

Application Document

For Prequalification of Indian Consultancy firms

for

LOT-1: Preparation of Detailed Project Report (DPR)

and

LOT-2: as Project Management Consultant (PMC)

For

construction of 135 MW Solar Power Plants in
Sri Lanka

Export-Import Bank of India

Date: February 04, 2022

INVITATION FOR PREQUALIFICATION OF INDIAN CONSULTANCY FIRMS FOR PREPARATION OF DETAILED PROJECT REPORT (DPR) AND AS PROJECT MANAGEMENT CONSULTANTS FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to- time. As per the extant guidelines issued vide letter No. 21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian Consultants for prequalification of consultants for preparation of Detailed Project Report (DPR) and as Project Management Consultants (PMC) for Construction three category of solar PV projects as per the scope aggregating to 135 MW in Sri Lanka under a Line of Credit extended to the Government of the Republic of Sri Lanka.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant's Name	<Applicant's Name>
[ii]	Reference number	
[iii]	Name of Beneficiary	Export-Import Bank of India
[iv]	Beneficiary's Bank Name	Export-Import Bank of India
[v]	Account No.	HO00INR11011004
[vi]	IFSC Code	EIBI0HO0001
[vii]	GST Number	27AAACE2769D1ZS
[viii]	Narration to be inserted for NEFT	GOILOC-343/Sri Lanka/CS-45

The preparation and submission of Application for Prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (offline) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, March 04, 2022 at the following address:

Ms. Amita Dang
Assistant General Manager
Export-Import Bank of India
Office Block, Tower 1,7th Floor, Adjacent to Ring Road
Kidwai Nagar [East], New Delhi – 110023
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.in

This Application Document (AD) consists of the following Parts:

Part I. Scope of Consultancy Services

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/ Procuring Entity subsequent to this prequalification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification ("Applications") and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by the Borrower Government/Procuring Entity:-

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document

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LOT-1: Preparation of Detailed Project Report (DPR)

and

LOT-2: as Project Management Consultant (PMC)

For

construction of 135 MW Solar Power Plants in Sri Lanka

Invitation for Prequalification
No.: GOILOC-343/Sri Lanka/CS-45
Issued on: February 04, 2022

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Indian Consultants firms for preparation on DPR and as PMC

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Part I: Scope of Consultancy Services

The Export-Import Bank of India (Exim Bank or the Bank), on behalf of the Government of India (GOI), has approved financing of Solar Energy Projects out of a Line of Credit extended to the Government of Sri-Lanka. A part of the LOC shall be utilized for preparation of Detailed Project Report (DPR) and Project Management Consultants (PMC) Service, for which Exim Bank now seeks applications for prequalification from eligible Indian Consultancy firms. Refer Part II ITA 4 for eligibility provisions.

A] Background

Government of Sri Lanka (GOSL) has planned to increase the share of renewable energy in electricity generation. Among the different renewable energy sources available in the country, solar energy becomes a priority due to the high resource potential available owing to the geographical positioning as a tropical country.

Sri Lanka resorted to harnessing solar power for meeting its electricity needs, with the commissioning of the first grid-connected solar power plant with a capacity of 1.3 MW in Hambantota, which is operated by Sri Lanka Sustainable Energy Authority (SLSEA) as a demonstration plant. By now, there are altogether 50 land-based grid-connected solar-power projects that have been implemented with an aggregate capacity of 93.36 MW. Grid-connected solar rooftop systems are the other modality that has been implemented, which was launched through net-metering in 2011. The scheme was expanded in 2016, including further two systems – Net Accounting and Net Plus, making an expedite programme implementation referred to as Soorya Bala Sangramaya (Battle for Solar Power). The total grid-connected solar rooftop system capacity is 410MW.

Government of India has offered a credit line facility of USD 100 million through Indian Exim Bank for strengthening the solar power development in Sri Lanka. The programme is expected to be launched in 2022 with a time span of 3 years. It is expected to detail out the approaches for solar power project implementation under this programme, through this report.

B] Scope of work

Sri Lanka Sustainable Energy Authority (SLSEA) is the implementing agency for solar power project shown below under the credit line facility of USD 100 million from the Government of India, under the guidance of the Ministry of Power and State Ministry of Solar, Wind and Hydro Power Projects Development.

- a) 120 MWp Rooftop Solar PV Installations for Government Buildings
- b) 10 MWp Rooftop solar PV Systems with battery storage facility, for low-income households to enhance the quality of life of rural people, religious places and provide solar PV systems for RO (Reverse Osmosis) plants,
- c) 5 MWp Floating Solar PV Project at different reservoirs.

i) LOT 1- Preparation of Detailed Project Report (DPR)

Preparation of Detailed Project Report (DPR) for construction of 120 MWp Rooftop Solar PV Installations for Government Buildings (approx. 3400 sites) and 10 MWp Rooftop Solar PV systems with battery Storage for low-income household and religious places (approx. 2500), RO plants (approx. 250) and 5MWp floating Solar Power Project at different reservoirs in Sri Lanka.

The scope for preparation of Detailed Project Report (DPR), shall broadly include but not limited to the following:

- Assessment about the availability and type of land / building, water-logged, roof space/ details of access/ right of way to the site, in consultation with the Sri Lankan government officials;
- Associated Architectural and design laws of Sri Lanka;
- Requirement and availability of suitable construction materials in the vicinity of project site, including availability of utilities like water, electricity, etc. as may be required by the EPC contractor during the construction phase of the contract;
- Ascertain the structural strength of all the government buildings, houses and religious places
- Requirement and availability of skilled and unskilled human resources in the Sri Lanka, as may be required during construction phase of the contract.
- Provisions of various departments of the Government of Sri Lanka for implementation of the project, such as tax exoneration, allotment of land, environmental clearances, security to the Indian personnel, clearance of goods from the port authorities and its transportation to the site, etc., as an information to the prospective bidders.
- A report stating cost benefit analysis and cell efficiency analysis for various available technology option for setting up of the Solar Photovoltaic Power Plant viz. a) Polycrystalline technology, b) Thin-Film technology and c) mono crystalline technology/options (RCC Frame structure/pre-fabricated building/steel frame structure) available for constructing the solar rooftop systems and floating solar projects.
- All Materials to be complied with the prevailing standards in Sri Lanka (SLSI, IEC.)
- Availability of the major project components from Indian entities, along with the names of established and reputed Indian suppliers conforming to the requirement of 75% Indian Content. Attainability of the minimum Indian content of 75% value of the contract.

- The DPR consultant, while arriving at detailed cost estimates and breakup, should also mention about feasible transport routes for material from India and associated costs.
- Existing infrastructures available at site.
- Requirements in connection with EIA (Environment Impact Analysis) studies and applicable regulations, and environmental clearance/approvals for solar projects
- The DPR consultant would need to evaluate the technical and financial sustainability plan of the proposed project and the capability of the host Government to ensure smooth maintenance of the building post implementation, and after sales service and warranty replacement by the EPC contractor
- Cost estimates should include feasible transport routes for export of material from India and associated costs.
- Required Insurance scheme to be proposed to cover the solar systems implementing through this credit line.
- Operations and Maintenance (O&M) of the solar systems, post-construction, will be a major component/concern. The DPR should clearly specify various O&M requirements including manpower requirement (skilled/semi-skilled/unskilled/technicians, etc.).
- Mechanism to be proposed for the disposal of solar panels, batteries and others environmentally hazard items.
- Identification of required transport facilities for project staff for supervision and monitoring
- Consultant to complete the detailed requirement by conducting site specific surveys; Financial and technical feasibility of the proposed project; Benefits to the country of domicile and the locality of the project; Any other specific requirements suggested by the Borrower during DPR preparation phase; Any other comments or suggestions.
- Provision of guidance on any possible grid connections and liaise with concerned agencies (Ceylon Electricity Board - CEB and Lanka Electricity Company - LECO) in Sri Lanka on the producers and modalities to be followed.
- The waste disposal mechanism report to be prepared

ii) LOT-2: Project Management Consultants (PMC) services;

PMC services are required for proper planning and implementation of pre-construction activities, implementation, and quality control, timely completion of all activities and successful commissioning of the project, training and transfer of technology. PMC service shall broadly include but are not limited to the following

for Government Buildings (120 MW, approx. 3400 sites) and 10 MWp Rooftop Solar PV systems with battery Storage for low-income household and religious places (approx. 2500), RO plants (approx. 250) and 5MWp floating Solar Power Project at different reservoirs:

Phase – I

- Carry out a detailed environmental impact assessment of the project.
- Based on the available resources, the Consultant may be required to propose project phasing ensuring that the first phase is able to deliver the needed power at the time, and that the subsequent phase(s) are easily integrated to the existing system.
- Validate the potential sites for the project with the help of the Client by first focusing on sites that have already been earmarked for this development, and later exploring any other possible sites. Topographic and hydrographic mapping will have to be undertaken where necessary. All data including geophysical, soils, climate and weather will have to be included as presented in the DPR.
- Tender engineering, including preparation of technical specifications, tender documents;
- Assist GOSL in tender evaluation and recommendation of award of Engineering Procurement and Construction (EPC) contract;

Phase- II

- To ensure synchronization of shipment from India by EPC contractor in tandem with physical progress and site preparedness;
- Inspection of materials and sample testing (as per the borrower's country standards) at manufacturer's works before shipping and before dispatch to site;
- Certification of work progress and invoices including conforming to the requirement of 75% Indian Content in the EPC contract;
- Construction supervision of civil, electrical and mechanical works, erection, stringing, testing & commissioning of solar power plant;
- Maintaining daily site diaries, and daily reports to verify contractor's daily records of labour, plant and equipment, weather conditions, progress, instructions and delays;
- Maintaining a photographic record of the progress of the work;
- Submission of Monthly, periodic Status report to project authority, Exim Bank, Indian Mission in Colombo and Ministry of External Affairs, Government of India;
- Monitoring the contract's cost relative to the contract budget and programmed expenditure taking into account actual quantities and updating quantity estimates, costs of variation orders, costs of potential claims and any other costs. Reporting should be prepared on monthly basis or at any time when major changes occurred which may adversely affect the client's budgetary provisions;
- Training & transfer of technology;
- Evaluation of operations and maintenance;
- Post-commissioning stages of the project;

- Ensuring that all environmental and pollution control measures are implemented in accordance with the contract and are maintained for the duration of the works.

C] Contract execution period

Lot – I: Detailed Project Report (DPR) is expected to be prepared and submitted within a period of **six (6) months** from the date of award of the contract.

Lot – II: Project Management Consultants' (PMC) scope of work will commence after the approval of the DPR. The Phase –I of PMC service will commence from preparation of tender documents until the award of contract to EPC. Phase –II will commence start of EPC works until the end of the Defects Liability Period (DLP) (18 Months per Project). The total duration of the contract is expected to be 3 years.

There shall be separate contracts for preparation of DPR and PMC.

D] Project Authority

The Sri Lanka Sustainable Energy Authority (SLSEA), is the Project Authority for the project.

E] Application

The Applicant may apply for a single lot, or both the lots, subject to meeting the eligibility criteria.

F] GOI Guidelines

As per the GOI guidelines, goods, works and services for minimum 75% of the value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at:

<https://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

Applicants are also advised to refer to the Public Procurement Orders nos. 1 & 2 dated July 23, 2020 and Public Procurement Order no. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure (DOE), Ministry of Finance, Government of India, which are applicable to the current prequalification process.

Part II. Instructions to Applicants [ITA]**Table of Articles**

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Part II. Instructions to Applicants

A. General

- 1. Background** 1.1 The Export-Import Bank of India (hereinafter called "the Bank"), on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities (hereinafter called "Lines of Credit") under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called "Borrower or Employer"), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Prequalification** 2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document ("Application Document") is being issued by the Bank to prospective applicants ("Applicants") interested in submitting applications ("Applications") for prequalification as per the scope described in Part I, Scope of Consultancy Services and subject to the provisions of this Application Document.
- 3. Ethics and Integrity** 3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts¹, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
- 3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has violated the provisions stated in ITA 3.1 above, the Bank, inter alia,

¹ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

- a. shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- b. may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility Applicants

- of**
- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
 - 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/ JV Member's Information Form), for any part of the prospective contract(s) including related services.
 - 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
 - 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
 - 4.5 An Applicant that has been blacklisted/ debarred/ sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/ bidding process as applicable, during such period of time as the sanctioning authority shall have determined. In case the Applicant is blacklisted/ debarred/sanctioned post the prequalification process (i.e. including during bidding process and/or Project implementation phase), the

Applicant shall immediately inform Exim Bank of such blacklisting/ debarment/sanction and reasons thereof. This may lead to cancellation of prequalification / contract.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if (a) it is currently under default on any loan to any Bank/ Financial Institution (FI) and its account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and/or (b) its promoters/ directors appear in Negative List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies, updated from time-to-time. On being included in any of the above lists post the prequalification process (i.e. including during bidding process and/or Project implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons thereof. This may lead to cancellation of prequalification / contract.
- 4.8 An Applicant shall (i) be eligible with respect to the provisions of Public Procurement Orders Nos. 1 & 2 dated July 23, .07.2020 and Public Procurement Order No. 3 dated July 24, .07.2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India; and (ii) furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Consultancy Services
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV. Prequalification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants

- 5.2 Unless obtained directly from the Bank, the Bank shall not

be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be uploaded on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language

- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant

passages in English language, which shall be the governing language.

- | | |
|---|---|
| <p>10. Documents constituting the Application</p> | <p>10.1 The Application shall comprise the following:</p> <ul style="list-style-type: none"> (a) Application Submission Form, in accordance with ITA 11.1; (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1; (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and (d) any other document required as specified in the DS. |
| <p>11. Application Submission Form</p> | <p>11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.</p> |
| <p>12. Documents Evidencing the Applicant's Eligibility</p> | <p>12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).</p> |
| <p>13. Documents Evidencing the Applicant's Qualifications</p> | <p>13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.</p> <p>13.2 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:</p> <ul style="list-style-type: none"> (a) Exchange rates shall be taken from the publicly available source identified in the DS. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation; (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the DS; (c) for data related to contracts signed in the current financial year, unless otherwise specified in the DS, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent; and |

(d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the **DS**.

14. Authorized Signatory and Number of Copies

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

15. Preparing the Application Envelope

15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:

- (a) be addressed to the Bank, as per ITA 16.1;
- (b) mention the name and address of the Applicant; and
- (c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

16. Application Submission Deadline

16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the **DS**.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

17. Late Applications

17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications

18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential

19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time

of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation

20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Prequalification of Applicants

22. Evaluation of Applications

22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.

22.3 Only the qualifications of the Applicant, in the capacity as prime Consultant/ member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or any other affiliated company of the Applicant, who is not member of the JV except as permitted by ITA 4.3, shall not be considered.

- 22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.
- 23. Bank's Right to Accept or Reject Applications** 23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 24. Prequalification of Applicants** 24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.
- 25. Notification of Prequalification** 25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.
- 25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.
- 26. Subsequent Invitation for Bids** 26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of Proposals.

<u>Part III. Data Sheet (DS) to ITA</u>	
A. General	
ITA 2.1	<p>The identification of the Invitation for Prequalification [IFP] is: GOILOC-343/Sri Lanka/CS-45</p> <p>The Bank's address is: Export-Import Bank of India Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 Tel: +91 11-2347 4829/2347 4800 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
ITA 4.3	<p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/association (JV) based on a memorandum of understanding. In case of unincorporated JV, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV <p>The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Consultants and non-prequalified Consultants or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JV shall not be permitted to participate either in individual capacity or as a member of another JV in the same prequalification process.</p> <p>The JV shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the members of the J during the prequalification process, tendering process and, in the event the JV is awarded the Contract, during contract execution.</p>

	<p>In the event of award of final contract to any JV, all the payments to the JV shall be made to the bank account of the JV and not to the account of individual member(s). The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p>
ITA 4.6	<p>A list of debarred firms and individuals by: The World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>The African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>The European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>The Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>
B. Contents of the Application Document	
ITA 6.1	<p>For clarification purposes, the Bank's address is:</p> <p>Ms. Amita Dang Assistant General Manager Export-Import Bank of India Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 E-mail: eximloc@eximbankindia.in</p>
C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <u>On-line mode of Application</u> The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p> <p>For details for submitting Online Application, please refer the above portal.</p> <p><u>Minimum Requirements:</u> 1. Computer/Laptop with Internet connection.</p>

	<p>2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit.</p> <p>3. Java Version: 1.8 or above</p> <p>4. Mozilla version should be 45.0 and above (x86 only i.e. OS of 32 Bit Version)</p> <p>5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.</p>
ITA 10.1 (d)	<p><u>For any Online technical clarification, kindly contact:</u> Bob E Procure Pvt. Ltd.</p> <p>Email: pgsupport@bobeprocure.in</p> <p>ii) <u>Off-line mode of Application</u> The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</p>

ITA 13.2(a)	<p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (https://www.fbil.org.in).</p> <p>For the historical exchange rates, which are not made available by FBIL, the reference rate published by Reserve Bank of India (RBI) and available on https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx may be used.</p> <p>In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p>																																	
ITA 13.2(b) & (d)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr><tr><td>31-Mar-19</td><td>69.1713</td><td>0.0144</td></tr><tr><td>31-Mar-20</td><td>75.3859</td><td>0.0133</td></tr><tr><td>31-Mar-21</td><td>73.5047</td><td>0.0136</td></tr></table>		USD 1 = INR	1 INR = USD	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154	31-Mar-19	69.1713	0.0144	31-Mar-20	75.3859	0.0133	31-Mar-21	73.5047	0.0136
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ITA 13.2(c)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>74.8400</td><td>0.0133</td></tr></table> <p>As on February 2, 2022</p>	USD 1 = INR	1 INR = USD	74.8400	0.0133																													
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74.8400	0.0133																																	
ITA 14.1	<p>Additionally, one (1) copy in pen drive shall also be submitted. The prequalification application document (offline version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>																																	
ITA 16.1	<p>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).</p> <p>The deadline for Application submission is:</p>																																	

	<p>Date: March 04, 2022 Time: 15:00 hrs</p> <p>For application submission purposes only, the Bank's address is: Bank's address is the same as that indicated in ITA 2.1</p> <p><i>Attention: Ms. Amita Dang, Assistant General Manager Export-Import Bank of India</i></p>
ITA 18.1	<p>The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1</p> <p>Date: March 04, 2022 Time: 15:30 hrs</p>

Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

A.1 Nationality	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant's Nationality shall be in accordance with ITA 4.4 ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted	Form A.1.1 and A.1.2
Attachments to be submitted	<ul style="list-style-type: none"> ➤ Articles & Memorandum of Association, Certificate of Incorporation, Partnership Deed (in case of Partnership firm) of the Applicant in accordance with ITA 4.4. ➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV

A.2. Eligibility	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none"> ➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached. ➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Powers of Attorney for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents. ➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> ○ Permanent Account Number (PAN): Self attested copy ○ GST Registration details, as applicable: Self attested copy

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no instance of contract non-performance² as a result of Applicant's default since January 01, 2017 (In case of JV, each member shall meet the requirement). ➤ The Applicant shall inform Exim Bank of any such instances occurring post submission of the Prequalification Application. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	<ul style="list-style-type: none"> ➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year FY 2020-21, adjusted for 'Pending Contractual Litigation Value' as considered below: ➤ <ul style="list-style-type: none"> • 'Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements FY 2020-21, which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'. <p><u>*Adjusted Net Worth:</u> <i>Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</i></p> <ul style="list-style-type: none"> ➤ Documentation and Attachments to be submitted as mentioned below. <p>In case of JV, each member shall meet the above requirement.</p>

²Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Applicant have been exhausted.

Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

B.3 Contract Litigation History	
Requirement:	<ul style="list-style-type: none"> ➤ The aggregate amount of awards against the Applicant, if any since January 01, 2017, shall not exceed 25% of net worth as per the latest audited financial statements. (In case of JV, each member shall meet the requirement.) ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status					
Requirement:	<p>(i) The audited balance sheets, statements of profit & loss and annual reports for the last three financial years [FY 2018-19 to 2020-21], shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ul style="list-style-type: none"> a) Positive Net worth for each of the last three (3) years as defined above; b) Profit (i.e. Profit Before Tax) for at least one (1) out of last three (3) years as defined above c) Annual Consultancy Revenue (ACR) in any one (1) of the last three (3) financial years as defined above, be not less than: <table border="1" data-bbox="726 1570 1386 1648"> <tr> <td>Lot – I (DPR)</td><td>Lot – II (PMC)</td></tr> <tr> <td>USD 1.00 mn</td><td>USD 1.00 mn</td></tr> </table> <p>In case of JV, requirement (a) and (b) above shall be met by each member, and for requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>If an applicant is applying for both Lots, in that case, in order to qualify for both the lots, the Applicant shall meet aggregated requirement i.e. USD 2 mn under criteria (c) above.</p>	Lot – I (DPR)	Lot – II (PMC)	USD 1.00 mn	USD 1.00 mn
Lot – I (DPR)	Lot – II (PMC)				
USD 1.00 mn	USD 1.00 mn				

	(ii) Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV). Form C.1.2 [in case of JV, for each member of the JV]: Not applicable
Attachments to be submitted:	The Applicant and its parties shall provide copies of audited financial statements for the last three (3) years as defined above. The financial statements shall: <ul style="list-style-type: none"> a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member); b) be independently audited and certified by the Statutory Auditors/ Chartered Accountants in accordance with applicable legislation; c) be complete, including all notes to the financial statements; d) correspond to accounting periods already completed and audited. e) Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application.

D. Experience

D.1 General Experience	
Requirement:	<u>LOT-1</u> Experience in preparation of Detailed Project Report [DPR]/feasibility studies/detailed design reports for Solar Power Projects in the role of Prime Consultant or JV member, to the main client, in India, that have been satisfactorily completed during the last 7 years, starting from February 01, 2015 and up to application submission deadline. (In case of JV, each member shall meet the requirement.)

	Lot-II Experience as Project Management Consultant [PMC] or Lenders' Independent Engineer for Solar Power Projects in the role of Prime Consultant or JV member, to the main client, in India, that have been satisfactorily completed during the last 7 years, starting from February 01, 2015 and up to application submission deadline. (In case of JV, each member shall meet the requirement.)
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<ul style="list-style-type: none"> ➤ Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. ➤ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. ➤ Sub-consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.

D.2 Specific Experience	Refer Part I – Scope of Consultancy Services		
Requirement :	1. Scope of Consultancy Services i) Lot – I At least the number and value of contracts as mentioned below, demonstrating experience as consultant in preparation of DPR/ feasibility studies/detailed design reports for Solar Power Projects, that have been satisfactorily completed as a prime consultant, or as joint venture member to the main client, that have been satisfactorily completed between February 01, 2015 and application submission deadline:		
	Options	No. of Contracts	Value³ of each contract (USD MN)

³ In case of contracts in INR, the exchange rate of 31st March of the FY in which the contract was signed, shall be used to arrive at the USD equivalent

		OR Capacity/ Size of the solar power project (MW)
Option I	3	0.12 million OR 40 MW
OR		
Option II	2	0.15 million OR 50 MW
OR		
Option III	1	0.24 million OR 80 MW

Additionally, as part of above contract(s) or in other contract(s), the Applicant, as a prime consultant or joint venture member to the main client/ project employer, shall demonstrate experience as consultant for DPR/ feasibility studies/detailed design reports for:

- (i) Consultancy Contracts, that have been satisfactorily completed, for setting up of floating Solar Power Projects aggregating 0.50MW capacity in the last five years
- (ii) Consultancy Contracts, that have been satisfactorily completed, involving setting up of rooftop Solar Power Projects aggregating to 1 MW in the last five years

ii) Lot – II

At least the number and value of contracts as mentioned below, demonstrating experience as Project Management Consultant (PMC) or Lenders' Independent Engineer (LIE) for solar Power projects, that has been satisfactorily completed, either as a prime consultant, or joint venture member, to the main client/ project employer, that have been satisfactorily completed between January 01, 2015 and up to application submission deadline:

Options	No. of Contract s	Value of each contract (USD MN) OR Capacity/ Size of the project
Option I	3	0.92 million or 40 MW
OR		
Option II	2	1.15 million or 50 MW
OR		
Option III	1	1.84 million or 80 MW

	<p>2. Additionally, as part of above contract(s) or in other contract(s), the Applicant, as a prime consultant or joint venture member to the main client/ project employer, shall demonstrate experience as PMC/LIE for:</p> <ul style="list-style-type: none"> (i) Contracts for PMC/LIE, that have been satisfactorily completed, for setting up of floating Solar Power Projects aggregating 0.50MW capacity in the last five years (ii) Contracts for PMC/LIE, that have been satisfactorily completed, involving setting up of rooftop Solar Power Project aggregating to 1 MW in the last five years <p>3. In case of JV, requirement under each Lot shall be met as under:</p> <p>Eligibility in terms of the number of contracts under each option can be aggregated across the JV members.</p> <p>A. In addition to the above, each member of the JV shall have executed at least one contract of Solar Power project of minimum value of:</p> <ul style="list-style-type: none"> a) For LOT I: USD 0.06 million or 20 MW b) For LOT II: USD 0.46 million or 20 MW <p>B. In case of JV, requirement shall be met by the JV on a combined basis i.e. contract/project values of each member shall not be aggregated; at least one member from the JV shall meet the requirement.</p> <p>In case, completed project cited by an Applicant was supervised as member of JV/ Consortium, credentials for same shall be considered based on role and responsibility of the Applicant under the contract.</p> <p>C. Sub consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p> <p>D. This common Application Document for Pre-qualification is applicable for both the lots. The Applicant may apply, through a single Application, for any lot, or both the lots, clearly indicating the lot/lot(s) applied for, in the Application Submission Form.</p> <p>E. The pre-qualification of the consultants shall be done lot wise. In order to qualify for both the lots, Applicant shall meet the Experience criteria, viz. General and Specific experience in D.1. and D.2 respectively, for each lot separately.</p> <p>F. The Applicant can submit a maximum of 3 contracts for each of the Lots meeting the requirement at (1) above and should be</p>
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	<p>numbered as per Form D.2.1 in order of preference. In case the number of contracts submitted are more than the number of contracts sought, the additional contracts will not be considered for evaluation and establishing the eligibility under this prequalification.</p> <p>Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form D.2.1
Attachments to be submitted:	<p>➤ Final Acceptance/ Completion Certificate/ Testimonial issued by the employer for each contract listed in Form D.2.1.</p> <p>In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p>

E. Skilled Human Resources

Requirement t:	➤ Applicant must demonstrate that it can deploy personnel for the key positions that meet the following requirements:				
	<u>LOT-I : Manpower requirement for preparation of DPR</u>				
	Proposed position	Minimum Desirable Qualification	Minimum Relevant Experience (in years)	Total Experience Post-Qualification (in years)	Number of Positions Required
	Team Leader (Project Manager)	Master's Degree in any of the following: ✓ Engineering Project Management ✓ Electrical Engineering, ✓ Power, Renewable Energy Systems	15	20	3
	Electrical Engineer	Masters Degree in Electrical Engineering or Renewable	10	15	3

		Energy Systems Development			
	Structural engineer	Bachelor's Degree in Structural Engineering or equivalent	12	10	8
<p><i>Note: The above list of manpower requirement is an indicative list. The complete list would be made available at Tender stage</i></p> <p><u>LOT- II : Manpower requirement for PMC Services</u></p>					
	Proposed position	Minimum Desirable Qualification	Minimum Relevant Experience (in years)	Total Experience Post-Qualification (in years)	Minimum Number of Positions Required
	Project Manager	Master's Degree in any of the following: Engineering, Electrical Engineering, Renewable Energy Systems Plus Project Management qualification	20	15	1
	Mechanical Engineer	Bachelor's Degree in Mechanical Engineering	10	7	2
	Electrical Engineer	Bachelor's Degree in Electrical Engineering	10	7	2
	Structural Engineer	Bachelor's Degree in Structural Engineering or equivalent	12	10	2
	Power Generation Expert	Masters' Degree in Electrical Engineering or Renewable Energy Systems Development	15	10	2

	Environmental Specialist	Degree in Environmental related field	10	7	1
	Social specialist	Degree is Social related field such as Social Science, etc.	10	8	1
	Electrical Engineer (Renewable Energy Specialist)	Bachelor's Degree in Renewable Energy Engineering or equivalent	12	10	2
	Automation Engineer/Site Agent	Bachelor's Degree Electrical Automation Engineering or equivalent	10	7	2
<p><i>Note: The above list of manpower requirement is an indicative list. The complete list would be made available at Tender stage</i></p> <p>The applicant is required to submit an undertaking to engage and deploy personnel as above.</p> <ul style="list-style-type: none"> • Documentation and Attachments to be submitted as mentioned below. 					
Documentation to be submitted:	<ul style="list-style-type: none"> • The Applicant is required to submit an undertaking to engage and deploy personnel as above • Form E.1.1. 				
Attachments to be submitted:	None				

F. Confirmation of eligibility under Public Procurement Orders

Requirement:	Applicant to confirm their eligibility for the prequalification according to the provisions of Public Procurement Orders No. 1 & 2 dated 23.07.2020 and Public Procurement Order No. 3 dated 24.07.2020 issued by Department of Expenditure, Ministry of Finance, Government of India
Documentation to be submitted:	Form F.1.1

NOTE:

The Evaluation Criteria for Prequalification of Applicants		
Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Skilled Human Resources	Evaluation on Pass/ Fail basis
F.	Confirmation of eligibility under Public Procurement Orders	Evaluation on Pass/ Fail basis

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Application Submission Form

Date: *[insert day, month, and year]*
IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/ sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) Credit Information Bureau India Ltd. (CIBIL) Defaulter List, and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/ are not (strike off whichever is not applicable) facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)
- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed [insert signature(s) of an authorized representative(s) of the Applicant]

Name [insert full name of person(s) signing the Application]

In the capacity of [insert capacity of person(s) signing the Application]

Address [insert street number/town or city/country address]

Dated on [insert day number] day of [insert month], [insert year]

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member	
*	3. PAN and GST Registration Details of the JV Member	
*	4. Organizational chart of the JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of the JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditors of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 3 years	

*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual Consultancy Turnover, certified by the Statutory Auditors of the Applicant	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
F.1.1	Confirmation on eligibility under Public Procurement Orders	F.1.1
Part VI. *	Questionnaire for Applicants	

Note :i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1 Applicant Information Form

[To be filled by Applicant if being a Single Entity or as Lead member of JV]

Date: [insert day, month, year]

IFP No. and title: [insert IFP number and title]

Page [insert page number] of [insert total number] pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form A.1.2 Applicant's JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant's JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant's JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
Applicant's JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form B.1.1/ B.2.1/ B.3.1
Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member Name: *[insert full name]*
 IFP No. and title: *[insert IFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages
 Information pertaining to: *[Insert name of JV Leader or JV Member/s]*

Form B.1.1

Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements

- ☐ Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.
- ☐ Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1

Year	Non-performed portion of Contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form B.2.1 Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 <input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert financial year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

Form B.3.1 Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3. <input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form C.1.1 Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

1. Financial data

Type of Financial information in	Historic information for previous three (3) years, (amount in Indian Rupees)			
	FY 2018-19	FY 2019-20	FY 2020-21	
Sources of Fund				
Share Holder's Fund				
Loan Fund				
Application of Fund				
Fixed Assets				
Investments				
Net Current Assets				
(i) Current assets, loans and advances				
Less: (ii) Current liabilities & provisions				
Misc. exp. to the extent not W/Off or adjusted				
Profit and Loss Account				
Net Worth				
Net Worth				
Information from Income Statement				
Income				
Expenditure				
Profit/(Loss) Before Tax (PBT)				
Profit/(Loss) After Tax (PAT)				
Information From Cash Flow Statement				
Cash Flow from Operating Activities				
Cash Flow from Investments				
Cash Flow from Financing Activities				

Registration No/ Membership No:
(of the Statutory Auditors of the Applicant/each JV member)

Stamp

Date:

Place:

UDIN:

Form C.1.2
Sources of Finance

[The following table shall be filled in for the Applicant and each member in case of a Joint Venture]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

Joint Venture Member Name: [insert full name]

IFP No. and title: [insert IFP number and title]

Page [insert page number] of [insert total number] pages

Information pertaining to: [Insert name of JV Leader or JV member/s]

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Amount in Rupees

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	a			
	b			
	C			
	Total Non-fund Based			
	Total Fund and Non-fund Based Limit			

B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

SrNo	Type of Liquid Assets	Amount in Rupees
1.		
2.		
3.		

Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last three years as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV, for each member and not of an affiliated entity (such as parent company or group member);
 - (b) be independently audited or certified in accordance with local legislations;
 - (c) be complete, including all notes to the financial statements;
 - (d) correspond to accounting periods already completed and audited.
- ☐ Attached are copies of financial statements for the **three** years required above; and complying with the requirements.
 - ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
 - ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

Form C.1.3 Annual Consultancy Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

Annual Consultancy Revenue Data							
Year	Consultancy Revenue Amount (INR)			Total Revenue	Consultancy Revenue as % of Total Revenue	Exchange Rate* (v/s USD)	Consultancy Revenue in USD equivalent
	By Contracts in India	By Overseas Contracts	Total Consultancy Revenue#				
	[A]	[B]	C = [A+B]				
2017-18							
2018-19							
2019-20							

* Refer ITA 13.2 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate revenue for respective financial year

Total Consultancy Revenue would include revenues from all consultancy contracts.

Registration No/ Membership No:
(of the Statutory Auditors of the Applicant/each JV member)

Stamp

Date:

Place:

UDIN:

Form D.1.1 General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/mm/yyyy]</i>	<i>[indicate date as dd/mm/yyyy]</i>		<i>[insert "Prime Consultant" or "JV Member"]</i>

* Refer ITA 13.2 for date and source of exchange rate

Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion.

Form D.2.1 Specific Experience

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member Name: *[insert full name]*
 IFP No. and title: *[insert IFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages
 Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual: [dd/mmm/yyyy]</i>		<i>Actual: [dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Consultant <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		<i>US\$ [insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics]</i>		
Brief Scope of the Services as per Contract			
Narration of the Actual Services Rendered			
Key Staff Positions and Names of Staff			
Total Staff Months by your firm			
Total Staff Months by Associate firm (if applicable)			

* Refer ITA 13.2 for date and source of exchange rate.

Final Acceptance Certificate/Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion.

Form E.1.1
Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.1 In case of JV, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JV, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.1. :

Position	Name of key staff on rolls	Academic Qualification	Specialization	Years of Experience	Experience in Countries

[To be attested by the authorized representative of the Applicant and in case of a JV, by the lead member on behalf of the JV members]

Form F.1.1

Confirmation of eligibility under Public Procurement Orders

[The following certificate to be given by the Applicant, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor F.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

This is to certify that the applicant(s) has read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India, and it is certified that the applicant fulfils all requirements in this regard and is eligible to be considered for the prequalification process.

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date:

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in each members separately]*

IFP No.: *[insert IFP No.]*

Eligibility, Confirmation and Other Important Information	Response *
1. Is your firm, either singly or in joint venture currently empanelled or prequalified as Consultants for preparation of DPR. If yes, please provide details of Client, Country and Scope of Consultancy Services. Attach additional sheets, if needed.	Confirm: Yes_ ___ _ / No_ ___
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes_ ___ _ / No_ ___
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes_ ___ _ / No_ ___
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes_ ___ _ / No_ ___
5. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary.	Yes_ ___ / No_ ___
6. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes_ ___ _ / No_ ___
7. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes_ ___ _ / No_ ___
8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes_ ___ _ / No_ ___
9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations	Confirm: Yes_ ___ _ / No_ ___

(ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Yes_ _/No____ Yes_ _/No____ Yes_ _/No____
10. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit	Confirm: Yes_ _/No____

** Note: To confirm, print "Yes", else "No"*

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date: