

Application Document

For

Pre-qualification of Engineering, Procurement and Construction(EPC)Contractors for:

- **Package 1:** Supply, Construction, Installation and Commissioning of 1600 Afridev hand Pumps in Nampula, Zambezia, Manica and Sofala Provinces of Mozambique
- **Package 2:** Design, Construction and Commissioning of 8 Water Supply Systems in Nampula, Zambezia, Sofala & Manica Provinces and Rehabilitation & Expansion of 5 Water Supply Systems in Cabo Delgado Province of Mozambique

Export-Import Bank of India

Date: November 17, 2021

**INVITATION FOR PREQUALIFICATION OF EPC CONTRACTORS FOR
GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT**

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments /their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time- to-time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian entities for prequalification of an EPC Contractors for:

Package 1: Supply, Construction, Installation and Commissioning of 1600 Afridev hand Pumps in Nampula, Zambezia, Manica, and Sofala Provinces of Mozambique&

Package 2:Construction and Commissioning of 8 Water Supply Systems in Nampula, Zambezia, Sofala&Manica Provinces and Rehabilitation &Expansion of 5 Water Supply Systems in Cabo Delgado Province of Mozambique

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant's Name	<Applicant's Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	Export-Import Bank of India
[iv]	Account No.	HO00INR11011004
[v]	IFSC Code	EIBI0HO0001
[vi]	GST Number	27AAACE2769D1ZS
[vii]	Narration for NEFT	GOILOC-282(02) /MOZ/EPC-1

The preparation and submission of Application for Prequalification is required in dual mode (on-line as well as off-line) in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, December 17, 2021 at the following address:

Mrs. Kusum Singh
Deputy General Manager
Export-Import Bank of India
Lines of Credit Group
Office Block, Tower 1
7thFloor, Adjacent Ring Road
Kidwai Nagar [East] New Delhi – 110023
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.com

This Application Document (AD) consists of the following Parts:

Part I. Scope of EPC

This Part includes a summary description and other data on this pre-qualification process and of the prospective project to be contracted by the Borrower Government/Procuring Entity subsequent to this pre-qualification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Pre-qualification (“Applications”) and the procedures for this pre-qualification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Pre-qualification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be pre-qualified and later to be invited to bid by Borrower Government/Procuring Entity.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI. Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document

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- **Package 2:** Design, Construction and Commissioning of 8 Water Supply Systems in Nampula, Zambezia, Sofala & Manica Provinces and Rehabilitation & Expansion of 5 Water Supply Systems in Cabo Delgado Province of Mozambique

Invitation for Pre-Qualification
No.: **GOILOC-282 (2)/MOZ/EPC-1**
Issued on: **November 17, 2021**

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Part I: Scope of EPC

The Export-Import Bank of India (Exim Bank or the Bank) on behalf of the Government of India (GOI) has extended a Line of Credit (LOC) to the Government of Mozambique for the project of Construction of 1600 Bore wells with Hand pumps and Construction of 8 Water Supply Systems and Rehabilitation & Expansion of 5 Water Supply Systems in Cabo Delgado province in Mozambique in for which Exim Bank now seeks applications for pre-qualification from eligible entities. Refer Part II ITA4 foreligibility provisions.

A] Background and Major Components of the Project:

1. Geographic Location of Mozambique

Mozambique lies on the south-eastern part of Africa, between South Africa and Tanzania. Mozambique Channel forms its eastern border, Malawi, Tanzania and Lake Niassa forms the north-western border while Zimbabwe and Zambia forms the western border and South Africa and Eswatini in the southern border.

It lies between latitudes 10° and 27°S, and longitudes 30° and 41°E. The country has an area of 801,600 square kilometers, with about 2500 kilometers of coastline. The terrain consists mainly of coastal lowlands with an elevation of around 200–500 m above sea level, central upland areas, high mountains in the west and upland plateau in the north-west. Altitude varies from 2436 m at Monte Binga on the western border with Zimbabwe (west of Beira), down to sea level.

The coastal area is marshy. Most of the population is concentrated in the coastal lowlands, including the capital city of Maputo in the extreme south-east. Mozambique is divided into ten provinces and one capital city with provincial status. The provinces are subdivided into 154 districts.

Mozambique is endowed with rich and extensive natural resources. The country's economy is based largely on agriculture, but industry is growing, mainly food and beverages, chemical manufacturing and aluminum and petroleum production.

The National Directorate of Water Supply and Sanitation (DNAAS), an institution of the Ministry of Public Works, Housing and Water Resources of Government of Mozambique has initiated the process for selection of EPC contractor(s) for

- Package 1: Construction, Installation and Commissioning of 1600 Afridev hand Pumps in Nampula, Zambezia, Manica, and Sofala Provinces of Mozambique.
- Package 2: Design, Construction and Commissioning of 8 Water Supply Systems in Nampula, Zambezia, Sofala&Manica Provinces and Rehabilitation&Expansion of 5 Water Supply Systems in Cabo Delgado Province of Mozambique

2. Project Objectives and Components

The objective of the Government of Mozambique is to improve the Water Supply coverage in the project area through planning, design and construction of water supply infrastructures, consisting bore wells or small piped systems which is to be include inter alia, community selection, hydrological investigations, design of system, drilling productive boreholes installation of submersible, construction of elevated water tanks, piped water network and

design and placement of standpipes with aprons, drainage systems and washing slabs, complete with drawings.

The National Directorate of Water Supply and Sanitation had identified and targeted various communities in all provinces of Mozambique that were in need of water infrastructure. The priorities are communities that had no immediate access water. The proposed solution for these communities involves creating independent bore wells with hand pumps and platforms that are robust and simple to operate in these areas with minimum upkeep while being able to serve the future demands of their community.

Package	Lot	Area	No. of Hand Pumps/ Water Supply Systems
Package 1	Lot 1	Nampula Province	400
		ZambeziaProvince	400
	Lot 2	Sofala Province	400
		Manica Province	400
Package 2	Lot3	Nampula Province	2 WSS
		Zambezia Province	2 WSS
		Sofala Province	2 WSS
		Manica Province	2 WSS
	Lot 4	Cabo Delgado Province	5 WSS Expansion and rehabilitation
TOTAL			1600

The Major components of the project are:

I. Drilling of Boreholes :

- Collection, compilation and analysis of technical data of Hydrogeological and Hydro meteorological, selection of most favorable sites for installation of 1600 Hand Pumps.
- Mobilization of Drilling Rigs to site, collection of Borehole details. Collection of soil / water samples for in-situ and Lab Tests.
- Lowering of well assembly (vertical PVC Casing / Screening Pipes). Filling in annular gaps by pea gravel. Development of the underground structure using compressor/ centrifugal pump/ submersible pump to obtain portable water.
- Conduct Aquifer Performance Test (APT). Disinfection of bore well, Construction of Platform, Installation and Commissioning of 1600 Hand Pump. Training & Capacity Building of Community regarding Operation and Maintenance along with provision of a set of tools and spares.

II. Water treatment units:

- There are various processes of treatment; based on quality of raw water the specific technology will be adopted. In, general treatment plant consists of Aerator, flash mixer, Clariflocculator, filtration unit, pure water collection tank, Sludge disposal, Chlorination units etc.
- The treatment units shall be planned in a manner that water will be allowed to flow from one unit to another by gravity, so that additional pumping of water is not required.

- Supply and installation of a chlorination system (chlorine dosing pump and accessories);
- Possible realization of a de-ironing station;
- Supply and possible installation of other types of water treatment units possibly recommended by the water analysis laboratory.
- Basic treatment system involves removal of suspended solids through sedimentation, removal of micro-organisms and colloidal matter through sand/gravel filters, water softening through reverse osmosis (RO) system, disinfection through chlorination and any other chemical/specialized treatment for removal of fluoride, salinity etc.

III. Pump House & Pumping Machinery

- The water collected in pure water collection tank needs to be pumped into an elevated storage tank. This can be done by installation of pump, for safety and operation and maintenance of pumping stations a pump house is necessary. Pump house consists of pumping units, gantry crane, electrical starters and other units necessary of functioning of the pumping station
- Submersible pumps is used to lift water from bore well, open well, sump or ground water storage and supply it to pipelines or elevated storage. Economical design of pumping machinery, rising main shall be done to ensure low electricity is utilized and works at higher efficiency.
- There are three main components: a) pump, b) electrical or oil engine, c) panel board.

IV. Rising Main

- The delivery line carrying water from pump to storage tank (elevated or ground) shall be designed as per norms considering various design options. Location of air valves, sluice valves, washouts shall be identified and shall be marked on the L-sections
- Ductal Iron pipe (DI pipe) Rising Main pipeline shall be proposed for rising main.

V. Elevated water tank:

- Construction of at least one elevated tank for every system in reinforced concrete. The capacities of the tanks shall range from 50 to 80 m³ and height from 12 to 24 meters.
- Performance of all civil works, namely soil tests, calculation notes and execution plans, excavations, and reinforced concrete,
- Supplies and installation of various equipment in FD (elbows, tees, water meters, metal ladders and railings, hatch covers or manholes, level indicators, altimetry valves, isolation valves, hoists, non-return valves, etc.)
- Fences around the tank,
- Tank leakage tests, general operation test, disinfection, site restoration and commissioning all civil works.

VI. Drinking water distribution points

It will be essential to build standpipes with two (02) gallows or and sometimes on stilts according to the Technical Specifications and Plans.

The works shall include the execution of:

- all civil engineering works: channel excavations, reinforced concretes, cement mortar masonry,
- secondary bodies: supply and installation of metal covers and grilles, fittings, paint,
- Distribution pipelines consist of main pipeline connected from secondary storage; sub-main pipes connected from main pipeline and service/branch pipes connected from sub-main for distribution to households.
- Galvanized Iron (GI), High Density Polyethylene (HDPE)/ Poly Vinyl Chloride (PVC) pipes, with 15-200 mm diameter are used in distribution.
- These lines are generally underground (<3 feet below ground). Valves are used to control the distribution
- Stand post with one or more taps are installed at cluster level or near the storage tank, in the community where household tap connection is not available or possible.
- Stand posts are constructed of masonry or concrete structures. Stand posts should have normal output of 12 liters/minute.
- One stand post is estimated for every 300 persons. In case of independent habitation, even if population is less than 300 and there is no potable water source, a stand post is to be provided. Moreover, stand posts should not be more than 500 m from any such targeted household.
- Restoration work, cleaning, operational tests and commissioning.

VII. Equipment and layout of the drill heads:

- Supply and installation of equipment for drilling heads: plain and other flanges, isolation valve, valve, pressure gauges, suction cup, meter, special connection pieces (elbows, tees, FD pipes, etc.), anti-ram tank.
- construction of concrete superstructures,
- Tests and Commissioning.

VIII. Piping or water transport networks and network protection or regulatory bodies:

- Supply and installation of PeHD pipes for Adduction, potential backflow and distribution, including connecting pieces and other installation accessories;
- Supplies and installation of ductile iron (FD) hydraulic equipment and accessories under viewable inspection systems (isolation valves, oil changes, suction cups, valves, pressure reduction gearbox, etc.);
- Supply and installation of PeHD and/or FD connection parts (elbows, tees, sleeves, reduction cones, etc.) and other accessories (warning wire, etc.);
- Commissioning of pipelines.

IX. Buildings (technical rooms) and drinking water distribution points

For different uses, three types of premises will be constructed:

- generator shelter or electrical meter shelter,

- shelter for the chlorination unit (control room, product storage and chlorination station),
- operating room

The works include the execution of:

- all the civil engineering works commonly encountered in the construction of buildings: channel excavations, foundations (concrete cleanliness, runners under walls and / or soles insulated under posts, sills and or beams), supporting structures (columns, chaining and beams), hollow-body roofs with compression slabs, septic tanks,
- secondary state bodies: supply and installation of metal doors and windows, electrical equipment and appliances, plumbing and painting equipment and appliances;
- functional tests,
- Restoration work, cleaning and commissioning.

X. Electrical Connection and Electromechanical and Electrical Equipment

- Energy sources: supply and installation of Generating Sets with its control box or connection to the national conventional electric network, depending on the location of the boreholes in relation to the electricity network (possible extensions of MV and LV lines on the conventional network SBEE including supply and installation of suitable transformers)
- Supply and installation of submersible electric pumps equipped with risers equipped with fittings,
- Supply and installation of the electric pump control cabinet;
- Protection systems for electromechanical equipment: supply of electrical equipment and devices for Earthing and lightning protection;

3. Project Area and Beneficiaries:

The project will be implemented in the Provinces of Nampula, Zambezia, Sofala, Manica and Cabo Delgadoas indicated below:

S. No.	PROVINCE	BOREWELLS	No. of Beneficiaries¹	WATER SUPPLY SYSTEMS	No. of Beneficiaries²
1.	Nampula	400	120,000	2	30,000
2.	Zambezia	400	120,000	2	11,000
3.	Sofala	400	120,000	2	15,000
4.	Manica	400	120,000	2	5,900
5.	Cabo Delgado	-	-	5(expansion & rehabilitation)	70,000
TOTAL		1600	480,000	13	131,900

¹ According with the Mozambican Water Policy, each borehole equipped with hand pump supply water in average to 300 people

² According with the Mozambican Water Policy, each borehole equipped with hand pump supply water in average to 300 people

4. Scope of Work:

Scope of work for the project includes but is not limited to:

➤ **LOT 1 & LOT 2**

- a. Engineering and Development of local Infra-Structures in each Province [The Contractor shall provide offices with all camp facilities / inspection vehicles for DNAAS and / or his authorized representatives in addition to his own requirements for field works. Such camp facilities shall be provided in the central locations in each province to maneuver execution of works at different villages under the respective provinces].
- b. The Contractor shall clear the parts of the Site to be occupied by the Permanent Works of all vegetation and artificial obstructions.
- c. To conduct Geophysical Investigation for identification of bore hole sites and submit the detailed geophysical report before commencing the drilling operation.
- d. Preparation of sites for mobilization of drilling rigs/equipment and setting up camp at each location and preparation of bore well site.
- e. Procurement, Supply and Installation of 1600 Afridev Hand Pumps with all accessories, spare parts and tools at the identified sites in different Districts/ Villages of Nampula, Zambezia, Manica, and Sofala Provinces of Mozambique.
- f. Drilling of Boreholes, installation of Well Assembly, Pumping Tests, Testing of Ground Water Quality, Construction of RCC platforms with drainage outlets at designated location with Installation of 1600 Afridev Hand Pumps.
- g. Commissioning, Testing and handing over of successful Hand Pumps.
- h. Placing the plaque at the site.
- i. Preparation of basic data report for each Hand Pump site.
- j. Providing Training and capacity building to the nominated community members for operation and maintenance of hand pumps.
- k. Providing set of tools and spare parts for operation, maintenance and upkeep of Hand Pumps along with the standard “user manuals” for the installation, operation and maintenance procedures produced by Manufacturer both in English and Portuguese language.
- l. Operation and maintenance of each Hand Pump after the successful installation till the Defect Liability Period for one year.
- m. Ensuring sustained availability of spares will also be the responsibility of the EPC contractor.

➤ **LOT 3 & LOT 4**

- a. Engineering and Development of local Infra-Structures in each Province [The Contractor shall provide offices with all camp facilities / inspection vehicles for DNAAS and / or his authorized representatives in addition to his own requirements for field works. Such camp facilities shall be provided in the central locations in each province to maneuver execution of works at different villages under the respective provinces].
- b. The Contractor shall clear the parts of the Site to be occupied by the Permanent Works of all vegetation and artificial obstructions.
- c. To conduct Detailed Surveys & Investigations such as Topographical Survey, Geophysical Investigations, Geo-technical investigation, soil investigations, Construction material, other investigation etc.
- d. To prepare Detailed Design and Drawings as per established standards to ensure quality of equipment and material supply and ensure that the objectives are met with.
- e. Selection of sites for bore wells, boosters and overhead towers.
- f. Selection of pipe materials and specials for extension of water pipelines to the villages and for providing internal distribution within the villages.
- g. Design and construction of the water supply systems according to the detailed design and Drawings.
- h. Commissioning, Testing and handing over of successful Water Systems.
- i. Providing Training and capacity building to the nominated community members for operation and maintenance of Water supply systems.
- j. Providing set of tools and spare parts for operation, maintenance and upkeep of Water systems along with the standard “user manuals” for the installation, operation and maintenance procedures produced by Manufacturer both in English and Portuguese language.
- k. Operation and maintenance of each Water Supply System after the successful commissioning till the Defect Liability Period for one year.
- l. Ensuring sustained availability of spares will also be the responsibility of the EPC contractor.
- m. For rehabilitation and expansion of water supply systems, the following will be the additional scope of works:
 - Estimation of Water supply Demand for the projected population of the habitation.
 - Study of sustainability of the existing source & establish its dependability for future demand.

- If the existing source is capable for catering for future, demand the same source shall be utilized and network shall be spread to the non-linked part of the habitation.
- If the existing source has no sufficient yield and additional bore wells shall be drilled to cater for the future demand.
- The quality of the water shall be tested as per GoM standards.
- If the quality of water is found not suitable for human consumption treatment system shall be proposed. EPC contractor shall provide type of treatment, capacity of treatment units etc. & Clients or his representatives shall finalize it.
- Topographic surveys of the locality shall be conducted, while surveying the existing pipe network, location of intake, pumping mains, reservoirs etc., shall be identified & incorporate in the models to check its sustainability and utilization of existing infrastructure in new schemes
- Economical design of rising main & pumping machinery shall be done using latest available software's.
- The pipeline, Location of ESR's which satisfy the new design criteria shall be retained else a new design shall be implemented.
- Finalization of locations of intake, treatment facilities, pumps houses, reservoirs/ESRs and alignments of rising/gravity bulk pipelines.
- Design of treatment units, rising mains, pumping machinery, storage reservoirs, bulk water transfer mains etc.,
- Design and construction of distribution pipe networks from ESR to the Stand post / Washing platform.
- Distribution network shall be designed using latest available software's. A copy of the software is to be provided to the client or representative & a training shall be provided. In case future extension of network if necessary, client shall be able to extend with the knowledge of the software.
- Design and construction of electromechanical and instrumentation equipment's necessary for the project.
- Justification for selecting particular brand materials viz., (Pumping machinery, Pipelines, electric cable etc., and other accessories) to be provided.
- All the designs prepared by an EPC contractor is the property of the client. These designs are to be approved by the clients or his representatives before commencement of execution at site.

B] Contract Execution Period

All the works under the respective contracts shall have to be completed within a stipulated period as mentioned below. Completion time also includes procurement & mobilization of all materials and staff.

❖ **For Package 1 (LOT 1 & LOT 2):** 30 months for Geophysical Survey, Procurement, Supply, Construction, Installation and Commissioning of 1600 Afridev hand Pumps in Nampula, Zambezia, Manica, and Sofala Provinces of Mozambique and the Defects Liability Period (DLP) will be of One (1) year from the date of handing over of individual Hand Pump after successful commissioning in a progressive manner.

❖ **For Package 2 (LOT 3):** 18 Months for Design, Construction and Commissioning of 8 Small Water Supply Systems in Nampula, Zambezia, Sofala & Manica Provinces and the Defects Liability Period (DLP) will be of One (1) year from the date of commissioning & handover of particular scheme (from source to consumer end) to the client.

❖ **For Package 2 (LOT 4):** 12 Months for Rehabilitation & Expansion of 5 Water Supply Systems in Cabo Delgado Province of Mozambique and the Defects Liability Period (DLP) will be of One (1) year, which will commence only after all the end users, receive the designed (quality & quantity) of water. If, any of the users is not receiving designed quality, quantity of water the project will not be treated as completed.

C] Other Information:

The Applicant can apply for 1 (one) or more lots, however maximum of 2 lots may be awarded per Applicant/ Bidder. Applicant shall define their priority for the Lots they are applying.

D] GOI Guidelines

As per the GOI guidelines, goods and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guide lines mentioned above for details available at <http://www.eximbankindia.in/asset/pdf/loc/GOI-Guidelines-LOC-pdf>

Applicants are also advised to refer to the Public Procurement Orders Nos. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India, which are applicable to the current prequalification process.

Part II. Instructions to Applicants [ITA] Table of Articles

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Part II. Instructions to Applicants

A. General

1. Background

- 1.1 The Export-Import Bank of India (here in after called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (here in after called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.

2. Scope of Pre-qualification

- 2.1 With reference to the Invitation for Pre-qualification (IFP) indicated in Part III, Data Sheet (DS), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for pre-qualification as per the scope described in Part I, Scope of EPC and subject to the provisions of this Application Document.

3. Ethics and Integrity

- 3.1 The Bank requires Applicants to participate in this pre-qualification process with the highest standards of integrity and ethics. It is strictly for bidden to the parties involved in the pre-qualification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even director indirect promise or any other acts⁵, as off sets for pre-qualification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts⁶in accordance with nation all as of any party involved.
- 3.2 In pursuance of article 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this pre-

⁵ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

⁶ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest

qualification process, or during the subsequent bidding for the contract(s) has committed corrupt, collusive, fraudulent, or unethical practices, the Bank, inter alia,:

- a. shall reject any Application for pre-qualification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- b. May declare an Applicant involved in corrupt practices, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This pre-qualification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this pre-qualification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involve dor intended to be involved with this pre-qualification process (as mentioned in Form A.1.2 Applicant's/JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter in to a JV supported by a letter of intent listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the pre-qualification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This pre-qualification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been black listed/debarred/sanctioned by the Bank in pursuance of ITA 3.1, including debarment/sanction by any Multilateral Development Agency(MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be in eligible to participate in the prequalification/bidding process as applicable, during such period of time as the sanctioning authority shall have determined. In case the Applicant is blacklisted/ debarred/sanctioned, post the prequalification process (i.e. including during bidding process and/or contract implementation phase), the Applicant shall

immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof. This may lead to cancellation of Applicant's prequalification / contract.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be in eligible to be prequalified if (a) it is currently under default on any loan to any Bank/Financial Institution (FI) and/ or its account has been classified as Non-Performing Asset(NPA)as per Central Repository of Information on Large Credits (CRILC) database; and/or (b) its promoters/directors appear in Negative List, RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) and Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies,, updated from time to time. On being included in any of the above lists post the prequalification process (i.e. including during bidding process and/or contract implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons for inclusion the re of. This may lead to cancellation of Applicant's prequalification / contract.
- 4.8 An Applicant shall (i) be eligible with respect to the provisions of Public Procurement Orders Nos. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India; and (ii) furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA7.
- Part I. Scope of EPC
 - Part II. Instructions to Applicants (ITA)
 - Part III. Datasheet(DS)to ITA
 - Part IV Pre-qualification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants

6. Clarifications

- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA7. In case of any discrepancies, documents issued directly by the Bank shall prevail.
- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.
- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline, or any extension thereof, for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA7.

7. Corrigendum of Application Document

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

- 8.1 The Applicant shall be a full costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conductor outcome of the pre-qualification process.

9. Language

- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant

passages in English language, which shall be the governing language.

10. Documents constituting the Application

- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA11.1;
 - (b) Documentary evidence establishing the Applicant's eligibility, in accordance with ITA12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA13; and
 - (d) Any other document required as specified in the **DS**.

11. Application Submission Form

- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.

12. Documents Evidencing the Applicant's Eligibility

- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).

13. Documents Evidencing the Applicant's Qualifications

- 13.1 To establish its qualifications in accordance with Part IV, Pre-qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V. without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non- compliance to this clause may result in summary rejection of the Application.
- 13.2 If an Applicant does not manufacture or produce the goods it offers to supply, it shall submit documentation related to Manufacturer's Authorization as specified in the **DS**
- 13.3 Wherever a monetary amount is to be stated, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:
- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
 - (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**;
 - (c) for data related to Liquidity, Annual Residual Contract Value and contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent as specified in the **DS**; and
 - (d) For contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in

which the contract was signed shall be used to arrive at the USD equivalent as specified in the **DS**.

14. Authorized Signatory and Number of Copies

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

15. Preparing the Application Envelope

15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:
 (a) be addressed to the Bank, as per ITA16.1;
 (b) mention the name and address of the Applicant; and
 (c) Mention the specific identification of this pre-qualification process indicated in the **DS** ITA2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA15.1 above.

16. Application Submission Deadline

16.1 Applicants must submit their Applications in dual mode (online as well as off-line) Applications shall be received by the Bank at the address and no later than the deadline indicated in the **DS**.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA7.

17. Late Applications

17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications

18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential

19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of pre-qualification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time of notification of the results of the pre-qualification in accordance with ITA25, any Applicant who wishes to contact the Bank on any matter related to the pre-qualification process (except as specified in 20.1 below), may do so only in writing. Canvas sing

20. Clarifications During Evaluation

- in any form will be grounds for disqualification.
- 20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.
- 20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

- 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Pre-qualification of Applicants

22. Evaluation of Applications

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Pre-qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the pre-qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.
- 22.3 Only the qualifications of the Applicant, in the capacity as prime contractor/member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or subsidiary or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA4.3 shall not be considered.
- 22.4 The qualification and experience of the Applicant⁷ for execution of contracts in-house or for parent/promoter/group concerns or Special Purpose Vehicles (SPVs)/set up by the Applicant or its

⁷In case of a public sector Applicant with majority shareholding by the government, experience of execution of supplies/ works/ contracts for government organizations/ enterprises/ JVs shall be considered provided that such organizations/ enterprises are not under the same administrative ministry/ department through which the government holds majority shareholding in the Applicant, unless such supplies/ works/ contracts were awarded to the Applicant through an open competitive process.

group companies, shall not be considered. However, the experience of the SPV can be considered in favour of the Applicant provided it is established through documentary evidence that the SPV was established by the Applicant pursuant to a concession granted/ requirement stipulated by a Project Authority who is unrelated to both the Applicant and the SPV and the Letter of Acceptance of bid/ Letter of Award was issued by the Project Authority in favour of the Applicant or a JV comprising the Applicant. Further, the FAC issued by the Project Authority should indicate that the project was executed by the Applicant as an EPC contractor.

23. Bank's Right to Accept or Reject Applications

23.1 The Bank reserves the right to accept or reject any Application, and to annul the pre-qualification process and reject all Applications at any time, without there by incurring any liability to the Applicants.

24. Pre-qualification of Applicants

24.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be pre-qualified by the Bank.

25. Notification of Pre-qualification

25.1 The Bank shall notify the result of the pre-qualification process through a letters/emails to the pre-qualified Applicants and to the applicants not meeting the prequalification criteria.

25.2 Applicants that have not been pre-qualified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank of the result of pre-qualification, to request clarification regarding the grounds on which they were not pre-qualified.

26. Subsequent Invitation for Bids

26.1 The Bank shall forward the list of pre-qualified firm's to the Borrower for subsequent invitation of bids.

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	<p>The identification of the Invitation for Pre-qualification (IFP) is: GOILOC-282 (2)/MOZ/EPC-1</p> <p>The Bank Address is: Export-Import Bank of India Lines of Credit Group Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 E-mail: eximloc@eximbankindia.in Website: www.eximbankindia.com</p>
ITA 4.3	<p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/ association [JVCA] based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract [in terms of percentage] being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV <p>The JV is permissible at prequalification Stage only. The JV which is pre-qualified will be sustained for the entire bidding process. JV among pre-qualified EPC Contractors and non-prequalified EPC Contractors or any other entity is not allowed subsequent to prequalification stage.</p> <p>No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same contract package in a prequalification process.</p> <p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s).</p>

	The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.
ITA 4.6	<p>A list of debarred firms and individuals by: The World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>The African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>The European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>The Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>
B. Contents of the Application Document	
ITA 6.1	<p>For clarification purposes, the Bank's address is: Mrs. Kusum Singh Deputy General Manager Export-Import Bank of India Lines of Credit Group Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 E-mail: eximloc@eximbankindia.in Website: www.eximbankindia.com</p>
C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) On-line mode of Application The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p> <p>For details for submitting Online Application, please refer the above portal.</p> <p>Minimum Requirements:</p> <ol style="list-style-type: none"> 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7 /8/10 - 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above (x86 only i.e. OS of 32 Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.

	<p><u>For any Online technical clarification. kindly contact:</u> Bob E-Procure Pvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka - 560069. <u>Contact:</u> Email: pqsupport@bobeprocure.net</p> <p><u>ii) Off-line mode of Application</u></p> <p>The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favor of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self- attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this Clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line. the information submitted in the hard copy (Off-line submission) will prevail</u></p>
ITA 13.2	<p>Undertaking related to Manufacturer's Authorization in accordance with Form E.4.1 included in Part V of this Application Document.</p>
ITA 13.3	<p>The source for determining exchange rates is: For conversion of foreign currency/ (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Limited (FBIL) (https://www.fbil.org.in/).</p> <p>For the historical exchange rates, which are not made available by FBIL, the reference rate published by Reserve Bank of India (RBI) and available on https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx may be used.</p> <p>In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p> <p>Applicants shall use the following rates for conversion between USD and INR:</p>

ITA 13.3(b)& (d)	USD 1 = INR		1 INR = USD				
	31-Mar-11	44.6500	0.0224				
	31-Mar-12	51.1565	0.0195				
	31-Mar-13	54.3893	0.0184				
	31-Mar-14	60.0998	0.0166				
	31-Mar-15	62.5908	0.0160				
	31-Mar-16	66.3329	0.0151				
	31-Mar-17	64.8386	0.0154				
	31-Mar-18	65.0441	0.0154				
	31-Mar-19	69.1713	0.0145				
	31-Mar-20	75.665	0.0132				
	31-Mar-21	73.5047	0.0136				
ITA 13.3(c)	Applicants shall use the following rates for conversion between USD and INR: <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>74.4535</td><td>0.0134</td></tr></table> <p>As on November 16, 2021</p>			USD 1 = INR	1 INR = USD	74.4535	0.0134
USD 1 = INR	1 INR = USD						
74.4535	0.0134						
ITA 14.1	<p>Additionally, one (1) copy in a pen drive shall also be submitted.</p> <p>The prequalification application document (offline version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>						
ITA 16.1	<p>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1 (d).</p> <p>The deadline for Application submission is:</p> <p>Date: December 17, 2021</p> <p>Time: 15:00 hrs.</p> <p>For application submission purposes only, the Bank's address is:</p> <p>Bank's address is the same as that indicated in ITA 2.1</p> <p>Attention: <i>Mrs. Kusum Singh, Deputy General Manager</i></p>						
ITA 17.1	<p>The opening of the Applications shall be at the Bank’s address as that Indicated in 2.1</p> <p>Date: December 17, 2021</p> <p>Time: 15:30 hrs.</p>						

Part IV. Pre-qualification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

A.1 Nationality	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant's Nationality shall be in accordance with ITA 4.4. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be Submitted	Form A1.1 and A1.2
Attachments to be submitted	<ul style="list-style-type: none"> ➤ Articles & Memorandum of Association, Certificate of Incorporation/Partnership Deed (in case of partnership firm) of the Applicant in accordance with ITA4.4 ➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA4.3 and documents as above for each member of the JV.
A.2. Eligibility	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA4.5, ITA 4.7 and ITA4.8. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none"> ➤ The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. ➤ In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with copy (self-attested) of relevant Board Resolutions or equivalent documents. ➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> • Permanent Account Number (PAN): Self attested copy • GST Registration details, as applicable: Self attested copy • Screening Committee Application/Approval of Project Exports Promotion Council of India - PEPC (MOCI)

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no instance of contract² non- performance as a result of Applicant's default since November 01, 2016. ➤ The Applicant shall inform Exim Bank of any such instances occurring post submission of the Prequalification Application. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.1.1

Attachments to be submitted:	Documents establishing details included in Form B.1.1
B. 2 Pending Contract Litigation	
Requirement:	<p>➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year (FY 2019-20 or FY 2020-21 if available), adjusted for Pending Contractual Litigation Value' as considered below:</p> <ul style="list-style-type: none"> • Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements (FY 2019-20 or FY 2020-21 if available), which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'. <p><u>*Adjusted Net Worth:</u> Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</p> <p>➤ Documentation and Attachments to be submitted as mentioned below.</p> <p>➤ In case of JV, each member shall meet the above requirement.</p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1
B.3 Contract Litigation History	
Requirement:	<p>➤ The aggregate amount of awards against the Applicant, if any, since November 01, 2016, shall not exceed 25% of the Net Worth as per the latest financial statements.</p> <p>➤ Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status	
Requirement	<p>(i) The audited balance sheets and profit & loss/ Annual reports for the last 5 years [i.e. FY 2015-16 to FY 2019-20 or FY 2016-17 to 2020-21, if available] shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <p>a) Positive Adjusted Net Worth for each of the last five (5)</p>

financial years defined above.

- b) Profit (i.e. Profit before tax) for at least two (2) out of last Five (5) financial years defined above.
- c) Annual EPC Revenue⁹ for any one (1) of the last five (5) financial years as defined above be not less than following:

PACKAGE DETAILS	LOT DETAILS	Annual EPC Revenue (USD Mn)
PACKAGE 1	LOT 1	3.60
	LOT 2	3.60
PACKAGE 2	LOT 3	3.24
	LOT 4	3.96

In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.

(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the project cash flow requirements of ongoing contracts including the present contract net of the Applicants other commitments. Minimum required liquid assets and /or credit facilities to be made available ¹⁰for project shall not be less than following:

PACKAGE DETAILS	LOT DETAILS	LIQUID ASSETS/ CREDIT FACILITIES (USD Mn)
PACKAGE 1	LOT 1	0.90
	LOT 2	0.90
PACKAGE 2	LOT 3	0.81
	LOT 4	0.99

In case of JVCA, for requirement (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.

In order to qualify for multiple Lots, the Applicant shall meet aggregate of the requirements stipulated for (i) (c) and (ii) above for each such Lot.

(ii) Documentation and Attachments to be submitted as mentioned below

⁹ In case of Contracts in INR, the exchange rate of 31st March of the financial year in which the contract was signed will be used to arrive at the USD equivalent

¹⁰Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

Documentation to be submitted:	Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV) Form C.1.2 (in case of JV, for each member of the JV)
Attachments to be submitted:	The Applicant and its parties shall provide copies of audited financial statements for the last five (5) years as defined above. The financial statements shall: <ul style="list-style-type: none"> a. Reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member). b. Be independently audited and certified by the Statutory Auditors/ Chartered Accountants in accordance with applicable legislation. c. Be complete, including all notes to the financial statements. d. Correspond to accounting periods already completed and audited. Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of PQ Application
C.2 Value of ongoing contracts	
Requirement	<ul style="list-style-type: none"> ➤ Aggregate annual residual value¹¹ of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years as defined above. In case of JV, this shall apply to each member. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form C.2.1(Certified by the Statutory Auditors of the Applicant)
Attachments to be submitted:	None.

D. Experience

D.1 General Experience	
Requirement:	<ul style="list-style-type: none"> ➤ FOR PACKAGE 1 (LOT 1 & LOT 2): Experience in Design Engineering & Construction/EPC contracts Involving Drilling of Bore Wells and Installation of Hand Pumps in the role of prime contractor, or JV member, in India completed during the last 7 financial years, starting from November 01, 2014 and up to application submission deadline. (In case of JV, each member shall meet there requirement).

¹¹ Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)]

	<p>➤ FOR PACKAGE 2 (LOT 3 & LOT 4): Experience in Design Engineering & Construction/ EPC contracts Involving Water Supply Systems and related infrastructure in the role of prime contractor, or JV member, in India completed during the last 7 financial years, starting from November 01, 2014 and up to application submission deadline. (In case of JV, each member shall meet the requirement)</p> <p>➤ Documentation and Attachments to be submitted as mentioned below.</p>
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<p>➤ Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.</p> <p>➤ In case the contracts listed in Form D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted:</p> <ul style="list-style-type: none"> ❖ Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favor of the Applicant or a JV comprising the Applicant; and ❖ Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant; <p>➤ In case the contracts listed in Form D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p> <p>➤ Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p>
D.2 Specific Experience	Refer Part I: Scope of EPC for details
Specific Experience Requirement:	Package 1: (Lot 1& Lot 2) : At least the number and value of EPC contracts of Hand Pumps including Bore Wells Drilling, Well Development, Pumping Test, Testing of Water Quality, Construction of RCC platform of Hand Pumps/ Bore Wells as mentioned below, in the role of prime contractor or JV member ¹² to the main client, completed between November 01, 2014 and up to application submission deadline:

¹² Experience shall be considered on basis of scope of work and value attributable to the Applicant in that JV for the contract under reference.

	<ul style="list-style-type: none"> Package 1 (LOT 1) 		
	Options	No of contracts	Nos. of Hand Pumps including bore wells Supplied & Installed in Each Contract (at least)
	Option – I	3	320 Nos. of Hand Pumps
	OR		
	Option – II	2	400 Nos. of Hand Pumps
	OR		
	Option – III	1	640 Nos. of Hand Pumps
	<ul style="list-style-type: none"> Package 1 (LOT 2) 		
	Options	No of contracts	No. of Hand Pumps including bore wells Supplied & Installed in Each Contract (at least)
	Option – I	3	320
	OR		
	Option – II	2	400
	OR		
	Option – III	1	640
	<p>For the above options, the value of contracts is defined in terms of nos. of hand pumps supplied and installed and should be interpreted accordingly.</p> <p>The Applicant shall submit the completion certificate as document of proof for completion of contract(s) of similar nature of work including nos. of hand pumps supplied and installed and mention the date and cost of completion of the contract.</p> <p>For Package 2: (Lot 3 & Lot 4): At least the number and value of EPC contracts in Design and Construction of Water Supply system including drilling of bore wells, construction of overhead water tanks, laying of Pipelines and related infrastructure as mentioned below, in the role of prime contractor or JV member¹³ to the main client, completed between November 01, 2014 and up to application submission deadline for the project works:</p>		
	<ul style="list-style-type: none"> Package 2: LOT 3 		
	Options	No of contracts	Value of Each Contract¹⁴ (USD Mn)
	Option – I	3	3.2
	OR		
	Option – II	2	4.0
	OR		
	Option – III	1	6.4

¹³Experience shall be considered on basis of scope of work of the Applicant in that JV for the contract under reference.

¹⁴In case of contracts in INR, for contracts signed in the current financial year, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at USD equivalent. For other contracts, the exchange rate as on 31st March of the financial year in which the contract was signed shall be used to arrive at the USD equivalent.

	• Package 2 : LOT 4		
	Options	No of contracts	Value of Each Contract (USD Mn)
	Option – I	3	4.0
	OR		
	Option – II	2	5.0
	OR		
	Option – III	1	8.0
	<p>The Applicant shall submit the completion certificate as document of proof for completion of contract(s) of similar nature of work including nos. of water supply systems constructed or rehabilitated and mention the date and cost of completion of the contract.</p>		
	<p>3. In case of JVCA, the requirement specified in (1) above shall be met as below:</p> <p>a) Eligibility in terms of Contract Value shall be met by each of the JVCA member, and cannot be aggregated.</p> <p>b) Contracts meeting above criterion by members of the JVCA may be aggregated to meet the criterion on number of contracts.</p> <p>c) In addition to the above, each member of the JVCA shall have executed at least one contract of min. value of 50% under Option I of the respective lot.</p>		
	<p>4. Subcontractors' experience and resumes, if any, submitted with the application will not be taken into account in determining the Applicant's compliance.</p>		
	<p>5. In order to qualify for multiple lots, the applicant shall meet aggregate of the requirements stipulated for the lots under package 1 & 2 and 3c above.</p>		
	<p>6. Documentation and Attachments to be submitted as Mentioned below</p>		
Documentation to be submitted:	Form D.2.1		
Attachments to be submitted:	<p>➤ Final Acceptance/ Completion Certificate/Testimonial Letters issued by the Project Authority for each contract listed in Form D.2.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.</p> <p>➤ In case the contracts listed in Form D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted:</p> <ul style="list-style-type: none"> ❖ Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and ❖ Copy of Concession Agreement indicating the shareholding 		

	<p>structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant</p> <p>➤ In case the contracts listed in Form D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p>
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E. Quality Assurance

E.1 Certified Management System																															
Requirement:	ISO Accreditation / Certified Quality Management System																														
Documentation to be submitted:	Form E.1.1																														
Attachments to be submitted:	Self-attested copy of ISO Accreditation /Quality Management System Certificate valid as on the deadline for submission of application.																														
E.2 Contractor's Equipment																															
Requirement:	<p>The Applicant must confirm that it can avail and deploy the following key tools & plants/equipment for execution of the scope of work as defined in Part I:</p> <p>➤ For Package 1 (LOT 1 & LOT 2)</p> <table border="1"> <thead> <tr> <th>Details of Equipment</th><th>Minimum Numbers Required (For Each LOT)</th></tr> </thead> <tbody> <tr> <td>Drilling Rigs (Rotary/DTH)</td><td>4 Nos.</td></tr> <tr> <td>Drilling tools</td><td>4 Nos.</td></tr> <tr> <td>Compressor</td><td>4 Nos.</td></tr> <tr> <td>Pick-up truck for transport</td><td>4 Nos.</td></tr> <tr> <td>Water Tank Truck</td><td>4 Nos.</td></tr> <tr> <td>Pumping Test Equipment's</td><td>4 Nos.</td></tr> <tr> <td>Miscellaneous (Portable water level indicator, GPS, Daily well drilling log sheet for each well, etc.)</td><td>4 Nos.</td></tr> </tbody> </table> <p>➤ For Package 2 (LOT 3 & LOT 4)</p> <table border="1"> <thead> <tr> <th>Details of Equipment</th><th>Minimum Numbers Required</th></tr> </thead> <tbody> <tr> <td>Topographic equipment (total station or level + theodolite, tripods, sights)</td><td>02</td></tr> <tr> <td>Bore well Drilling Rig</td><td>2</td></tr> <tr> <td>Bore well Pump set erection equipment's and related accessories</td><td>2</td></tr> <tr> <td>Pipeline jointing equipment's</td><td>5</td></tr> <tr> <td>Concrete mixer of at least 0.5 Cum capacity</td><td>5</td></tr> <tr> <td>Mobile Concrete Mixer of 2cum capacity</td><td>3</td></tr> </tbody> </table>	Details of Equipment	Minimum Numbers Required (For Each LOT)	Drilling Rigs (Rotary/DTH)	4 Nos.	Drilling tools	4 Nos.	Compressor	4 Nos.	Pick-up truck for transport	4 Nos.	Water Tank Truck	4 Nos.	Pumping Test Equipment's	4 Nos.	Miscellaneous (Portable water level indicator, GPS, Daily well drilling log sheet for each well, etc.)	4 Nos.	Details of Equipment	Minimum Numbers Required	Topographic equipment (total station or level + theodolite, tripods, sights)	02	Bore well Drilling Rig	2	Bore well Pump set erection equipment's and related accessories	2	Pipeline jointing equipment's	5	Concrete mixer of at least 0.5 Cum capacity	5	Mobile Concrete Mixer of 2cum capacity	3
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Concrete mixer of at least 0.5 Cum capacity	5																														
Mobile Concrete Mixer of 2cum capacity	3																														

	Metal scaffolding up to 30 meters high with access ramps	10											
	Full set of equipment for testing pressure of pipelines	05											
	Mobile crane, up to 25 meters high; arrow load of 1.5 tons	01											
	Vehicle carrier for the transport of heavy equipment	01											
	Hydraulic excavator of at least 200 HP	02											
	Hydraulic compressor of at least 100L / min and jackhammer with rock crusher	04											
	8 to 10 tones Vibratory roller for compaction of earth	02											
	Tipper trucks of at least 12 m ³	02											
	Water tanker of 15,000 liter	04											
	Truck Tray equipped with Crane Lifting	02											
	<i>Note: The above list of equipment is an indicative list. The complete list of equipment would be made available at Tender stage.</i>												
➤ Documentation and Attachments to be submitted as mentioned below													
Documentation to be submitted:	Form E.2.1												
Attachments to be submitted:	None												
E.3 Skilled Human Resources													
Requirement:	Applicant must demonstrate that it has personnel for the key positions that meet the following requirements for each package:												
	➤ For Package 1 (LOT 1 & LOT 2)												
	<table><tr><th>Proposed Position</th><th>Minimum Desirable Qualification / Experience</th><th>Total Experience- Post Qualification (in years)</th><th>Number of Positions Required</th></tr><tr><td>Sr. Project Manager/ Team Leader</td><td>Post Graduate in Geology / Hydrogeology, Civil/ Mechanical Engineering with minimum 15 years' experience in water/well drilling in soft & hard rock formation; good knowledge of English.</td><td>15</td><td>1</td></tr><tr><td>Sr. Hydro Geologist</td><td>Post Graduate/Graduate in Geology / Hydrogeology, Civil Engineering with minimum 10 years' experience in water/well drilling in soft &</td><td>10</td><td>2</td></tr></table>	Proposed Position	Minimum Desirable Qualification / Experience	Total Experience- Post Qualification (in years)	Number of Positions Required	Sr. Project Manager/ Team Leader	Post Graduate in Geology / Hydrogeology, Civil/ Mechanical Engineering with minimum 15 years' experience in water/well drilling in soft & hard rock formation; good knowledge of English.	15	1	Sr. Hydro Geologist	Post Graduate/Graduate in Geology / Hydrogeology, Civil Engineering with minimum 10 years' experience in water/well drilling in soft &	10	2
Proposed Position	Minimum Desirable Qualification / Experience	Total Experience- Post Qualification (in years)	Number of Positions Required										
Sr. Project Manager/ Team Leader	Post Graduate in Geology / Hydrogeology, Civil/ Mechanical Engineering with minimum 15 years' experience in water/well drilling in soft & hard rock formation; good knowledge of English.	15	1										
Sr. Hydro Geologist	Post Graduate/Graduate in Geology / Hydrogeology, Civil Engineering with minimum 10 years' experience in water/well drilling in soft &	10	2										

		hard rock formation; good knowledge of English.		
	Senior Geophysicist	Post Graduate degree in Physics/Geophysics/Geology with minimum 10 years of experience in Geophysical prospecting (mainly electrical) in varied hydrogeological terrain with good knowledge of English	10	1
	Geophysicist / Geologist	Post Graduate degree in Physics/Geophysics/Geology with minimum 5 years of experience in varied hydrogeological terrain with good knowledge of English	5	2
	Drilling Engineer	Degree/Diploma in Civil/ Mechanical Engineering with minimum 2 years' Experience in water/well drilling; good knowledge of English.	2	2
	Site supervisor	Diploma in Civil/ Mechanical Engineering with minimum 2 years' Experience in water/well drilling; good knowledge of English.	2	4
	Environmental, Social and Safety Specialist	Degree/Diploma in Social or similar field with minimum 5 years' Experience in water/well drilling; good knowledge of English.	5	2
➤ For Package 2 (LOT 3 & LOT 4)				
	Proposed Position	Minimum Desirable Qualification/ Experience	Total Experience – Post Qualification (in years)	Number of Positions Required
	Sr. Project Manager/ Team Leader	Post Graduate in Hydraulic Engineering/ Environmental Engineering with minimum 15 years of experience in design and construction of Water Supply Systems.	15	1

	Sr. Hydro Geologist	Post Graduate/Graduate in Geology / Hydrogeology/MSC Geology, with minimum 10 years of experience in water/well drilling in soft & hard rock formation	10	2
	Mechanical Expert	Post Graduate in Mechanical Engineering with minimum 10 years of experience in design and construction of Water Supply Systems	10	1
	Electrical Expert	Post Graduate/Graduate in, Electrical Engineering with minimum 10 years of experience in design and construction of Electrical components of Water Supply Systems.	10	1
	Structural Expert	Post Graduate in structural Engineering with minimum 10 years of experience in design and construction of structural aspects of Water Supply Systems.	10	1
	Pipeline Design Engineer	Graduate in Civil Engineering with minimum 10 years of experience in design of water supply / Waste	10	2

		water pipeline network		
	Drilling Engineer	Degree/Diploma in Civil/ Mechanical Engineering with minimum 2 years' Experience in water/well drilling; good knowledge of English.	2	2
	Site supervisor	Diploma in Civil/ Mechanical Engineering with minimum 3 years' Experience in water/well drilling; good knowledge of English.	3	6
	Chief Lab	Technician Certificate in Civil Engineering or equivalent	10	1
	Hygiene Safety Environment Manager	Master's degree/ Graduate in Environmental Sciences, or in Hygiene and Sanitation	5	1
	Principal Coordinator of Topographic Work	Topographer Engineer, or Land Surveyor, or Master in Topography	5	1
	Principal Coordinator of Civil Works	Master's Degree/Graduate in Civil Engineering or Hydraulic Infrastructure, or Rural Equipment or equivalent	10	3
	Principal Coordinator of Pipeline	Master's Degree/Graduate in Civil Engineering or Hydraulic	10	3
<i>Note: The above list of manpower requirement is an indicative list. The complete list would be made available at Tender stage</i>				
Documentation	FormE.3.1			

to be submitted	
Attachments to be submitted:	None
E. 4 Manufacturer's Authorization	
Requirement:	<p>➤ In the case of an Applicant who offers to supply and/or install equipment in accordance with Part I of the Application Documents that the Applicant did not manufacture or otherwise produce, the Applicant shall submit an undertaking to submit Manufacturers' Authorization(s) for all major equipment in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant.</p> <p>➤ Documentation to be submitted as mentioned below</p>
Documentation to Be submitted:	Form E.4.1

F. Confirmation of eligibility under Public Procurement Orders

F.1 Confirmation of eligibility under Public Procurement Orders	
Requirement:	Applicant to confirm their eligibility for the prequalification according to the provisions of Public Procurement Orders Nos. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India
Documentation to be submitted:	Form F.1.1

NOTE:

The Evaluation Criteria for Pre-Qualification of Applicants

Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/Fail basis
D.	Experience	Evaluation on Pass/Fail basis
E.	Quality Assurance	Evaluation on Pass/Fail basis
F.	Eligibility under Public Procurement Orders	Evaluation on Pass/ Fail basis

Part V. Forms
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Application Submission Form

Date: *[insert day, month, and year]*
IFP No. And title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be pre-qualified for the referenced IFP and declare that:

- a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)., issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently blacklisted/debarred/sanctioned in accordance with ITA 4.5; Subsequent to the deadline for Application submission, in case we are blacklisted/debarred/sanctioned, we shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit file as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List, and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- d) We understand that you may cancel the pre-qualification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the pre-qualified Applicants to bid for subsequent contract(s) subject to this pre-qualification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- f) We further declare that we are/are not¹⁵ facing any investigation by any Government procuring entity/corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)
- g) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/cancellation of pre-qualification.
- h) We further declare that we are / we are not (strike off whichever is not applicable) facing any investigation by any Government procuring entity/corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)
- i) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA3, inter alia, may lead to

¹⁵Strike off whichever is not applicable

rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents.

Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1.Articles & Memorandum of Association	
	1. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration.	
	3.PAN and GST Registration Details	
	4.Letter of intent to form JV or JV agreement, in case of JV	
	5.Organizational chart	
	6.List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8.Acopsy (self-attested on all pages) of Power of Attorney in favor of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above.	
A.1.2*	Applicant's JV Member's Information Form [incase Applicant is a JV]	
*	1. Articles & Memorandum of Association of the JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member	
*	3. PAN and GST Registration Details of the JV Member	
*	4. Organizational chart of the JV Member	
*	5. List of Board of Directors with their complete designation incase of nominee directors of the JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favor of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No.7 above.	
B.1.1*	Non-Performed Contracts	
*	1.Documents establishing details included in Form B.1.1	
B.1.2*	Pending Litigation	
*	1.Documents establishing details included in Form B.2.1	
B.1.3*	Litigation History	
*	1.Documents establishing details included in FormB.3.1	

C.1.1*	Financial Status & Capacity, certified by the Statutory Auditors of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 5 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilized and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3*	Annual EPC Turnover, certified by the Statutory Auditors of the Applicant	
C.2.1*	Ongoing Contracts (Certified by Chartered Accountants)	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in Form D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favor of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favor of the JV comprising the Applicant.	
*	3. In case the contracts listed in Form D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1*	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in Form D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favor of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favor of the JV comprising the Applicant.	
*	In case the contracts listed in Form D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	

E.1.1	Certified Management System	
	Self-attested copy of ISO Accreditation/Quality Management System Certificate	
E.2.1	Contractor's Equipment Details Undertaking stating that the equipment listed under E.2. Contractor's Equipment can be arranged and deployed at site.	
E.3.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
E.4.1	Manufacturer's Authorization (Undertaking)	
F.1.1	Confirmation of eligibility under Public Procurement Orders	
Part VI*	Questionnaire for Applicants	

Note:

- i. Please insert page numbers
- ii. Documents marked '*' to be submitted for each JV member separately.

FormA.1.1
Applicant Information Form

(To be filled by Applicant if being a Single Entity or as Lead member of JV)

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture(JV),name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/number/town or city/country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/number/ town or city/country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, GST Registration details, organizational chart, and a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

*Note: Applicant's attention is drawn to ITA4.1 pursuant to which this pre-qualification process is open to only Indian entities.

Form A.1.2**Applicant's/JV Member's Information Form**

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant JV Member's legal address registered in India: <i>[insert street/number/town or city/ country]</i>
Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/town or city/country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA4.1 pursuant to which this pre-qualification process is open to only Indian entities.

Form B.1.1/B.2.1/B.3.1**Past Contract Non-Performance, Pending Litigation and Litigation History**

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Pre-qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Pre-qualification Criteria and Requirements, requirement B.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>
Total			

Form B.2.1				
Pending Litigation, in accordance with Part IV, Pre-qualification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.2				
<input type="checkbox"/> Pending litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub- Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>
	<i>Total:</i>			<i>Total:</i>

FormB.3.1			
Litigation History, in accordance with Part IV, Pre-qualification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency),USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate Complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>
	<i>Total:</i>		

Form C.1.1**Financial Status and Capacity**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*Date: *[insert day, month, year]*Joint Venture Member Name: *[insert full name]*IFP No. and title: *[insert IFP number and title]*Page *[insert page number]* of *[insert total number]* pagesInformation pertaining to: *[Insert name of JV Leader or JV member/s]***1. Financial data**

Type of Financial information in	Historic information for previous five (5) years, (amount in Indian Rupees)				
	FY2015-16	FY2016-17	FY2017-18	FY2018-19	FY2019-20
Sources of Fund					
Share Holder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current Assets, loans and advances					
Less:(ii) Current liabilities & provisions					
Misc. exp. To the extent not W/Of for adjusted					
Profit and Loss Account					
Net Worth					
Net Worth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax(PBT)					
Profit/(Loss) After Tax(PAT)					
Information From Cash flow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

Registration No/ Membership No:
(Of the Statutory Auditors of the Applicant/each JV member)

Stamp

Date:

Place:

UDIN:

FORM C.1.2.
Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Joint Venture Member Name: [insert full name]

IFP No. and title: [insert IFP number and title]

Page [insert page number] of [insert total number] pages

Information pertaining to: [Insert name of JV Leader or JV member/s]

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

				Amount in INR
Sr. No	Type of Facility	Sanctioned Limit	Utilized Limit	Unutilized Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	A			
	B			
	C			
	Total Non-fund Based			
	Total Fund and Non-Based Limit			

B. Details of Liquid Assets such as cash and bank balance marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr. No	Type of Liquid Assets	Amount (In INR)
1.		
2.		
3.		

3. Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) Reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member).

PartV. Forms

(b) Be independently audited or certified in accordance with local legislation.

(c) Be complete, including all notes to the financial statements.

(d) Correspond to accounting periods already completed and audited.

- ☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements.
- ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
- ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilized and available) from Lead Banker or respective Bank statements.

**Form
C.1.3**

Annual EPC Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the statutory auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

	Annual Turnover Data						
Year	EPC Turnover Amount (INR)			Total Turnover (INR)	EPC Turnover as % of Total Turnover	Exchange rate* (v/s USD)	EPC Turnover in USD equivalent
	Contrac ts in India	Overseas Contracts	Total EPC Turnover				
	[A]	[B]	C=[A+B]				
2015- 2016							
2016- 2017							
2017- 2018							
2018- 2019							
2019- 2020							

*Refer ITA 13 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year

Registration No/ Membership No:
(Of the Statutory Auditors of the Applicant/each JV member)
Date:
Place:
UDIN

Stamp

FormC.2.1**Ongoing Contracts**

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Chartered Accountants. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion	Residual value of Work	Annual Residual value of Work
1	2	3	4	5	6	7	8
Contract Ref: Brief Title of the works: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name & Address of Employer:	<i>[insert "Prime Contractor" or "JV Member"]</i>				<i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert value In US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i>	<i>[Insert value in US\$ equivalent]</i> <i>[7divide by 6]</i>
							<i>[Insert Total for all Contracts]</i>

*Exchange rate prevalent as on the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD. Refer ITA 13.3 for date and source of exchange rate

Registration No/ Membership No:

Stamp

(Of the Chartered Accountants of the Applicant/each JV member)

Date:

Place:

UDIN:

Form D. 1.1**General Experience**

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>		<i>[insert "Prime Contractor" or "JV Member"]</i>

*Refer ITA 13.3 for date and source of exchange rate

*Final Acceptance Certificate/Completion Certificate/Testimonial letters issued by the employer/ Concession Granting Authority in case of SPV to be attached for each contract, in an orderly fashion.

Form D.2.1**Specific EPC Experience**

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual:</i>		<i>Actual: [dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/Sub-sector]</i> <i>[Briefly mention the similarity in terms of sectorial characteristics and technical aspects listed in Sub-Factor D.2]</i>		
For the above contract, mention the following attributes			
1.Capacity/Physical size of key works items	<i>[insert capacity/ physical size of key work items]</i>		

2.Complexity	<i>[insert description of complexity]in accordance with the technical aspects mentioned under Part IV D.2]</i>
3. Methods/Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>
4. Rate of execution for key items	<i>[insert execution rates for key items]</i>
5.Other Characteristics	<i>[insert other characteristics as appropriate]</i>

Note: Rate implies the quantity executed in unit time e.g. cubic meters of earth work per annum, etc.

*Refer ITA 13.3 for date and source of exchange rate.

*Final Acceptance Certificate/Completion Certificate/Testimonial Letters issued by the employer/Concession Granting Authority in case of SPV to be attached for each contract, in orderly fashion.

Form E.1.1**Certified Management System**Applicant's Name: *[insert full name]*Date: *[insert day, month, year]*IFP No. and title: *[insert IFP number and title]*Page *[insert page number]* of *[insert total number]* pagesInformation pertaining to: *[Insert name of JV leader or JV member/s]*

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the quality management system accreditation]	[indicate certificate identification number and mention which process or discipline has been certified and inclusions]	[dd/mm/yy]	[dd/mm/yy]	[indicate the Name of the certifying organization and contact details]

Self-attested copy (ies) of ISO Certificate(s) valid as on the date to be attached.

Form E.2.1**Contractor's Equipment Details**

[In case of JVCA, this form shall be submitted on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy following equipment, listed in E.2, at site :

Equipment Type	Make/ Model	Capacity	Number
<i>[mention the generic name/ type of equipment]</i>	<i>[indicate make/ model of equipment]</i>	<i>[indicate capacity of equipment]</i>	<i>[indicate number available]</i>

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

FormE.3.1**Skilled Human Resources**

[Furnish details for key staff pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.3 :

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form E.4.1

Manufacturer's Authorization (Undertaking)

[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader JV member/s]*

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* here by undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) To bid for the supply of equipment for the tender under reference;
- (b) Confirming guarantee/warranty support for the equipment bid for by us
- (c) Confirming that the equipment being bid for to be supplied shall be new and unused.

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form F.1.1

Confirmation of eligibility under Public Procurement Orders

[The following certificate to be given by the Applicant, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor F.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

This is to confirm that the Applicant has read the Public Procurement Orders Nos. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India regarding restrictions on procurement from a bidder of a country which shares a land border with India, and it is further confirmed that the Applicant fulfills all requirements in this regard and is eligible to be considered for the prequalification process.

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

Eligibility, Confirmation and Other Important Information	Response*
1. Is your firm, either singly or in joint venture currently empaneled or prequalified as an EPC contractor. If yes, please provide details of Client, Country and Scope of EPC. Attach additional sheets, if needed.	Yes___/No ___
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes___/No ___
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes___/No ___
4. Has your firm been blacklisted or suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No___
5. Is your firm's account currently classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary.	Yes_____/No_____
6. Has your firm/organization ever filed or petitioned for bankruptcy? (If yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No___
7. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes___/No___
8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If yes, please describe in detail in a separate sheet, as necessary.	Yes___/No___
9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Confirm: Yes___/No___ Yes___/No___ Yes___/No___ Yes___/No___
10. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit and Public Procurement Orders Nos. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3	Confirm: Yes___/ No ___

dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India.	
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** Note: To confirm, print "Yes", else "No"*

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date: