financing of a project out of a Line of Credit extended to the Ecowas Bank for Investment and Development (EBID). A part of the LOC shall be utilized for the Establishment of twenty-seven (27) new Drinking water supply systems (DWS) in the Mouhoun Loop and the Upper Basin Regions in the Republic of Burkina Faso, for which Exim Bank now seeks applications for prequalification from eligible Indian entities. Refer Part II ITA 4 for eligibility provisions.

A] Background

Burkina Faso located in West Africa, covers an area of 274,000 km². This entirely continental country, with no access to the sea, is a link between the wet countries of its southern neighbours (Côte d' Ivoire, Ghana, Togo and Benin) and the Sahelo-Sahelian States, its northern and eastern neighbours (Mali and Niger). The country is divided into thirteen (13) regions: Boucle du Mouhoun, Cascades, Centre, Centre-East, Centre-North, Centre-West, Centre-South, Centre-South, East, Upper Basins, North, Central Plateau, Sahel, South-West. Burkina Faso's population was estimated at 19,034,397 inhabitants in 2016 and expected to reach 21,510,181 in 2020. By 2017, an estimated 70% of Burkina Faso's population lives in rural areas.

The bulk of Burkina Faso's water resources come from rains caught in three shared river basins (the Comoé Basin, the Volta Basin and the Niger Basin) and groundwater recharge. The major water resources constraints are essentially related to: (i) the declining rainfall pattern, marked by a shift of the isohyets from the north to the south of the country, leading to a decrease in the level of groundwater, and, the low rate of lakes' replenishment; (ii) the geological context marked by the predominance of crystalline rocks (about 82% of the territory) with low potential and hydraulic productivity in terms of groundwater; and (iii) the strong evaporation of water bodies (1900 mm to more than 2000 mm of water).

In rural and semi-urban areas, water is supplied mainly from modern wells, boreholes, and public standpipes. As of December 31, 2015, there were 58,003 modern water points, 48,808 boreholes and 8,258 modern drilled wells. The rate of functional boreholes fitted with manually powered pumps was 88.7%. The number of simplified drinking water supply systems and modern water fountains was 937 as of December 31, 2015 (DGRE, 2016) with a functionality rate of 84.1%. The rate of access to drinking water increased from 52% to 65% in rural areas.

The Ministry of Water and Public Sanitation, Burkina Faso has drawn up a new National Water Policy to be implemented by the year 2030. The vision of this policy is: to ensure that "the country's water resources would have been effectively identified and managed to achieve the universal access to water and public sanitation, in order to contribute to sustainable development by the year 2030".

B] Project Description

The project relating to the establishment of twenty-seven (27) new Drinking Water Supply Systems in the Mouhoun Loop and in the Upper Basins Region of Burkina Faso is one of the Government's actions "to improve the living conditions, access to water, public sanitation infrastructure and quality energy services. The project would comprise of (i) work on the implementation of 12 simplified water system and 15 independent water systems; (ii) training of repairers; (iii) provision of technical field equipment; and (iv) Implementation of the Environmental and Social Management Plan (ESMP).

The main objectives of the project are:

- (i) to increase the rate of access to drinking water;
- (ii) to improve the level of water delivery from public standpipes and private connections in the Upper Basins and Mouhoun Loop regions; and
- (iii) to promote implementation of the reform of drinking water supply infrastructure management system in the two regions.

These objectives are targeted at a rural population of about 1.2 million in the two regions. Overall, the provision of the drinking water supply works planned as part of this project, would help to increase the average rate of access to drinking water for rural populations in targeted regions from 62.5% to 77.5% (+ 15%) in the Mouhoun Loop, and from 49% to 79% (+ 20%). This corresponds to more than 100% of the targets (76%).

Location of the Project

The project would be implemented in two (2) regions of Burkina Faso: the Upper Basins and Mouhoun Loop Regions.

The Mouhoun Loop Region-

The Mouhoun Loop region is located in North-Western Burkina Faso with a land area of 34,145 km², or 12.59% of the national territory. It has 6 districts: Bale, Banwa, Kossi, Muhoun, Nayala and Sourou; 6 urban communities and 41 rural communities and 992 villages. The capital of the region is Dédougou. The region has a subsoil consisting mostly of sedimentary rocks. The region has a Sudano-Sahelian climate with rainfall ranging from 500 mm to 1000 mm from North to South.

The table below describes the situation of potable water sources:

Region	Number of Modern water sources	Number of Independent water station	Number of Drinking Water supply system	Rate of access to potable water in 2015 (%)
Mouhoun Loop	3723	21	86	62.5

The Upper Basins Region-

Located in the South-Western part of Burkina Faso, the Upper Basins Region covers a land area of 25,479 km² and consists of three districts: Houet, Kénédougou and Tuy. The capital of the region is Bobo-Dioulasso. The climate of the Upper Basins region is Sudanese, characterized by a dry season (November

to April) and a rainy season (May to October). Rainfall is relatively abundant, ranging from 800 to 1200 mm per annum. These heavy rains allow groundwater supplies to be replenished, which are key to meeting the population's water needs. The Upper Basins region is one of the most drained in Burkina Faso. Two rivers take their sources: Comoé and Mouhoun. The network of water systems mainly comprises the Mouhoun and its tributaries, the main ones being Dienkoa, Guenako, Kou and Plandi.

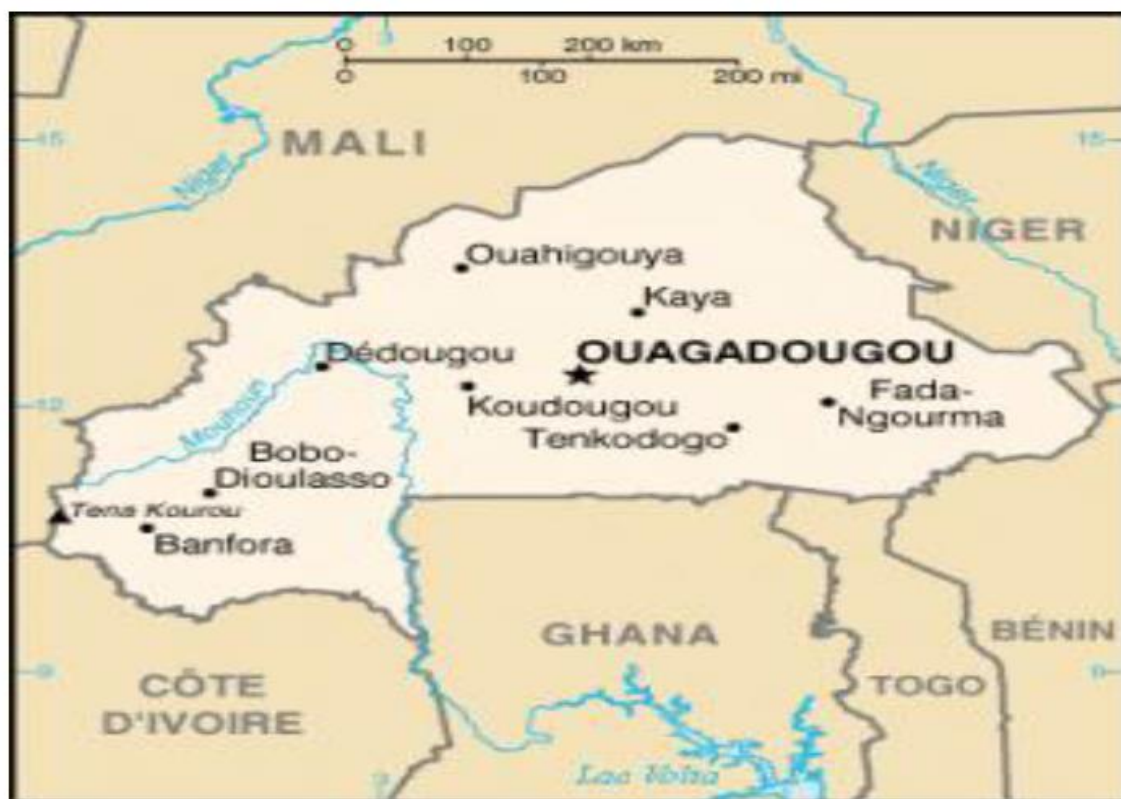
The table below describes the situation of potable water sources:

Region	Number of Modern water sources	Number of Independent water station	Number of Drinking Water supply system	Rate of access to potable water in 2015 (%)
Upper Basins Region	2786	3	73	62.5

The selected villages in both the regions are provided in the table below:

Sr. No.	REGIONS	PROVINCES	MUNICIPALITIES	VILLAGES
1	MOUHOUN LOOP	BALE	BANA	YONA
2			BOROMO	KOHO
3				VIROU
4			FARA	DAKAYES
5				NABOU-NOUNI
6				NANANO
7				POMAIN
8				Secteur 3
9				TON
10			PA	BORO
11				YAMANE
12			POMPOI	KONKOLIKO
13			YAHO	BONDO
14				MOUNI
15	UPPER BASINS REGION	HOUET	BOBO-DIOULASSO	KEKELESSO
16				KORO
17				KOUMENTOU
18				LOGOFOUROUSSO
19				MATOURKOU
20				NASSO
21				SOGOSSAGASSO
22				YEGUERESSO
23		TUY	BONI	BAHOUN
24				DOSSI
25			HOUNDE	BOHO-KARI
26				KARI
27				TIOMBONI

Maps of Burkina Faso



Project area of intervention



C] Description of Scope of the Consultancy Services

The main objectives of the Consultancy Services are to:

- (i) Prepare a Detailed Project report (DPR), detailed engineering design and tender documents.
- (ii) Provide technical support and assistance to the project executing agency during the tendering period, when necessary.
- (iii) Supervise the civil works, including handing over as well as temporary assistance during defect liability period, if needed.

The Consultant shall provide all services necessary to achieve the above objectives in full and to the satisfaction of the Contracting Authority including but not limited to, the Scope of Services and Provisions as defined.

The consulting services are expected to be provided in three stages:

- Stage 1: Pre-Water Services
- Stage 2: Water Services
- Stage 3: Post-Water Services

Stage 1: Pre-Water Services

The Pre-Water services shall consist of the following:

- (i) Preparation of Detailed Project Report (DPR), Preparation of Detailed Engineering Design for the project and modification of the existing preliminary feasibility and design for the project and identification and/or validation of potential sites for DWS.
- (ii) Preparation of final tender and technical documents based on the modified design referred to in item (i) above following its approval by the Project Executing Agency.
- (iii) Assistance to the Project Executing Agency during the tendering procedure, when required
- (iv) Preparation of Water contracts and assistance to Project Executing Agency during the contract negotiations, when required.

Stage 2: Water Services

- (i) Examine and approve drawings, data sheets and calculations submitted by the Contractor;
- (ii) Review of Contractor's work, inspection and testing;
- (iii) Synchronization of shipments from India by EPC Contractor in tandem with physical progress and site preparedness;
- (iv) Inspection of materials at manufacturer's works before dispatch to site;
- (v) Supervise works, tests and inspections of plant equipment, validate test results and advise on issuance of test certificates;
- (vi) Monitor and expedite the contractor's and the suppliers' schedules to avoid unnecessary delays in timely completion of the project;
- (vii) Supervise the project facilities and provide guidance to the contractor, to conform to the specifications;
- (viii) Issue all certificates for payments to the contractor and other certificates as required by PEA and the financing institutions;

- (ix) Supervise acceptance tests on site;
- (x) Assist in settling disputes or differences that may arise between Independent Water Station (PEA) and the contractor during the project implementation and defect liability period;
- (xi) Prepare Project Final Report (PFR) showing detailed history of the project from initiation to completion, highlighting the technical and financial developments during execution, problems encountered and special conditions which had to be overcome during the project;
- (xii) Assist Independent Water Station (PEA) during the liability period of the contract;
- (xiii) Certification of work progress and invoices including the requirement of 75% Indian content in the EPC Contract;
- (xiv) Prepare monthly progress reports monitoring past activities, planned actions from the planned program and encountered problems according to the rules of the ECOWAS Bank for Investment and Development (EBID);
- (xv) The Consultant shall oversee and report on the Contractor's full time precautions to maintain the health and safety of the Contractor's Personnel in collaboration with local health authorities, to ensure that medical staff, first aid facilities, sick bay and ambulance service are available at all times at the site and at any accommodation for Contractor's and Client's Personnel, and that suitable arrangements are made for all necessary welfare and hygiene requirements and for the prevention of epidemics;
- (xvi) Ensuring availability of social safeguards with respect to the availability of use of natural resources, health and other facilities during project implementation. Due respect of ethnic and cultural practices, avoiding pollution and preservation of natural habitat;
- (xvii) Ensuring quality controls, soils and materials testing;
- (xviii) Ensuring time control on the project;
- (xix) Ensuring cost control during the execution of the project;
- (xx) The Consultant will implement a strict "hands-on" control of all activities as set out in the field. Where required, the Contractor shall be instructed to correct any relevant error; and
- (xxi) Implementation of Environmental and Social Management Plan (ESMP). The Consultant must familiarize themselves with the National Environmental Protection Agency (EPA) Regulations and Requirements for conducting environmental activities in Burkina Faso. The Environmental and Social Management Plan will cover the Monitoring of the ESMP; and Monitoring of Resettlement.

Stage 3: Post-Water Services

The post Water services shall consist of the following:

- (i) Prepare and submit a detailed inventory including detailed drawings and "as-built" drawings together with the Final Completion Report (FCR) for all components of the project. The FCR shall include all the relevant technical and financial details of the project and a section containing specific recommendations for routine maintenance for locations requiring special care and attention as well as type and schedule of projected periodic maintenance over the life of the project;

- (ii) The Consultant shall also review and approve the Contractor's as-built drawings in digital format acceptable to PEA; and
- (iii) Assistance in the training program for the maintenance of the water system.

D] Contract Execution Period

The overall duration of the contract is expected to be: 30 months

E] Project Authority

Ministry of Water and Public Sanitation, Burkina Faso is the project authority.

F] Other Information:

The Applicant is required to apply for all the three stages above. Applications for Prequalification received only for any one of the Stages shall not be considered and are liable for rejection.

G] GOI Guidelines:

As per the GOI guidelines, goods, works and services for a minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at <https://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>. Applicants are also advised to refer to the Public Procurement Order nos. 1 & 2 dated July 23, 2020 and Public Procurement Order no. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure (DOE), Ministry of Finance which are applicable for the current prequalification process.

Part II. Instructions to Applicants [ITA]

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Part II. Instructions to Applicants

A. General

- 1. Background**
 - 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Pre-qualification**
 - 2.1 With reference to the Invitation For Prequalification (IFP) indicated in Part III, Data Sheet (DS), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, Scope of Consultancy Services and subject to the provisions of this Application Document.
- 3. Ethics and Integrity**
 - 3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts¹, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
 - 3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
 - 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has violated the provisions stated in

¹ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

ITA 3.1 above, the Bank, inter alia,

- (a) shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- (b) may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This prequalification exercise is open to ***only Indian Applicants*** in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this Article 4, "Applicant" would include the single entity or in case of a Joint Venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter a JV supported by a Letter of Intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as applicable.
- 4.5 An Applicant that has been blacklisted/debarred/sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/bidding process as applicable, during such period as the sanctioning authority shall have determined. In case the Applicant is blacklisted/debarred/sanctioned post the prequalification process (i.e., including, during bidding process and/or project implementation phase), the

Applicant shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof. This may lead to cancellation of prequalification/contract.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/procuring entities/corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if:
- (a) It is currently under default on any loan to any Bank/Financial Institution (FI) and its account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and/or
 - (b) Its promoters/directors appear in the Negative List, Reserved Bank of India (RBI) Willful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of Central and/or State government agencies, updated from time-to-time. On being included in any of the above lists post the prequalification process (i.e., including during bidding process and/or Project implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons thereof. This may lead to cancellation of prequalification/contract.
- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

- 5. Contents of this Document**
- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Consultancy Services
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV. Prequalification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of

any discrepancies, documents issued directly by the Bank shall prevail.

- 5.3 An Applicant is expected to study all instructions and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline, or any extension thereof for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary because of the clarifications to the queries shall be made by the Bank exclusively using a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language

- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.

- | | |
|---|---|
| <p>10. Documents Constituting the Application</p> | <p>10.1 The Application shall comprise the following:</p> <ul style="list-style-type: none"> (a) Application Submission Form, in accordance with ITA 11.1; (b) Documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1; (c) Documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and (d) Any other document required as specified in the DS. |
| <p>11. Application Submission Form</p> | <p>11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.</p> |
| <p>12. Documents Evidencing the Applicant's Eligibility</p> | <p>12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).</p> |
| <p>13. Documents Evidencing the Applicant's Qualifications</p> | <p>13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of a JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.</p> <p>13.2 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:</p> <ul style="list-style-type: none"> (a) Exchange rates shall be taken from the publicly available source identified in the DS. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation; (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the DS; (c) for data related to contracts signed in the current financial year, unless otherwise specified in the DS, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent; and (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the |

DS.

- | | |
|--|--|
| 14. Authorized Signatory and Number of Copies | 14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV. |
| 15. Preparing the Application Envelope | <p>15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:</p> <ul style="list-style-type: none">(a) be addressed to the Bank, as per ITA 16.1;(b) mention the name, address and telephone number of the Applicant; and(c) mention the specific identification of this prequalification process indicated in the DS ITA 2.1. <p>15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.</p> |
| 16. Application Submission Deadline | <p>16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.</p> <p>16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.</p> |
| 17. Late Applications | 17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant. |
| 18. Opening of Applications | 18.1 The Bank shall open all Applications on the date, time and place specified in the DS . Applicants' representatives may be present during opening. |

D. Procedures for Evaluation of Applications

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| 19. Evaluation to be Confidential | <p>19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.</p> <p>19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1</p> |
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below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation

20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Prequalification of Applicants

22. Evaluation of Applications

22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.

22.3 Only the qualifications of the Applicant, in the capacity as prime Consultant/member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA 4.3, shall not be considered.

22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/promoter/group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.

23. Bank's Right to

23.1 The Bank reserves the right to accept or reject any

Accept or Reject Applications	Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
24. Pre-qualification of Applicants	24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be pre-qualified by the Bank.
25. Notification of Prequalification	<p>25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.</p> <p>25.2 Applicants that have not been pre-qualified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not pre-qualified.</p>
26. Subsequent Invitation for Bids	26.1 The Bank shall forward the list of pre-qualified firms to the Borrower for subsequent invitation of proposals.

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	<p>The identification of the Invitation for Pre-qualification [IFP] is: GOILOC-284/EBID/Burkina Faso/PMC-38</p> <p>The Bank's address is:</p> <p>Export-Import Bank of India Office Block, Tower 1, 7th Floor, Adjacent Ring Road, Kidwai Nagar [East] New Delhi – 110023 Tel: +91-11-2460 7753 /2460 7700 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
ITA 4.3	<p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV <p>The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Consultants and non-prequalified Consultants or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.</p> <p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all businesses for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s). The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p>

ITA 4.6	<p>A list of debarred firms and individuals by: The World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbq4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>The African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>The European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>The Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>
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B. Contents of the Application Document

ITA 6.1	<p>For clarification purposes, the Bank's address is:</p> <p>Mr. Sandeep Kumar Deputy General Manager Lines of Credit Group Export-Import Bank of India Office Bock, Tower 1, 7th Floor, Adjacent Ring Road, East Kidwai Nagar New Delhi – 110023 E-mail: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
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C. Preparation, Submission & Opening of Applications

ITA 10.1 (d)	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <u>On-line mode of Application</u> The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p> <p>For details for submitting Online Application, please refer to the above portal.</p> <p><u>Minimum Requirements:</u></p> <ol style="list-style-type: none"> 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above (x86 only i.e., OS of 32-Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it
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	should be organizational certificate.
ITA 10.1 (d)	<p><u>For any Online technical clarification, kindly contact:</u></p> <p>Bob E Procure Pvt. Ltd. 3/4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069 Email: pqsupport@bobeprocure.net</p> <p>ii) <u>Off-line mode of Application</u></p> <p>The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u></p>

ITA 27.1(a)	<p>The source for determining exchange rates is:</p> <p>For conversion of foreign currency/Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL)(https://www.fbil.org.in/)</p> <p>For the historical exchange rates, which are not made available by FBIL, the reference rate published by Reserve Bank of India (RBI) and https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx available on may be used.</p> <p>In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p>																																				
ITA 27.1(b) & (d)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr><tr><td>31-Mar-19</td><td>69.1713</td><td>0.0145</td></tr><tr><td>31-Mar-20</td><td>75.3859</td><td>0.0133</td></tr><tr><td>31-Mar-21</td><td>73.5047</td><td>0.0136</td></tr></table>		USD 1 = INR	1 INR = USD	31-Mar-11	44.6500	0.0224	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154	31-Mar-19	69.1713	0.0145	31-Mar-20	75.3859	0.0133	31-Mar-21	73.5047	0.0136
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31-Mar-20	75.3859	0.0133																																			
31-Mar-21	73.5047	0.0136																																			
ITA 27.1(c)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>74.8596</td><td>0.0134</td></tr></table> <p>As on July 20, 2021</p>	USD 1 = INR	1 INR = USD	74.8596	0.0134																																
USD 1 = INR	1 INR = USD																																				
74.8596	0.0134																																				
ITA 14.1	<p>Additionally, one (1) copy in pen drive shall also be submitted.</p> <p>The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>																																				
ITA 16.1	<p>Submission of Application is required in dual mode i.e., on-line as well as off-line as mentioned in ITA 10.1(d).</p>																																				

	<p>The deadline for Application submission is: Date: August 26, 2021 Time: 15:00 hrs</p> <p>For application submission purposes only, the Bank's address is: Bank's address is the same as that indicated in ITA 2.1</p> <p><i>Attention: Mr. Sandeep Kumar, Deputy General Manager</i></p>
ITA 19.1	<p>The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1</p> <p>Date: August 26, 2021</p> <p>Time: 15:30 hrs</p>

Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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E	Quality Assurance	33-38

A. General

A.1 Nationality	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant's Nationality shall be in accordance with ITA 4.4 ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted	Form A.1.1 and A.1.2
Attachments to be submitted	<ul style="list-style-type: none"> ➤ Articles & Memorandum of Association, Certificate of Incorporation, Partnership Deed (in case of Partnership firm) of the Applicant in accordance with ITA 4.4. ➤ In case of JV, Letter of Intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV

A.2. Eligibility	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none"> ➤ The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favor of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. ➤ In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Power of Attorneys for each member of the JV along with copy (self-attested) of relevant Board Resolutions or equivalent documents. ➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> ○ Permanent Account Number (PAN): Self attested copy ○ GST Registration details, as applicable: Self attested copy

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> • There shall be no instance of contract² non-

²Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

	<p>performance because of Applicant's default since July 01, 2016 (in case of JV, each member shall meet the requirement).</p> <ul style="list-style-type: none"> • The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application. • Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation

Requirement:	<p>➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year (FY 2019-20 or FY 2020-21, if available), adjusted for 'Pending Contractual Litigation Value' as considered below:</p> <ul style="list-style-type: none"> • 'Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements ((FY 2019-20 or FY 2020-21, if available), which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'. <p><i>*Adjusted Net-worth:</i> <i>Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</i></p> <p>➤ Documentation and Attachments to be submitted as mentioned below.</p> <p>➤ In case of JV, each member shall meet the requirement.</p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

B.3 Contract Litigation History

Requirement:	<ul style="list-style-type: none"> • The aggregate amount of court/arbitration awards related to contractual litigation against the Applicant, if any since July 01, 2016, shall not exceed 25% of net worth as per the latest financial
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	<p>statements (in case of JV, each member shall meet the requirement).</p> <ul style="list-style-type: none"> Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status	
Requirement:	<p>(i) The audited balance sheets, statements of profit & loss and annual reports for the last three financial years [FY 2017-18 to 2019-20] or [FY 2018-19 to 2020-21, if available], shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ul style="list-style-type: none"> a) Positive Adjusted Net Worth for each of the last three (3) years as defined above; b) Profit (i.e., Profit Before Tax) for at least one (1) out of last three (3) years as defined above; and c) Annual Consultancy Revenue³ in any one (1) of the last three (3) financial years as defined above, be not less than USD 0.15 million. <p>In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), lead member shall meet at least 40% and each member shall meet at least 25%, and all members together shall meet 100%.</p> <p>(ii) Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV). Form C.1.2 [in case of JV, for each member of the JV]
Attachments to be submitted:	<p>The Applicant and its parties shall provide copies of Audited Financial Statements for the last three (3) years as defined above [FY 2017-18 to 2019-20] or [FY 2018-19 to 2020-21, if available]. The Financial Statements shall:</p> <ul style="list-style-type: none"> a) reflect the financial situation of the Applicant or

³Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply

	<p>in case of JV for each member, and not an affiliated entity (such as parent company or group member).</p> <p>b) be independently audited and certified by the Statutory Auditor/Chartered Accountants in accordance with applicable legislation.</p> <p>c) be complete, including all notes to the Financial Statements.</p> <p>d) correspond to accounting periods already completed and audited.</p>
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D. Experience

D.1 General Experience	
Requirement:	<ul style="list-style-type: none"> Experience of Detailed Project Report (DPR) Preparation/ Project Management Consultant /Lender's Engineer/Owner's Engineer/Supervision Engineer Contracts related to Drinking Water Supply and Sanitation sector in the role of Prime Consultant or JV member of Prime Consultant to the main client, in India during the last 5 years, starting from July 01, 2016 and up to application submission deadline. (In case of JV, each member shall demonstrate similar experience in the Drinking Water Supply and Sanitation sector.) Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<ul style="list-style-type: none"> ➤ Final Acceptance Certificate/Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and services executed by the Applicant, contract start and completion dates. ➤ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and services. ➤ Sub-consultants' experience and resumes, if any, submitted with the application shall not be considered in determining the Applicant's compliance.

D.2 Specific Experience	Refer Part I – Scope of Consultancy Services
Requirement:	A. At least the number and value of similar projects where the applicant was a Project Management

	Consultant (PMC) for Drinking water supply scheme projects either as a Prime Consultant, or joint venture member of prime consultant to the main client/project authority, completed between July 01, 2016 and up to Application submission deadline:		
		No. of Contracts	Value⁴ of each Project handled as PMC (USD mn)
	Option I	3	3.75
	OR		
	Option II	2	4.69
	OR		
	Option III	1	7.50
	<p>B. The applicant shall submit the completion certificate as document of proof for completion of the similar nature of the work and mention the date and cost of completion of the work.</p> <p>C. In case of JVCA, the requirement shall be met under:</p> <p>a) Eligibility in terms of Contract Value shall be met by each of the JVCA member and cannot be aggregated.</p> <p>b) On meeting the Contract Value criterion by each member of the JVCA, their past experience in terms of the number of contract(s) executed may be considered on combined basis for meeting the criterion on number of contracts.</p> <p>In addition to the above, each member of JVCA shall demonstrate experience of at least one project/contract of 50% of the value of project/contract indicated in 1st Option under A above.</p> <p>In case, the completed project cited by an Applicant was supervised as member of the JV/Consortium/JVCA, credentials for same shall be considered based on the role and responsibility of the Applicant under the contract.</p> <p>D. Sub consultants' experience and resumes, if any, submitted with the application shall not be considered in determining the Applicant's compliance.</p> <p>E. Documentation and Attachments to be submitted as mentioned below</p>		

⁴ In case of contracts in INR, the exchange rate of 31st March of the FY in which the contract was signed, shall be used to arrive at the USD equivalent

Documentation to be submitted:	Form D.2.1
Attachments to be submitted:	<p>Final Acceptance/Completion Certificate/Testimonial issued by the employer for each contract listed in Form D.2.1.</p> <p>In case the contracts listed in Form D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p>

E. Quality Assurance

E.1 Certified Management System	
Requirement:	ISO Accreditation / Certified Quality Management System
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Accreditation/Quality Management System Certificate valid as on the deadline for submission of application

E.2 Skilled Human Resources					
Requirement :	<ul style="list-style-type: none"> Applicant must demonstrate that it can deploy personnel for the key positions that meet the following requirements: 				
	Proposed Position	Minimum Desirable Qualification Experience	Total Experience (Years)	Desirable Experience in Similar Works	Number of Positions Required
	Project Manager	Master or Bachelor Degree in Civil Engineering/ Hydraulic Engineering or Rural Equipment Engineer or Infrastructure Engineer or equivalent degree	15 years	<ul style="list-style-type: none"> have at least 10 years of experience as Construction Manager, have coordinated at least two (02) Drinking Water System (DWS) construction projects each serving at least three 	1

				<p>(03) secondary cities (city of at least 10,000 inhabitants), over the past 5 years.</p> <ul style="list-style-type: none"> ▪ have completed, as works manager, the construction of at least three (03) raised Water Tanks (capacity $\geq 200 \text{ m}^3$; height under tank $\geq 12 \text{ m}$) and the installation of at least 20 km of HDPE pipes (OD greater than or equal to 160 mm) in cumulative experience. 	
	Responsible for studies and planning of works	Master or Bachelor Degree in Civil Engineering or Hydraulics Engineering or Rural Equipment engineer or equivalent degree	10 years	<ul style="list-style-type: none"> ▪ have at least five (05) years of experience as a study and planning engineer for hydraulic infrastructure construction works, ▪ have coordinated at least two (02) DWS projects each serving at least three (03) secondary cities (city of at least 10,000 inhabitants), over the past 	2

				5 years.	
	Health Safety Environment Manager	Master or Bachelor Degree in Health, Safety and Environment (HSE) or Environment Science or Environmental Risk Management or Social Science or in Hygiene and Sanitation	5 years	<ul style="list-style-type: none"> ▪ have at least three (03) years of experience in similar missions as Health, Safety Environment Manager. ▪ have participated in the implementation of at least one (01) DWS project comprising (i) the connection of Water Tanks, (ii) the laying of pipes, (iii) the construction of a Water Tank, (iv) the electrical connection of pumping stations and electrical connections (network or generator set), 	1
	Principal Supervisor of Topographic Works	Master or Bachelor Degree in Topography Engineering, Geology Engineering or Civil Engineering	10 years	<ul style="list-style-type: none"> ▪ have at least three (03) years of experience as a topographer, ▪ have carried out at least two (02) DWS projects comprising the laying of pipes (PVC or HDPE or FD or Stainless Steel or Concrete) with a minimum 	1

				length of 20 km per project any diameter combined, as a topographer	
	Principal Supervisor of Civil Engineering Works,	Master or Bachelor Degree in Civil Engineering or Hydraulic Engineering or Rural equipment engineer or equivalent degree	10 years	<ul style="list-style-type: none"> ▪ have at least seven (07) years of experience as a Works Supervisor or Site Manager for Civil Engineering works, ▪ have coordinated the construction work of at least three (03) raised reinforced concrete WATER TANKs (capacity $\geq 200 \text{ m}^3$; height under tank $\geq 12 \text{ m}$) in cumulative experience over the last seven (07) years. 	1
	Principal Conductor of Pipe Laying and Plumbing Works	Master or Bachelor Degree in Civil Engineering or Hydraulic Engineering or rural equipment engineer or infrastructure engineer or equivalent degree	10 years	<ul style="list-style-type: none"> ▪ have at least seven (07) years of experience as a pipe laying and plumbing works conductor, ▪ have coordinated the piping and plumbing work of at least three (03) DWS projects each comprising at least 10 km of laying of HDPE pipes DE \geq 	1

				160 mm.	
	Principal Conductor of Electromechanical Works	Master or Bachelor Degree in Electrical Engineering or Electromechanical Engineering or Industrial Engineering or equivalent degree	10 years	<ul style="list-style-type: none"> ▪ have at least seven (07) years of experience in the assembly and wiring of electrical and electromechanical equipment (electro pumps, generators, installation of transformers and control cabinets), ▪ have carried out at least two (02) DWS projects each comprising the connection of at least five (05) boreholes and the installation of at least five (05) generators. 	1
	HSE specialist	Bachelor Degree or Diploma in Environment Sciences or Social Science or in Hygiene and Sanitation	03 years	<ul style="list-style-type: none"> ▪ have at least 02 years of experience as a specialist in Hygiene, Safety and Environment, ▪ have participated in the organization of the supply of 01 DWS project. 	1
	<p>The applicant is required to submit an undertaking to engage and deploy personnel as above.</p> <p>Documentation and Attachments to be submitted as mentioned below.</p>				

Documentation to be submitted:	Form E.2.1
Attachments to be submitted:	None

NOTE:

The Evaluation Criteria for Prequalification of Applicants:

Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Quality Assurance	Evaluation on Pass/ Fail basis

Part V. Forms

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Application Submission Form

Date: *[insert day, month, and year]*
IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be pre-qualified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently blacklisted/debarred/sanctioned in accordance with ITA 4.5; subsequent to the deadline for Application submission, in case we are blacklisted/debarred/sanctioned, we shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the pre-qualified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Pre-qualification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are not⁵ facing any investigation by any Government procuring entity/corporation or Multilateral Development

⁵ Please change "we are not" to "we are" in case the applicant / members are facing investigation.

Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)

- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of Intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favor of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Members' Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Members	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of JV Members	
*	3. PAN and GST Registration Details of JV Members	
*	4. Organizational Chart of JV Members	
*	5. List of Board of Directors with their complete designation in case of nominee directors of JV Members	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of JV Members	
*	7. A copy (self-attested on all pages) of Power of Attorney in favor of the person who has been authorized, through an	

	appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditor of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 3 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual Consultancy Turnover, certified by the Statutory Auditors of the Applicant	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in Form D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/Completion Certificate/Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in Form D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Certified Management System	

	Self-attested copy of ISO Accreditation / Quality Management System Certificate	
E.2.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
Part VI. *	Questionnaire for Applicants	

Note: i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1
Applicant Information Form

(To be filled by Applicant if being a Single Entity or as Lead member of JV)

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
<p>1. Attached are copies of original documents of</p> <p><input type="checkbox"/> Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4.</p> <p><input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3.</p> <p>2. Included are the PAN & GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.</p>

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form A.1.2

Applicant's JV Members Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant's JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant's JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
Applicant's JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN & GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	Non-performed portion of Contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>
	Total:		

Form B.2.1 Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 <input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of Dispute	Amount in Dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>
	Total:			Total:

Form B.3.1			
Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>
	Total:		

Form C.1.1

Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

1. Financial data

Type of Financial information in	Historic information for last 3 years, (amount in Indian Rupees)				
FY	FY 2017-18/ FY 2018-19	FY 2018-19/ FY 2019-20	FY 2019-20/ FY 2020-21		
Sources of Fund					
Shareholder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current assets, loans and advances					
Less: (ii) Current liabilities& provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					

Networth					
Net worth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
Information from Cash flow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

Registration No/ Membership No:
(of the Statutory Auditors of the Applicant/each JV member)

Stamp

Date:

Place:

UDIN:

Form C.1.2

Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

*Applicant's Name: [insert full name]
 Date: [insert day, month, year]
 Joint Venture Member Name:[insert full name]
 IFP No. and title: [insert IFP number and title]
 Page [insert page number] of [insert total number] pages
 Information pertaining to:[Insert name of JV Leader or JV member/s]*

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	a			
	b			
	C			
	Total Non –fund Based			
	Total Fund and Non-fund Based Limit			

- B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

Financial documents

The Applicant and its parties shall provide copies of audited Financial Statements for last three years [FY 2017-18 to 2019-20] or [FY 2018-19 to 2020-21, if available] as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The Financial Statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV, for each member and not of an affiliated entity (such as parent company or group member);
- (b) be independently audited or certified in accordance with local legislations;
- (c) be complete, including all notes to the financial statements;
- (d) correspond to accounting periods already completed and audited.

- ☐ Attached are copies of Financial Statements for the **three** years required above; and complying with the requirements.
- ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
- ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

Form C.1.3

Annual Consultancy Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Annual Consultancy Revenue Data							
Year	PMC Revenue Amount (INR)			Total Consultancy Revenue #	PMC Revenue as % of Total Consultancy Revenue	Exchange Rate* (v/s USD)	PMC Revenue in USD equivalent
	By PMC Contracts in India	By Overseas PMC Contracts	Total PMC Revenue				
	[A]	[B]	C=[A+B]	[D]	C/D*100	[E]	C/E
FY 2017-18/ FY 2018-19							
FY 2018-19/ FY 2019-20							
FY 2019-20/ FY 2020-21							

* Refer ITA 13.2 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate revenue for respective financial year

Total Consultancy Revenue would include revenues from all consultancy contracts including PMC contracts.

Registration No/ Membership No:
(of the Statutory Auditors of the Applicant/each JV member)
Date:

Stamp

Place:

UDIN:

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

[List contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the services performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>		<i>[insert "Prime Consultant" or "JV Member"]</i>

* Refer ITA 13.2 for date and source of exchange rate

Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion

Form D.2.1

Specific PMC Experience

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member Name: *[insert full name]*
 IFP No. and title: *[insert IFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages
 Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual:</i> <i>[dd/mmm/yyyy]</i>	<i>Actual:</i> <i>[dd/mmm/yyyy]</i>	
Role in Contract <i>[check the appropriate box]</i>	Prime Consultant <input type="checkbox"/>	Member in JV <input type="checkbox"/>	
Total Contract Amount and Project value	<i>[insert total contract amount and Project Value in contract currency]</i>	US\$ <i>[insert Exchange rate and total contract amount and Project Value in US\$ equivalent]*</i>	
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-Sector][Briefly mention the similarity in terms of sectoral characteristics]</i>		
Brief Scope of the Services as per Contract			
Narration of the Actual Services Rendered			
Key Staff Positions and Names of Staff			

Total Staff Months by your firm	
Total Staff Months by Associate firm (if applicable)	

* Refer ITA 13.2 for date and source of exchange rate.

Final Acceptance Certificate/Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion

Form E.1.1

Certified Management System

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
<i>[indicate the quality management system accreditation]</i>	<i>[indicate certificate identification number and mention which process or discipline has been certified and inclusions]</i>	<i>[dd/mm/yyyy]</i>	<i>[dd/mm/yyyy]</i>	<i>[indicate the name of the certifying organization and contact details]</i>

Copy of ISO Certificate(s) to be attached

Form E.2.1

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.2. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.2 :

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in each partner separately]*

IFP No.: *[insert IFP No.]*

<u>Eligibility, Confirmation and Other Important Information</u>	<u>Response*</u>
1. Is your firm, either singly or in joint venture currently empaneled or prequalified as a PMC. If yes, please provide details of Client, Country and Scope of PMC. Attach additional sheets, if needed.	Confirm: Yes___/No___
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes___/No___
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes___/No___
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No___
5. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary.	Yes___/No___
6. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No___
7. Has your firm/any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline? If yes, please provide details in a separate sheet, as necessary.	Yes___/No___
8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes___/No___
9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/JV be willing	Confirm:

(i) to work in accordance with the Borrower country laws and regulations	Yes___/No _____
(ii) to submit requisite securities as per contract conditions	Yes___/No _____
(iii) to be responsible for the performance of the work executed by your firm	Yes___/No _____
(iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Yes___/No _____
10. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit and the Public Procurement Order nos. 1 & 2 dated July 23, 2020 and Public Procurement Order no. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure (DOE), Ministry of Finance which are applicable for the current prequalification process.	Confirm: Yes___/ No ____

** Note: To confirm, print "Yes", else "No"*

(Signature)

[*Name of Authorized Signatory*]

[*Title*] of Authorized Signatory

Date: