

Application Document
for
Prequalification of
Project Management Consultants [PMC]
For Redevelopment of Gan International
Airport in the Republic of Maldives

Export-Import Bank of India
Date: May 20, 2022

INVITATION FOR PREQUALIFICATION OF PROJECT MANAGEMENT CONSULTANTS (PMC) FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to-time. As per the extant guidelines issued vide letter No. 5/7/2019-IDEAS dated March 31, 2022, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian Consultants for prequalification of Project Management Consultant (PMC) for Redevelopment of Gan International Airport in the Republic of Maldives under a Line of Credit extended to the Government of Maldives.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

| | | |
|-------|-------------------------|-----------------------------|
| [i] | Applicant Name | <Applicant's Name> |
| [ii] | Name of Beneficiary | Export-Import Bank of India |
| [iii] | Beneficiary's Bank Name | Export-Import Bank of India |
| [iv] | Account No. | HO00INR11011004 |
| [v] | IFSC Code | EIBI0HO0001 |
| [vi] | GST Number | 27AAACE2769D1ZS |
| [vii] | Narration for NEFT | GOILOC-309/Maldives/CS-51 |

The preparation and submission of Application for Prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (offline) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, June 20, 2022 at the following address:

Mr. Harish Kumar
Assistant General Manager
Lines of Credit Group
Export-Import Bank of India
Office Block, Tower 1
7th Floor, Adjacent Ring Road
Kidwai Nagar [East]
New Delhi – 110023
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.in

This Application Document (AD) consists of the following Parts:

Part I. Scope of Consultancy Services

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/ Procuring Entity subsequent to this prequalification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification (“Applications”) and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI. Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document

for

Prequalification of Project

**Management Consultants [PMC] for
Redevelopment of Gan International
Airport in the Republic of Maldives**

**Invitation for Prequalification
No.: GOILOC-309/Maldives/CS-51**

Issued on: May 20, 2022

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Part I: Scope of Consultancy Services

The Export-Import Bank of India (Exim Bank or the Bank), on behalf of the Government of India (GOI), has approved financing of a project out of a Line of Credit (LOC) extended to the Govt. of Maldives for “**Redevelopment of Gan International Airport**” Project at Addu City in the Republic of Maldives. A part of the LOC shall be utilized for project management consultancy assignment for which Exim Bank now seeks applications for prequalification from eligible Indian Consultants. Refer Part II ITA 4 for eligibility provisions.

A] Background and Major Components of the Project

1.1 Background:

GAN is one of four international airports in the Maldives. Since the first commercial flight to the Maldives in 1962, airline operation in the Maldives has grown significantly over the years. In 1960s, with just few charter carriers, Maldives was only linked to Sri Lanka, India, and few destinations in Europe via Middle East. Rolling forward to 2020, the country is now connected to 40 destinations in 23 countries. Maldives has witnessed over 57,000 flight movements in the first 11 months of 2019 along with over 4.8 million passengers traveling on those movements.

This is an industry which represents approximately 5% of the country's GDP with tremendous potentials and opportunities. Airport have been recognized as key contributors to the region they are positioned in, supporting a flurry of direct and indirect jobs in numerous sectors such as tourism, trade and transportation.

The vision of the project is to redevelop the Gan International Airport to a Low Fill Infrastructure with all required facilities and to redevelop Passenger Terminal Building for growing passenger requirement along with Ancillary Support Buildings. Finishes to match existing facilities, keeping priority to functional requirement with most efficient planning keeping durability in mind.

The Government of Maldives plans to upgrade Gan International Airport to modern standards, capable of catering to wide body aircraft from international destinations and providing high quality guest services to visitors. Gan International Airport (GIA) is the southernmost gateway of the Maldives, and is an important airport for both locals and visitors. Tourists bound for resorts and guesthouses in the Southern atolls are flown in almost daily, while residents in the region also depend heavily on the airport, particularly those travelling to the capital city Male'. Therefore, it is of utmost need to expand & upgrade the existing Airport facilities to a World Class & State of the Art Infrastructure.

1.2 Objective:

The objective of the project is to upgrade the Gan International Airport with Facilities and Infrastructure to cater to Code 4E Operations in the Aerodrome. The project includes Redevelopment of Landside Infrastructure and expansion of the Passenger Terminal Building along with support ancillary buildings. The key objective of Government of Maldives for the project is upgradation of transport & connectivity facilities for Gan and nearby islands. The expansion of the Gan International Airport will provide the foundation for improved connectivity,

strengthen the push for decentralization and increased regional economic integration.

1.3 Present Scenario of GIA:

Gan International Airport (IATA: GAN, ICAO: VRMG) is an airport located on the island of Gan in Addu Atoll, Maldives, opened as a domestic airport. As on date, , it is one of five international airports in the Maldives.

Gan International Airport is capable of handling wide-bodied aircraft direct from International origin. At a national level this has the economic advantage of being an alternate gateway to the Maldives, effectively supporting population consolidation in the region – building better communities giving a relief to the population congestion at Male region.

2. Site Introduction & Existing Infrastructure

Maldives, officially the Republic of Maldives, is a small archipelagic island country in South Asia, situated in the Arabian Sea of the Indian Ocean. It lies southwest of Sri Lanka and India, about 700 kilometers (430 mi) from the Asian continent's mainland. The chain of 26 atolls stretches from Ihavandhippolhu Atoll in the north to Addu Atoll in the south to the Equator. Malé is the capital and the most populated city, traditionally called the "King's Island" where the ancient royal dynasties ruled for its central location.

Gan is one of the inhabited islands of Addu Atoll administrative division. The origin of the word "Gan" is in the Sanskrit word "Grama", meaning "village". Gan is the second largest island of the atoll, after Hithadhoo, and measures 2.2561 square kilometres (0.87 sq mi) in area.

Gan International Airport is capable of accommodating ICAO code 4E aircraft. The airport lies at an elevation of 6 feet (2 m) above mean sea level. It has one runway designated 10/28 with a concrete surface measuring 3,400 by 60 metres (11,155 ft × 197 ft). The geographical coordinates of the airport site are 00°41'36"S 073°09'20"E.



3. Scope of the Project

The brief description on the works to be carried out for the project are as under, but not limited to:

Integrated ATC & ARFF (Category-9) Building & Associated Works.

- Construction of New Integrated ATC - ARFF Facility (for Category-9). The location to be placed next to the existing ARFF Building Block.
- Hardstand in front of ARFF building
- The integrated ATC & ARFF Block shall be of five Floor building (Maximum height of building considered is 25m):
 - ATC Tower Gross floor Area - 1,220sqm
 - ARFF Tower Gross floor Area - 760qm
 - Ground Floor offices. - 4+4 parking bays on either side with ARFF facilities &
 - 1st Floor - ARFF Offices.
 - 2nd Floor - ATC office and rest room facilities
 - 3rd Floor - Circulation core
 - 4th Floor - ARFF watch tower & Equipment Room
 - 5th Floor - ATC watch tower
- Service road of 6m width connecting integrated ATC & ARFF building with Runway. (Approximately 315m length).

ADMIN BUILDING

- Gross floor Area: 740sqm
- G+1 Building has been planned near proposed integrated ATC & ARFF Building.
- Common parking for ATC/ARFF & Admin building (30 ECS) including road connection to main approach road.

EXPANSION OF EXISTING TERMINAL BUILDING FOR INTERNATIONAL & DOMESTIC OPERATIONS

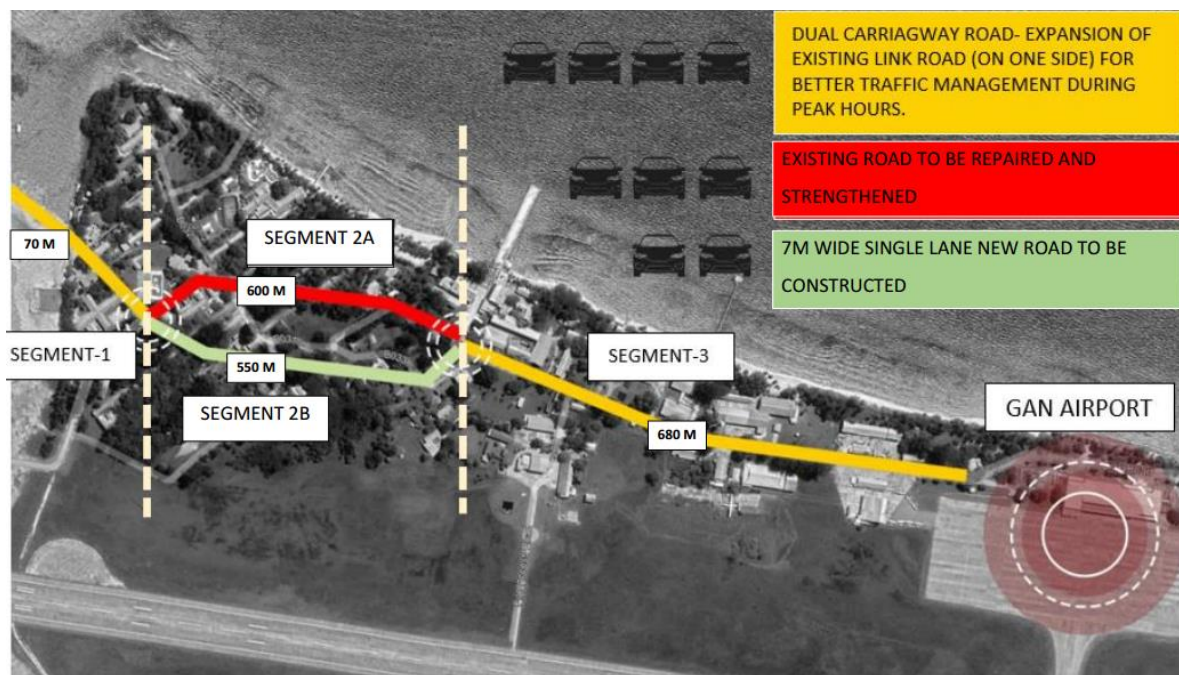
- Gross floor Area for existing: 4,680sqm
- Gross floor Area for expansion: 5,025sqm
- The existing Passenger Terminal Building (PTB) along with extension part shall be upgraded to cater for 700 Peak Hour Passengers Per Hour "PHP" (350 arriving and 350 departing) International passengers, and 300 Peak Hour Passengers Per Hour "PHP" (150 arriving and 150 departing) Domestic passengers.
- Airside and Cityside canopy for both side of the Passenger Terminal Building.
- HVAC (VRV/VRF System, Precision AC for Server & IT Rooms), Firefighting system (firefighting system for proposed expansion along with the integration), Lighting, BHS, Signages and MEP for Passenger Terminal Building.
- Passenger transfer and Quarantine room in international arrival area.
- All new Check-in & Immigration / Passport control counters for both the Section (Domestic & International).
- Glass Facade of proposed expansion area to match with existing facade of Passenger Terminal Building. Provision of DGU (unitized Double Glass Unit) is to be made for airside facade in Passenger Terminal Building and

ATC Tower.

- New false ceiling has been planned under first floor of the existing area and proposed mezzanine floor in expansion area.
- Internal electrical wiring for entire Passenger Terminal Building (Existing & Expansion).
- New Baggage Handling System including Check-in & Baggage reclaim.

Widening Of Approach Road To Passenger Terminal Building From Single way To Dual Carriageway & Car Parking (PTB) For 120 ECS

- Proposed road network is divided into Three segments (as shown in figure below).
- Segment-1 & 3 shows the dual carriageway proposal which involves widening of existing 7.0 m wide road by adding another lane. Total width of road has been considered as 20m (7m roads + 2.0 m side shoulder on each side & median). Length of road segments 1 & 3 are approx. 70 m & 680m respectively
- Segment-2A, consists of repairing and strengthening by way of bituminous resurfacing of existing 600m long stretch of the 7m wide road. Total width of road has been considered as 11m (7m roads + 2.0 m side shoulder on each side).
- Segment-2B, consists of constructing a new 550m long and 7.0m wide single lane road. Total width of road has been considered as 11m (7m roads + 2.0 m side shoulder on each side).
- Besides road widening, two roundabouts have also been proposed in between Segment-1 & 2 and between Segment-2 & 3 to avoid traffic congestion and traffic flow can be efficient avoiding points of conflicts.
- Car Park, On the City-side parking facilities for private cars, taxis, bus coaches have been adequately provided and included in proposed 120 ECS.



Upgradation Of Cargo Building

- Existing structure and envelope to be retained, however repairing work to be undertaken as part of EPC scope.
- Two canopies of 5mtr x 5 m size and minimum 5m height to be proposed for inbound and out bound Cargo.
- 126 m x 32 m Hard standing has been considered for facilitating the movement of trucks / heavy vehicles.
- Two sets of Cold storage facility with a provision of 2 rooms maintaining (-)18 degree Celsius and 4-8 degree Celsius (in each set). Size of each cold storage room to be considered as 4m x 4m x 3m (Height) constructed within existing cargo building. 1 set each to be proposed in inbound & outbound cargo.
- 1 no. Platform Weighing scale (for containers)

Augmentation Of Services To Cater For The New And Expanded Facilities

- Desalination plant (of capacity 15 ton / hr) along with housing shed.
- Storage tank of 40 MT of water at ground level with pumping system. Plumbing connections to Passenger Terminal Building, ATC/ARFF Block & Admin Building.
- Necessary plumbing arrangements shall also be made to supply treated water to operating airline requirement.
- Septic tank for Passenger Terminal Building Expansion. External sewerage connection of remaining buildings to the existing network shall be made by local service provider / AIA.
- Storm water drainage system for all the buildings.

Upgradation Of Jetty

- Quay wall of 100m length and height of 5m.
- New break waters of 130m length to be made on the western side for augmenting the Harbour area after demolishing the existing break waters on western side only and 30m new break waters on eastern side.
- Dredging is required for the entire Harbour area to achieve a depth of 3m from mean sea level.

Ancillary Works For Water Aerodrome

- Repair and re-surfacing of existing approach road of approximately 600 m.

B] Scope of PMC Services

Government of Maldives now seeks a consultancy firm as the **Project Management Consultant** for the project management of the upcoming Redevelopment of Gan International Airport in the Republic of Maldives (hereinafter collectively to be referred as the “Project”). The consultant shall undertake full administration of the Contract and supervise the works, assuming the role of the “Employer’s Representative” as defined in the FIDIC Conditions of Contract for EPC/Turnkey Contract 2nd Ed (2017 Silver Book).

The specific services of the Project Management Consultant shall be the following but not limited to:

I. Review of the Design

1. Review and approve the detail designs and technical documentation prepared by the contractor to ensure it meets the employer's requirement and all the conditions specified in the EPC Contract and verify that correct practices were used in the design.
2. Review the design program of the Contractor with respect to feasibility, critical paths, achievement of milestones etc.
3. Agree with the Contractor on the format and content to be delivered during the design stages, such as concept, permitting and detailed design, to achieve a timely delivery of the works included in the contract package.
4. Assist the Employer in facilitating the Contractor to obtain the EPA permit and the construction permit upon due consultation with key authorities or stakeholders.
5. Ensure that the Contractor complies with the design submission milestones as per EPC Contract, and reports to the Employer in the case of any program slippage.
6. Consult with key stakeholders to ensure that the Concept Design has broad stakeholder support so as to limit the risk of Contractor claims resulting from subsequent Employer variations.
7. Review, examine and, if required, approve during the different design stages (concept/permitting/ detailed design and temporary works) all drawings, design reports, calculations, technical specifications of equipment and materials etc., in accordance with the approved Contractor's program and the timescales stipulated in the EPC Contract.
8. Check the design with respect to the functional and design criteria and specifications, H&S and environmental aspects, operability matters, flood and storm resilience, product quality and durability.
9. Arrange and manage design review meetings to expedite and to facilitate a smooth design review.
10. Monitor the design progress and inform the Employer about any deviations and potential delays.
11. Suggest design changes if necessary and advise the Employer on these changes and potential cost and schedule implications by furnishing appropriate reports. In the event costs have to be borne by the Contractor, advise the Employer accordingly.
12. Review and, if needed, approve the contractor's method statement, site organization arrangements, utilities, shipment plans etc.
13. In the event procurement/manufacturing is carried out during the design stage, inspect or coordinate the inspection of manufacturing of critical components as per contractual provisions incl. the review of certificates, technical specifications and workmanship.
14. Review, comment and, as the case may be, approve the plans and documents the Contractor has to submit during the design and construction phase, such as, but not limited to, operations and maintenance plans, the

Contractor's environmental management plan (CEMP), quality management and assurance plan, the H&S plan, residual waste and landfilling plan, the program on tests on completion of design-build, etc. and

II. Project Management

1. Plan and manage the project, and assist the Employer on the project management, including risk management, cost control, scheduling, monitoring, auditing, reporting, and compliance monitoring for the project required under both the Government of Maldives and Government of India rules and guidelines.
2. Acting as the Employer's Representative as relevant during construction phase of the Project.
3. Review, comment and, if required, approve the Contractor's program and such other programs and plans as are submitted by the Contractor. The Consultant shall review all pertinent activities and works, analyse critical paths, responsibilities and functions assigned and flag any time and cost over-run if required.
4. Prepare a work program for each of the Consultant's team members in line with the Contractor's schedule.
5. Ensure that the correct datum points and benchmarks for the setting out are used and subsequently check and accept the detailed setting out.
6. Establish a document control and proper filing system for project offices, including official correspondence, drawings, site instructions, variation orders and site records.
7. Establish, coordinate and manage the information exchange between the Consultant, Contractor and the Employer and, as the case may be, other Project stakeholders.
8. Attend meetings necessary to manage the Project, prepare minutes and control the outcomes and decisions taken.
9. Assist the Employer in conducting regular meetings with all stakeholders, Contractor, and other government entities, etc., to discuss progress and issues related to implementation, and prepare minutes for recording and circulation.
10. Establish all necessary records and the procedures of maintaining/updating such records for each component of the Project;
11. Monitor open topics, claims of the Employer towards the Contractor, defects to be rectified, potential malfunctions of equipment etc. and track solutions to be implemented.
12. Issue all necessary instructions to the Contractor, on behalf of the Employer, and check and control the work to ensure that it is carried out according to the contract documents. This includes but not limited to the following.
 - a. Material Approval: Approval of all the materials required for the works shall be checked and compared with specifications given in the Employers Requirements, design requirements and Technical Specification and approved in a predefined clear process. Records must be maintained in proper manner and shared with the Employer.

- Consultant should respond to the Contractors request for material approval within the timeframes defined in the construction Contract and shall organize the material approval process in a way to avoid any delays to Contractors works.
- b. Coordination of works: The Consultant shall organize and direct execution of the works and coordinate with stakeholders including the Employer, the Contractor, Utility providers, Government Offices/ Authorities/Agencies and third parties as required. Coordination shall be ensured by arranging regular meetings and general monthly meetings as required, with the Contractor, the Employer and any other party.
 - c. Supervision of field surveys: The Consultants shall supervise the Contractors field surveys such as topographic, hydro-geological, geotechnical, geological surveys and any other surveys carried out by the Contractor. The Consultants shall check the measurements, advice the Employer and approve technical reports prepared by the Contractor on all measurements made on site.
13. Provide general guidance to the Contractor as may be necessary to ensure that the works are carried out expeditiously according to schedule and within the terms of the contracts.
14. Carry out, during the execution of the works, inspections of all the workmanship and installations of the Contractor to ensure the quality. Consultant shall submit the following plans and any other documents as required to the Employer.
- a. Review and approval of Quality Control and Quality Assurance Plan developed by the Contractor. Consultant should ensure that necessary control measures are addressed in the QA/QC plan for the structures which are built and equipment to be installed in conformity with the Conditions of Contract, Employers Requirement, Design Requirements, Technical Specifications, approved drawings, standards and codes, engineering best practices.
 - b. A Plan for Project Cost Control shall be developed on the basis of the field survey control and quantity survey required for determination of actual quantities of work accomplished by the Contractor and the Consultant will approve or reject the quantities of materials delivered, equipment erected, and works performed by the Contractor.
15. Check and recommend for the approval or otherwise disapproval by the Employer for the testing of soils, materials and operations required to ensure that the work complies with the Employers requirements and specifications.
16. Examine the Contractor's installations, accommodations, canteens, health and safety precautions, construction equipment and laboratories to see that all these conform to agreed specifications and proposals.
17. Check and recommend for the approval or otherwise disapproval by the Employer for the Contractor's plans defining the locations of the construction materials processing plants and the like.

18. Ascertain the work measurements and payment claims by the Contractor and certify these to the Employer as being correct and within the terms of contract.
19. Review and approval of records maintained by the Contractor for all the results of the measurements carried out for the quantities to be paid both for the provisional and final accounting, on the proper forms normally in use in Maldives or previously agreed upon with the Employer.
20. Certification of work progress and invoices including the requirement of 75% Indian content in each contract.
21. Prepare and recommend for the approval or otherwise disapproval by the Employer for any change orders, together with related specifications and drawings.
22. Check and recommend for the approval or otherwise disapproval by the Employer for all working drawings/shop drawings prepared by the Contractor.
23. Assist the Employer in negotiations with the Contractor on any matter for which the need may arise and make recommendations on these as may be necessary.
24. Alert the Employer to any problems which arise or might arise in connection with the contract and make recommendations for solving them.
25. Check and ensure compliance of Contractor to Environmental Mitigation measures and ensure submission of monitoring reports to the Environment Protection Agency in accordance with the Environment Impact Assessment report and any amendments made during the construction phase.
26. Evaluate all claims for additional payments or extension of time, if any, submitted by the Contractor and make recommendations on these to the Employer.
27. Assist the Employer in reminding it of any dispute that may arise with the Contractor and give a concrete opinion in the best interest of the Employer on any claims the Contractor may put forward by drawing up a report giving all the elements on which the Consultant's judgment is based.
28. When the works have been completed, carry out an inspection and make recommendations to the Employer for their acceptance on the date of commencement of the defect's liability period/maintenance period. Consultants works include (but not limited to):
 - a. Attend the acceptance tests and ensure that the performance criteria set by the Employer are met.
 - b. Preparing the Completion Report which shall be based on the record maintained during work supervision phases. Include any compliance reports required as part of EIA or any other regulatory body.
29. Prepare and submit the following to the Employer;
 - a. Weekly Progress Reports comprising of up to date progress information.
 - b. Monthly Progress Report comprising of up to date progress information and any other details as agreed by parties to the contract.
 - c. Monthly Payment Certificates on progress achieved. The Consultant shall assist the Employer in preparing disbursement requests and manage financial progress of the Project.

- d. Environmental Monitoring reports during execution.
 - e. Prepare essential reports and documents including quick report on progress, quality, disbursement or any other relevant matter as may be required by the Client, Employer or the Indian EXIM Bank;
30. Consultant shall, after review, issue approvals, no objections or reject as and when required according to EPC Contract. These documents include, but not limited to
- a. Check and approval of As-built drawings (Architectural, Civil/Structural, All Services including but not limited to Electrical, Mechanical, Water, Sewerage, Firefighting, extra low voltage systems, ICT, etc.)
 - b. Check and approve Operation and Maintenance manuals for equipment, facilities and other permanent structures.
31. Oversee the trainings and transfer technology provided by the Contractor for the officials of Employer and any other agency that might be involved in the sustainable operation and maintenance of the Project.
32. Review and approve the Operation and Maintenance framework submitted by the Contractor, and ensure that it covers all required trainings necessary for maintenance staff. Ensure that the Contractors Operational and maintenance manuals are in accordance with the framework and give the necessary approvals.
33. Review all proposed sub-contractors and verify their insurance, performance bond and collateral warranty or hereto relating parent company guarantees; and
34. Upon request, assist the Client in ensuring compliance with all loan covenants during Project implementation and assist in reporting towards the funders.

III. Construction Supervision

Amongst others, the Consultant shall

1. Maintain sufficient site-based staff, with clear allocation of duties, to monitor, inspect and closely follow up the day-to-day construction activities in line with the timely requirements of the construction works.
2. Review method statements, work drawings and construction methodology for their correctness and adequacy prior to the start of works, report findings and propose/recommend modifications or corrections to any defect or omissions and issue for execution; monitor impact and report on physical progress of the works and financial disbursements;
3. Maintain daily records of execution progress in an appropriate format to be shared with the Employer.
4. Co-ordinate with all stakeholders to achieve timely completion of contractual obligations on the part of Contractor and the Employer.
5. Review any upcoming design changes in the course of the construction and advise the Employer on potential cost and design/construction schedule implications.
6. Monitor the Contractors' performances against the stipulated milestones and the agreed project progress, furnish an updated list of open topics and

advise the Employer about any expected or unexpected delay and potential cost implications.

7. Check the adequacy and quality of the Contractor's input in terms of material, equipment & machinery, personnel and safety arrangements prior to commencement of the works and periodically during the construction activity.
8. Inspect and control the executed works and the supplies of equipment to be in compliance with the approved work drawings (design for construction) and with the Employer's Requirements.
9. Review, inspect and/or coordinate the review and inspection of manufacturers of major and critical components and their manufacturing sites pursuant to the Contract provisions with respect, but not limited, to certificates evidencing skills and experiences of the Contractor's staff, documented and certified materials used, technical specification of (sub)components embedded, the general workmanship and the final product quality.
10. Monitor the assembly of components and its progress towards expected milestones.
11. Agree with the Contractor on the test program prior to completion of the Design-Build, attend the tests, review the test reports and endorse test certificates.
12. Review and approve the as-built-documentation and, as the case may be, request changes prior to acceptance.
13. Record and follow up on defects identified during and ensure that all defects are remedied within the time stipulated.
14. Scrutinize the quality assurance system and quality control plan of the Contractor, prepare quality compliance and progress reports.
15. Support and assist the Employer in Contract administration and compliance with contractual conditions and any requirements of the Indian EXIM Bank.
16. Support the Employer during the processing of payment and claims providing any necessary input (such as measurement of works progress, judgement and information concerning milestone achievements, acceptance of variation orders, deduction of retention money); and
17. Assist the Employer in forecasting the progress of works and finalization of periodic targets for the expenditure and disbursement.

IV. Testing and Commissioning

1. Maintain a sufficiently staffed and skilled team to keep up with the responsibilities assigned during the commissioning period including the demonstration of performance guarantees that were defined in the EPC Contract.
2. Support the Contractor, as far as required, to obtain the necessary permits to conduct the commissioning activities.
3. Assist the Employer in making available the required items such as containers, arrangement of loaded ships/barges, etc. prior to the tests on completion.

4. Review and comment on/approve the Contractor's Program of Tests on Completion;
5. Request to commission parts and sections of the works if need be.
6. Attend and monitor the commissioning tests (incl. pre-commissioning) and trial operations including the tests on completion to demonstrate the performance requirements, standards and guarantees.
7. Furnish commissioning attendance protocols and highlight issues that might affect the scheduled tests on completion.
8. Review the test reports on completion and make necessary comments and adjustments, and, in the event of failure of the tests, request the Contractor to conduct a retest.
9. Support the Employer during any claims related to the commissioning period.
10. After due consultation with the Employer, issue the commissioning certificate upon successful completion of the Tests on Completion.
11. Summarize the performance of the facilities being tested and provide recommendations to the Employer and the EPA relating to performance and compliance monitoring.

V. Defects Liability Period

1. Post Construction monitoring of the Project for defective works for a period of 1 year.
2. The Consultant shall carry out quarterly inspections during the one-year defects liability period and instruct accordingly the contractors with regard to outstanding works and defects. After completion of defect liability period and satisfactory inspections, Final Acceptance Certificate will be issued.
3. Prepare and submit the following to the Employer;
 - a. Final Completion Report on completion of Defects Liability Period.
 - b. Environmental Monitoring reports during Defects Liability Period.
 - c. Other Necessary Reports that the Employer may request from time to time on any special measures.

It is not the intention of this document to completely specify all detailed services required during construction and defects liability phases of the project, however, any additional services which are not specifically mentioned here, but which are required during the project management consultancy to complete the project in every respect and in accordance with the intent, Employers requirements of the construction contract, technical specification for safe use and guaranteed performance, shall be deemed to be covered under the scope of work.

C] Contract execution period

The duration of the contract is expected to be construction period of 24 months and Defects Liability Period of 12 months.

D]GOI Guidelines

As per the GOI guidelines, goods, works and services for minimum 75% value of the contracts covered under the Lines of Credit, must be sourced from India.

Applicants are advised to refer to the GOI guidelines mentioned above which are also available at <https://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

Applicants are also advised to refer to the Public Procurement Order nos. 1 & 2 dated July 23, 2020 and Public Procurement Order no. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure , Ministry of Finance, Government of India, which are applicable to the current prequalification process.

Part II. Instructions to Applicants [ITA]

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Part II. Instructions to Applicants

A. General

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| 1. Background | 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement. |
| 2. Scope of Prequalification | 2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (DS), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, Scope of Consultancy Services and subject to the provisions of this Application Document. |
| 3. Ethics and Integrity | <p>3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts¹, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.</p> <p>3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.</p> <p>3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding</p> |

¹ These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

for the contract(s) has violated the provisions stated in ITA 3.1 above, the Bank, inter alia,

- a. shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- b. may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/ JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been blacklisted/ debarred/ sanctioned by any Multilateral Development Agency (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/ bidding process as applicable, during such period of time as the sanctioning authority shall have determined. In case the Applicant is blacklisted/ debarred/sanctioned post the

prequalification process (i.e. including during bidding process and/or contract implementation phase), the Applicant shall immediately inform Exim Bank of such blacklisting/ debarment/sanction and reasons thereof. This may lead to cancellation of Applicant's prequalification / contract.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if (a) it is currently under default on any loan to any Bank/ Financial Institution (FI) and its account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and/or (b) its promoters/ directors appear in Negative List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies, updated from time-to-time. On being included in any of the above lists post the prequalification process (i.e. including during bidding process and/or Contract implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons thereof. This may lead to cancellation of Applicant's prequalification / contract.
- 4.8 An Applicant shall (i) be eligible with respect to the provisions of Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by Department of Expenditure, Ministry of Finance, Government of India; and (ii) furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document
- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Consultancy Services
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV. Prequalification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants

5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.

7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.

7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language

9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing

language.

10. Documents constituting the Application

- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11.1;
 - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **DS**.

11. Application Submission Form

- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.

12. Documents Evidencing the Applicant's Eligibility

- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).

13. Documents Evidencing the Applicant's Qualifications

- 13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.

- 13.2 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:

- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
- (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**;
- (c) for data related to contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent; and
- (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be

used to arrive at the USD equivalent as specified in the **DS**.

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| 14. Authorized Signatory and Number of Copies | 14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV. |
| 15. Preparing the Application Envelope | <p>15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:</p> <ul style="list-style-type: none">(a) be addressed to the Bank, as per ITA 16.1;(b) mention the name and address of the Applicant; and(c) mention the specific identification of this prequalification process indicated in the DS ITA 2.1. <p>15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.</p> |
| 16. Application Submission Deadline | <p>16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.</p> <p>16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.</p> |
| 17. Late Applications | 17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant. |
| 18. Opening of Applications | 18.1 The Bank shall open all Applications at the date, time and place specified in the DS . Applicants' representatives may be present during opening. |

D. Procedures for Evaluation of Applications

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| 19. Evaluation to be Confidential | <p>19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.</p> <p>19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form</p> |
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will be grounds for disqualification.

20. Clarifications during Evaluation

- 20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.
- 20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

- 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Prequalification of Applicants

22. Evaluation of Applications

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.
- 22.3 Only the qualifications of the Applicant, in the capacity as prime Consultant/ member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA 4.3, shall not be considered.
- 22.4 The qualification and experience of the Applicant² for execution of contracts in-house or for a parent/ promoter/ subsidiary/ group concerns or for Special Purpose Vehicles

² In case of a public sector Applicant with majority shareholding by the government, experience of execution of contracts for government organizations/ enterprises/ JVs shall be considered provided that such organizations/ enterprises are not under the same administrative ministry/ department through which the government holds majority shareholding in the Applicant, unless such contracts were awarded to the Applicant through an open competitive process.

(SPVs), set up by the Applicant or its group companies, shall not be considered.

23. Bank's Right to Accept or Reject Applications

23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

24. Prequalification of Applicants

24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.

25. Notification of Prequalification

25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.

25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.

26. Subsequent Invitation for Bids

26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of Proposals.

Part III. Data Sheet (DS) to ITA

A. General

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| ITA 2.1 | <p>The identification of the Invitation for Prequalification [IFP] is: GOILOC-309/Maldives/CS-51 The Bank's address is: Export-Import Bank of India Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 Tel: +91 11-2347 4829/2347 4800 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in</p> |
| ITA 4.3 | <p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 51%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/ association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV <p>The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Consultants and non-prequalified Consultants or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.</p> <p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p> |

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| ITA 4.6 | <p>A list of debarred firms and individuals by: The World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>The African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>The European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>The Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p> |
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B. Contents of the Application Document

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| ITA 6.1 | <p>For clarification purposes, the Bank's address is:</p> <p>Mr. Harish Kumar Assistant General Manager Export-Import Bank of India Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 E-mail: eximloc@eximbankindia.in</p> |
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C. Preparation, Submission & Opening of Applications

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| ITA 10.1 (d) | <p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p>i) <u>On-line mode of Application</u> The applicant shall submit the application through Exim Bank's portal https://locprocure.eximbankindia.in</p> <p>For details for submitting Online Application, please refer the above portal.</p> <p><u>Minimum Requirements:</u></p> <ol style="list-style-type: none"> 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above (x86 only i.e. OS of 32 Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate. |
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| ITA 10.1 (d) | <p><u>For any Online technical clarification, kindly contact:</u></p> <p>Bob EProcure Pvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069 Email: pgsupport@bobeprocure.net</p> <p>ii) Off-line mode of Application The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u></p> |
| ITA 13.2(a) | <p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (https://www.fbil.org.in/)</p> <p>For the historical exchange rates, which are not made available by FBIL, the reference rate published by Reserve Bank of India (RBI) and available on https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx may be used.</p> <p>In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p> |

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|-------------------|---|-------------|-------------|-------------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|
| ITA 13.2(b) & (d) | <p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr><tr><td>31-Mar-19</td><td>69.1713</td><td>0.0145</td></tr><tr><td>31-Mar-20</td><td>75.3859</td><td>0.0133</td></tr><tr><td>31-Mar-21</td><td>73.5047</td><td>0.0136</td></tr><tr><td>31-Mar-22</td><td>75.8071</td><td>0.0132</td></tr></table> | | USD 1 = INR | 1 INR = USD | 31-Mar-11 | 44.6500 | 0.0224 | 31-Mar-12 | 51.1565 | 0.0195 | 31-Mar-13 | 54.3893 | 0.0184 | 31-Mar-14 | 60.0998 | 0.0166 | 31-Mar-15 | 62.5908 | 0.0160 | 31-Mar-16 | 66.3329 | 0.0151 | 31-Mar-17 | 64.8386 | 0.0154 | 31-Mar-18 | 65.0441 | 0.0154 | 31-Mar-19 | 69.1713 | 0.0145 | 31-Mar-20 | 75.3859 | 0.0133 | 31-Mar-21 | 73.5047 | 0.0136 | 31-Mar-22 | 75.8071 | 0.0132 |
| | USD 1 = INR | 1 INR = USD | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-11 | 44.6500 | 0.0224 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-12 | 51.1565 | 0.0195 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-13 | 54.3893 | 0.0184 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-14 | 60.0998 | 0.0166 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-15 | 62.5908 | 0.0160 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-16 | 66.3329 | 0.0151 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-17 | 64.8386 | 0.0154 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-18 | 65.0441 | 0.0154 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-19 | 69.1713 | 0.0145 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-20 | 75.3859 | 0.0133 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-21 | 73.5047 | 0.0136 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 31-Mar-22 | 75.8071 | 0.0132 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ITA 13.2(c) | <p>Applicants shall use the following rates for conversion between USD and INR:</p> <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>77.6999</td><td>0.0129</td></tr></table> <p>As on May 19, 2022</p> | USD 1 = INR | 1 INR = USD | 77.6999 | 0.0129 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| USD 1 = INR | 1 INR = USD | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 77.6999 | 0.0129 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ITA 14.1 | <p>Additionally, one (1) copy in pen drive shall also be submitted.</p> <p>The prequalification application document (offline version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ITA 16.1 | <p>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).</p> <p>The deadline for Application submission is: Date: June 20, 2022 Time: 15:00 hrs For application submission purposes only, the Bank’s address is: Bank’s address is the same as that indicated in ITA 2.1</p> <p><i>Attention: Mr. Harish Kumar, Assistant General Manager</i></p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| ITA 18.1 | <p>The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1</p> <p>Date: June 20, 2022 Time: 15:30 hrs</p> |

Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

| | |
|--------------------------------------|--|
| A.1 Nationality | |
| Requirement: | <ul style="list-style-type: none"> ➤ The Applicant's Nationality shall be in accordance with ITA 4.4 ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted | Form A.1.1 and A.1.2 |
| Attachments to be submitted | <ul style="list-style-type: none"> ➤ Articles & Memorandum of Association, Certificate of Incorporation, Partnership Deed (in case of Partnership firm) of the Applicant in accordance with ITA 4.4. ➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV |

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| A.2. Eligibility | |
| Requirement: | <ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5, ITA 4.7 and ITA 4.8. ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Application Submission Form |
| Attachments | <ul style="list-style-type: none"> ➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached. ➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Powers of Attorney for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents. ➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> ○ Permanent Account Number (PAN): Self attested copy ○ GST Registration details, as applicable: Self attested copy |

B. Past Contract Performance and Litigation

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| B.1 Past Contract Non-performance | |
| Requirement: | <ul style="list-style-type: none"> ➤ There shall be no instance of contract³ non-performance as a result of Applicant's default since May 1, 2017. (In case of JV, |

³Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

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| | <p>each member shall meet the requirement).</p> <ul style="list-style-type: none"> ➤ The Applicant shall inform Exim Bank of any such instances occurring post submission of the Prequalification Application. ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Form B.1.1 |
| Attachments to be submitted: | Documents establishing details included in Form B.1.1 |

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| B.2 Pending Contract Litigation | |
| Requirement: | <ul style="list-style-type: none"> ➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year (FY 2020-21 or FY 2021-22, if available), adjusted for 'Pending Contractual Litigation Value' as considered below: <ul style="list-style-type: none"> • 'Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements (FY 2020-21), which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'. <p><u>*Adjusted Net Worth:</u> <i>Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</i></p> ➤ Documentation and Attachments to be submitted as mentioned below. <p>In case of JV, each member shall meet the requirement.</p> |
| Documentation to be submitted: | Form B.2.1 |
| Attachments to be submitted: | Documents establishing details included in Form B.2.1 |

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| B.3 Contract Litigation History | |
| Requirement: | <ul style="list-style-type: none"> ➤ The aggregate amount of awards against the Applicant, if any since May 1, 2017, shall not exceed 25% of net worth as per the latest financial statements. (In case of JV, |

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| | each member shall meet the requirement.) ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Form B.3.1 |
| Attachments to be submitted: | Documents establishing details included in Form B.3.1 |

C. Financial Status and Capacity

| C.1 Financial Status | |
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| Requirement: | <p>(i) The audited balance sheets, statements of profit & loss and annual reports for the last three financial years (FY 2018-19 to FY 2020-21 or FY 2019-20 to FY 2021-22 if available) shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ol style="list-style-type: none"> Positive Adjusted Net Worth for each of the last three (3) years as defined above; Profit (i.e. Profit Before Tax) for at least one (1) out of last three (3) years as defined above; and Annual Consultancy Revenue⁴ in any one (1) of the last three (3) financial years as defined above, be not less than USD 0.50 million. <p>In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) Documentation and Attachments to be submitted as mentioned below</p> |
| Documentation to be submitted: | Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV). |
| Attachments to be submitted: | <p>The Applicant and its parties shall provide copies of audited financial statements for the last three (3) years as defined above. The financial statements shall:</p> <ol style="list-style-type: none"> reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member). be independently audited and certified by the Statutory Auditors/ Chartered |

⁴Exchange rate prevalent as on the date of Invitation for Prequalification (IFP) shall apply

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| | <p>Accountants in accordance with applicable legislation.</p> <p>c) be complete, including all notes to the financial statements.</p> <p>d) correspond to accounting periods already completed and audited.</p> |
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D. Experience

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| D.1 General Experience | |
| Requirement: | <ul style="list-style-type: none"> ➤ Experience under PMC/ Lender's Engineer/Owner's Engineer contracts relating to Airport Terminal Building/ Commercial building/ Office building complex/ Hospital building /Educational institution building projects in the role of Prime Consultant or JV member, to the main client, in India, during the last 7 years, starting from May 1, 2015 and up to application submission deadline. (In case of JV, each member shall meet the requirement.) ➤ Documentation and Attachments to be submitted as mentioned below |
| Documentation to be submitted: | Form D.1.1 |
| Attachments to be submitted: | <p>Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates.</p> <ul style="list-style-type: none"> ➤ In case the contracts listed in Form D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. <p>Subconsultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p> |

| D.2 Specific Experience | Refer Part I – Scope of PMC | | | | | | | | | | | | | | | | | | |
|-------------------------|---|---------------------------------|-----------------|---------------------------------|----------|---|------|----|--|--|-----------|---|-------|----|--|--|------------|---|-------|
| Requirement: | <p>1. At least the number and value of Projects relating to Airport Terminal Building / Commercial buildings/Hospitals/Educational institution building as mentioned below, for which the Applicant has undertaken PMC contracts as a prime consultant, or joint venture member⁵ to the main client/project authority, that have been completed between May 1, 2015 and application submission deadline:</p> <table><tr><th></th><th>No. of Projects</th><th>Value of Projects (USD million)</th></tr><tr><td>Option I</td><td>3</td><td>9.11</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>11.39</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>18.22</td></tr></table> <p>2. The PMC scope under the above contracts shall collectively or under other completed contracts demonstrate experience involving the following:</p> <ul style="list-style-type: none">(i) At least one contract of Airport Terminal Building having a gross floor area equal or larger than 7700 sqm.(ii) At least one contract of Aircraft rescue and firefighting (ARFF) block for Code E or above aircrafts. <p>3. In case of JVCA, the requirement shall be met as under:</p> <ul style="list-style-type: none">a) Eligibility in terms of Contract/project Value shall be met fully by any of the JVCA member, and cannot be aggregated.b) Contracts meeting above criterion by members of the JVCA may be aggregated to meet the criterion on number of contracts.c) In addition to the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the project size indicated under Option I of 1 above. | | No. of Projects | Value of Projects (USD million) | Option I | 3 | 9.11 | OR | | | Option II | 2 | 11.39 | OR | | | Option III | 1 | 18.22 |
| | No. of Projects | Value of Projects (USD million) | | | | | | | | | | | | | | | | | |
| Option I | 3 | 9.11 | | | | | | | | | | | | | | | | | |
| OR | | | | | | | | | | | | | | | | | | | |
| Option II | 2 | 11.39 | | | | | | | | | | | | | | | | | |
| OR | | | | | | | | | | | | | | | | | | | |
| Option III | 1 | 18.22 | | | | | | | | | | | | | | | | | |

⁵ Experience shall be considered on the basis of scope of work of the Applicant in that JV for the contract under reference.

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| | <p>d) In case of JVCA, the technical aspects listed in 2 (i), and 2(ii) above, may be considered on combined basis for meeting the experience required at 2 above.</p> <p>4. In case, completed project cited by an Applicant was supervised as member of JV/ Consortium/ JVCA, credentials for same shall be considered based on role and responsibility of the Applicant under the contract.</p> <p>5. Sub consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p> <p>6. Documentation and Attachments to be submitted as mentioned below</p> |
| Documentation to be submitted: | Form D.2.1 |
| Attachments to be submitted: | <p>➤ Final Acceptance/ Completion Certificate/ Testimonial issued by the employer for each contract listed in Form D.2.1.</p> <p>In case the contracts listed in Form D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p> |

E.3 Skilled Human Resources**Requirement:**

Applicant need to deploy the personnel for the key positions that meet the following requirements:

| Sr No | Position | N os | Qualification | Total Work Experience (years) | Experience in similar work (years)* |
|-------|---|------|--|-------------------------------|-------------------------------------|
| 1 | Team Leader/Project Manager | 1 | Degree in civil or structural engineering discipline | >20 | >10 years |
| 2 | Architect | 1 | Degree in Architecture | >15 | >5 years |
| 3 | Structural Engineer | 1 | Degree in civil or structural engineering discipline | >15 | >5 years |
| 4 | Coastal Engineer | 1 | Degree in in coastal engineering discipline | >15 | >5 years |
| 5 | Site Civil Engineers (Buildings, Road, Coastal) | 3 | Degree in Civil Engineering | >10 | >4 years |
| 6 | Power Systems, Communications, Controls and Instrumentation Design Engineer | 1 | Degree in Electrical and Electronics Engineering or equivalent | >15 | >5 years |
| 7 | Mechanical Systems and Services Design Engineer | 1 | Degree in Mechanical Engineering | >15 | >3 |
| 8 | ICT Expert (non-resident) | 1 | Degree in Information Technology or relevant discipline | >15 | >5 |
| 9 | ICT Engineer | 1 | Degree in ICT discipline | >7 | >3 |
| 10 | Geotechnical Engineer | 1 | Degree in geotechnical or civil engineering | >20 | >7 |

Part IV. Prequalification Criteria and Requirements

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| 11 | Highway/Pave ment Engineer | 1 | Degree in highway engineering or civil engineering discipline | >15 | >5 |
| 12 | Environmental Engineer (engaged locally) | 1 | Degree in Environmental engineering discipline | >10 | >5 |
| 13 | Quantity Surveyor | 1 | Degree in Quantity Surveying | >10 | >5 |
| 14 | Water Resources and Drainage Specialist (non- resident) | 1 | Degree in Water resources engineering or similar field | >15 | >5 |
| 15 | Firefighting Specialist (Non resident) | 1 | Masters Degree in Fire safety Engineering | >10 | >3 |
| Documentation to be submitted: Form E.3.1 | | | | | |
| Attachments to be submitted: None. | | | | | |

***Details of the qualifications and responsibilities are as follows :**

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| <p>1. Team Leader/Project Manager</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. The team leader should be graduated at university level in the civil/structure disciplines and have at least 20 years working experience after graduation. Experience shall also include at least 10 years' experience of Airport Development Projects. b. The Team Leader shall have extensive knowledge and experience in planning, administration, detailed design, tender documents for the construction of Airport Terminal Building Projects. c. Must have at least 5 years of experience in projects in EPC Project management with FIDIC Silver Book. <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Overall coordination of the Consultant's team of experts and any other matters related to the smooth execution of the consulting services contract. b. Overall responsibility for management and the project liaison with the Client, and all authorities concerned with matters relevant to the physical implementation of the project, reporting of project progress, financial control and coordination of work carried out; c. Responsible for review of Detailed Design and any other information necessary, assessing the requirements, organization of personnel review of surveys and obtaining Client's approval; d. Responsible for construction monitoring and supervision; e. Responsible for all progress reporting, financial control, approval of all contract documentation and advice to the Client on all measures to improve efficiency; |
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| <p>2. Architect</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in Architecture b. Minimum 15 year of airport project experience c. More than 5 years as the Lead Architect (or equivalent) in Airport terminal building <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Responsible for review and approval of Detailed Design and make recommendations to improve design features, providing any other information/recommendations necessary during construction, assessing the design and obtaining Client's approval; b. Responsible for construction supervision; c. Responsible for approval of materials and approval of "As-Built" drawings as required. |
| <p>3. Structural Engineer</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in engineering discipline b. Minimum 15 year of experience in structural design c. At least 5 years of experience as the lead Structural Engineer for detailed design in Airport Development Projects. <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Responsible for review and approval of Detailed Design and make recommendations for improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; b. Responsible for construction supervision; <p>Responsible for approval of materials and approval of "As-Built" drawings.</p> |

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| 4. Coastal Engineer | Qualifications; a. University degree in coastal engineering discipline b. Minimum 15 year of relevant experience c. At least 5 years of experience as the lead Coastal engineer in large scale harbour projects. d. Must have atleast completed one project in similar coral reef environments e. Must have experience of at least 2 harbour projects |
| | His/her responsibilities shall include but not be limited to: a. Responsible for review and approval of Detailed Design and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; b. Responsible for construction supervision; c. Responsible for approval of materials and approval of "As-Built" drawings. |
| 5. Site Civil Engineers | Qualifications; a. University degree in civil engineering discipline b. Minimum 10 year of experience c. experience in <ul style="list-style-type: none"> • Building works (Site Civil Engineers x1) • Coastal works (Site Civil Engineers x1) • Road works (Site Civil Engineers x1) |
| | His/her responsibilities shall include but not be limited to: a. Review and approval of shop drawings for civil works; b. Supervision of all civil works; c. Have experience of at least one project with FIDIC Silver Book |
| 6. Power Systems, Communications, Controls and Instrumentation Design Engineer | Qualifications; a. University degree (B.E or B-TECH) in Electrical and Electronics Engineering or equivalent b. Minimum 15 year of experience c. At least 5 years of experience as the lead Electrical Engineer in Airport Development Projects His/her responsibilities shall include but not be limited to: a. Responsible for review and approval of Detailed Design and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; b. Responsible for construction supervision; c. Responsible for approval of materials and approval of "As-Built" drawings. |

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| <p>7. Mechanical Systems and Services Design Engineer</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree (B.E or B-TECH) in Mechanical Engineering b. Minimum 15 years of experience c. More than 3 years as the lead Engineer (or equivalent) in Airport Development Projects <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Responsible for review and approval of Detailed Design and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; b. Construction supervision and review of shop drawings for integration all services. |
| <p>8. ICT Expert (non-resident)</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in Information Technology or relevant discipline b. Minimum 15 years of experience as an ICT network system engineer c. Minimum 5 years of experience as an ICT lead design engineer d. Experience of at least 1 airport project including terminal building <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Responsible for review and approval of Detailed Design and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; b. Review of shop drawings for integration all services. |
| <p>9. ICT Engineer</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in ICT discipline b. Minimum 3 year of experience as in Airport Development Projects. <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Review and approval of shop drawings for ICT related works b. Construction supervision and review of shop drawings for integration all services. |

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| <p>10. Geotechnical Engineer</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in geotechnical or civil engineering discipline b. Minimum 20 years of experience c. More than 5 years as the Lead Geotechnical Engineer (or equivalent). Must have at least 7 years of experience in projects in similar coral reef environments. <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Review and assessment of all available data and information related to the subsoil condition, foundation and quay wall designs to provide the input during the construction phase. b. Responsible for review and approval of Detailed Design and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; c. Provide relevant input during construction phase. |
| <p>11. Highway/ Pavement Engineer</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in highway engineering or civil engineering discipline b. Minimum 15 years of experience in road and highway construction <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Responsible for review and approval of Detailed Design and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval; b. Construction supervision and review of shop drawings. |
| <p>12. Environmental Engineer (Preferably engaged locally)</p> | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in Environmental engineering discipline b. Minimum 10 years of experience |

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| | <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Preparation of an environmental and social management plan for the construction works. b. Ensure Environmental Impact Assessment mitigation measures are in place. c. Monitoring of construction activity for compliance to Environmental Mitigation Measures; d. Preparation of Environmental Monitoring Reports as required by the Environmental Protection Agency on behalf of the Employer (Proponent). |
| 13. | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University degree in Quantity Surveying b. Minimum 10 years of experience c. Experience in building construction, road works and Airport Development Works. <p>His/her responsibilities shall include but not be limited to:</p> <ul style="list-style-type: none"> a. Cost estimation, preparation of certificates for payments and preparation of financial statements for the Employer. b. Review of claims and preparation of counter claims. c. Have at least 5 year experience in FIDIC Silver Book Contracts |
| 14. Water Resources and Drainage Specialist (non-resident) | <p>Qualifications;</p> <ul style="list-style-type: none"> a. University Degree in Water resources engineering or similar field b. Minimum 15 years of experience c. More than 5 years of experience in Airport development projects <p>His/her responsibilities shall include but not be limited to:</p> <p>Responsible for review and approval of Detailed Design, technical specification and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval.</p> |

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| 15. Firefighting Specialist (Non resident) | <p>Qualifications;</p> <ul style="list-style-type: none">a. Masters Degree in Fire safety Engineeringb. Minimum 10 years of experiencec. More than 3 years of experience as a lead designer for firefighting in building construction works and Airport Development Works. <p>His/her responsibilities shall include but not be limited to:</p> <p>Responsible for review and approval of Detailed Design, technical specification and make recommendations to improvement, providing any other information/recommendations necessary during construction period, assessing the design and obtaining Client's approval.</p> |
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F. Confirmation of eligibility under Public Procurement Orders

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| F.1 Confirmation of eligibility under Public Procurement Orders | |
| Requirement: | Applicant to confirm their eligibility for the prequalification according to the provisions of Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India |
| Documentation to be submitted: | Form F.1.1 |

NOTE:

| The Evaluation Criteria for Prequalification of Applicants | | |
|--|---|--------------------------------|
| Sr. No. | Description | Remarks |
| A. | General | Evaluation on Pass/ Fail basis |
| B. | Past Contract Performance and Litigation | Evaluation on Pass/ Fail basis |
| C. | Financial Status and Capacity | Evaluation on Pass/ Fail basis |
| D. | Experience | Evaluation on Pass/ Fail basis |
| E. | Skilled Human Resources | Evaluation on Pass/ Fail basis |
| F. | Confirmation of Eligibility under Public Procurement Orders | Evaluation on Pass/ Fail basis |

Part V. Forms

Table of Forms

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Application Submission Form

Date: *[insert day, month, and year]*
IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently blacklisted/debarred/ sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are blacklisted/debarred/sanctioned, we shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) Credit Information Bureau India Ltd. (CIBIL) Defaulter List, and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/ are not (*strike off whichever is not applicable*) facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)

- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be submitted

| Form No. | Details of Forms and Documents | Page no. |
|----------|---|----------|
| | NEFT acknowledgement receipt towards payment of Processing Fee | |
| A.1.1 | Applicant Information Form | |
| | 1. Articles & Memorandum of Association | |
| | 2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration | |
| | 3. PAN and GST Registration Details | |
| | 4. Letter of intent to form JV or JV agreement, in case of JV | |
| | 5. Organizational chart | |
| | 6. List of Board of Directors with their complete designation in case of nominee directors | |
| | 7. The beneficial ownership with respective shareholding and nationality of shareholders | |
| | 8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant | |
| | 9. A copy of relevant Company Board Resolution referred to in item No. 8 above | |
| A.1.2 * | Applicant's JV Member's Information Form [in case Applicant is a JV] | |
| * | 1. Articles & Memorandum of Association of JV Member | |
| * | 2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member | |
| * | 3. PAN and GST Registration Details of the JV Member | |
| * | 4. Organizational chart of the JV Member | |
| * | 5. List of Board of Directors with their complete designation in case of nominee directors of the JV Member | |
| * | 6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member | |
| * | 7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant | |
| * | 8. A copy of relevant Company Board Resolution referred to item No. 7 above. | |
| B.1.1* | Non-Performed Contracts | |

| | | |
|---------------|--|--|
| * | 1. Documents establishing details included in Form B.1.1 | |
| B.1.2 * | Pending Litigation | |
| * | 1. Documents establishing details included in Form B.2.1 | |
| B.1.3 * | Litigation History | |
| * | 1. Documents establishing details included in Form B.3.1 | |
| C.1.1* | Financial Status & Capacity, certified by the Statutory Auditors of the Applicant | |
| * | 1. Audited Annual Reports for the last 3 years | |
| * | 2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks. | |
| C.1.3 * | Annual Consultancy Turnover, certified by the Statutory Auditors of the Applicant | |
| D.1.1* | General Experience | |
| * | 1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1 | |
| * | 2. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work. | |
| D.2.1 * | Specific Experience | |
| * | 1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1 | |
| * | 2. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work. | |
| E.1.1 | Skilled Human Resources (Undertaking to deploy list of dedicated Human resources) | |
| F.1.1 | Confirmation of eligibility under Public Procurement Orders | |
| Part VI. * | Questionnaire for Applicants | |

Note :i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1

Applicant Information Form

[To be filled by Applicant if being a Single Entity or as Lead member of JV]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

| |
|---|
| Applicant's name <i>[insert full name]</i> |
| In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i> |
| Applicant's country* of registration: <i>[indicate country of Constitution]</i> |
| Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i> |
| Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i> |
| Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i> |
| 1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders. |

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form A.1.2

Applicant's JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

| |
|--|
| Applicant name: <i>[insert full name of the JV]</i> |
| Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i> |
| Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i> |
| Applicant's JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i> |
| Applicant's JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i> |
| Applicant's JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i> |
| 1. Attached are copies of original documents of Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership. |

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member Name: *[insert full name]*
 IFP No. and title: *[insert IFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages
 Information pertaining to: *[Insert name of JV Leader or JV Member/s]*

| Form B.1.1 Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements | | | |
|---|---------------------------------------|---|--|
| <input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1. <input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1 | | | |
| Year | Non-performed portion of Contract | Contract Identification | Total Contract Amount (current value, currency, exchange rate and US\$ equivalent) |
| <i>[insert financial year]</i> | <i>[insert amount and percentage]</i> | Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i> | <i>[insert amount in USD equivalent and specify exchange rate and date]</i> |

| Form B.2.1 | | | | |
|--|------------------------------|--|--|--|
| Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements | | | | |
| <input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 | | | | |
| <input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below. | | | | |
| Year of dispute | Amount in dispute (currency) | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate) | Amount of specific provision already made, if any |
| <i>[insert financial year]</i> | <i>[insert amount]</i> | Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i> | <i>[insert amount]</i> | <i>[insert amount in USD equivalent and specify exchange rate]</i> |

| Form B.3.1 | | | |
|---|------------------------------------|---|---|
| Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements | | | |
| <input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3. | | | |
| <input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below. | | | |
| Year of award | Outcome as percentage of Net Worth | Contract Identification | Total Contract Amount (currency), USD Equivalent (exchange rate) |
| <i>[insert financial year]</i> | <i>[insert percentage]</i> | Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i> | <i>[insert amount in USD equivalent and specify exchange rate and date]</i> |

Form C.1.1

Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

1. Financial data

| Type of Financial information in | Historic information for previous three (3) years, (amount in Indian Rupees) | | | |
|--|---|------------|------------|--|
| | FY 2018-19 | FY 2019-20 | FY 2020-21 | |
| Sources of Fund | | | | |
| Share Holder's Fund | | | | |
| Loan Fund | | | | |
| Application of Fund | | | | |
| Fixed Assets | | | | |
| Investments | | | | |
| Net Current Assets | | | | |
| (i) Current assets, loans and advances | | | | |
| Less: (ii) Current liabilities& provisions | | | | |
| Misc. exp. to the extent not W/Off or adjusted | | | | |
| Profit and Loss Account | | | | |
| Net Worth | | | | |
| Net Worth | | | | |
| Information from Income Statement | | | | |
| Income | | | | |
| Expenditure | | | | |
| Profit/(Loss) Before Tax (PBT) | | | | |
| Profit/(Loss) After Tax (PAT) | | | | |
| Information From Cashflow Statement | | | | |
| Cash Flow from Operating Activities | | | | |

Part V. Forms

| | | | |
|-------------------------------------|--|--|--|
| Cash Flow from Investments | | | |
| Cash Flow from Financing Activities | | | |

Registration No/ Membership No:

Stamp

(of the Statutory Auditors of the Applicant/each JV member)

Date:

Place:

UDIN:

Form C.1.3

Annual Consultancy Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

| Annual Consultancy Revenue Data | | | | | | | |
|---------------------------------|----------------------------------|-----------------------|-----------------------------|---------------|---|--------------------------|---------------------------------------|
| Year | Consultancy Revenue Amount (INR) | | | Total Revenue | Consultancy Revenue as % of Total Revenue | Exchange Rate* (v/s USD) | Consultancy Revenue in USD equivalent |
| | By Contracts in India | By Overseas Contracts | Total Consultancy Revenue # | | | | |
| | [A] | [B] | C = [A+B] | | | | |
| 2018-19 | | | | | | | |
| 2019-20 | | | | | | | |
| 2020-21 | | | | | | | |

* Refer ITA 13.2 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate revenue for respective financial year

Total Consultancy Revenue would include revenues from all consultancy contracts including PMC contracts.

Registration No/ Membership No:

Stamp

(of the Statutory Auditors of the Applicant/each JV member)

Date:

Place:

UDIN:

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member Name: *[insert full name]*
 IFP No. and title: *[insert IFP number and title]*
 Page *[insert page number]* of *[insert total number]* pages
 Information pertaining to: *[Insert name of JV Leader or JV member/s]*

[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

| Contract Identification | Start Date | Initial Contractual End Date | Actual Completion Date and Reasons for delay, if any | Role of Applicant |
|---|---------------------------------------|---------------------------------------|--|---|
| Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i> | <i>[indicate date as dd/mmm/yyyy]</i> | <i>[indicate date as dd/mmm/yyyy]</i> | | <i>[insert "Prime Consultant" or "JV Member"]</i> |
| | | | | |

* Refer ITA 13.2 for date and source of exchange rate

Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion.

Form D.2.1

Specific PMC Experience

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

Information

| | | | |
|---|---|--|---|
| Similar Contract No. | <i>[insert number] of [insert number of similar contracts required]</i> | | |
| Contract Identification | <i>[insert contract name and number, if applicable]</i> | | |
| Contract date | <i>[dd/mmm/yyyy]</i> | | |
| Completion date | <i>Contractual: [dd/mm m/yyyy]</i> | <i>Actual: [dd/mmm/yyyy]</i> | |
| Role in Contract <i>[check the appropriate box]</i> | Prime Consultant <input type="checkbox"/> | Member in JV <input type="checkbox"/> | |
| Total Contract Amount | <i>[insert total contract amount in contract currency]</i> | US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i> | |
| If member in a JV, specify participation in total Contract amount | <i>[insert a percentage amount]</i> | <i>[insert total contract amount in local currency]</i> | <i>[insert exchange rate and total contract amount in US\$ equivalent]*</i> |
| Employer Details | Employer's Name: Address: Telephone, fax number, E-mail: | | |
| Description of the similarity in accordance with Sub-Factor D.2 of Part IV: | <i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics]</i> | | |
| Brief Scope of the Services as per Contract | | | |
| Narration of the Actual Services Rendered | | | |
| Key Staff Positions and Names of Staff | | | |
| Total Staff Months by your firm | | | |
| Total Staff Months by Associate firm | | | |

| | |
|-----------------|--|
| (if applicable) | |
|-----------------|--|

* Refer ITA 13.2 for date and source of exchange rate.

Final Acceptance Certificate/Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion.

Form E.1.1

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.1 In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.1. :

| Position | Name of key staff on rolls | Academic Qualification | Specialization | Years of Experience | Experience in Countries |
|----------|----------------------------|------------------------|----------------|---------------------|-------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form F.1.1

Confirmation of eligibility under Public Procurement Orders

[The following certificate to be given by the Applicant, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor F.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

This is to confirm that the Applicant has read the Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India regarding restrictions on procurement from a bidder of a country which shares a land border with India, and it is further confirmed that the Applicant fulfills all requirements in this regard and is eligible to be considered for the prequalification process.

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date:

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in each partner separately]*

IFP No.: *[insert IFP No.]*

| Eligibility, Confirmation and Other Important Information | Response* |
|---|--|
| 1. Is your firm, either singly or in joint venture currently empanelled or prequalified as a PMC. If yes, please provide details of Client, Country and Scope of PMC. Attach additional sheets, if needed. | Confirm: Yes_ ___ _ /No_ ___ |
| 2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification. | Confirm: Yes_ ___ _ /No_ ___ |
| 3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts. | Confirm: Yes_ ___ _ /No_ ___ |
| 4. Has your firm been blacklisted or suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed. | Yes_ ___ _ /No_ ___ |
| 5. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary. | Yes_ ___ /N o_ ___ |
| 6. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed. | Yes_ ___ _ /No_ ___ |
| 7. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary. | Yes_ ___ _ /No_ ___ |
| 8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary. | Yes_ ___ _ /No_ ___ |
| 9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions | Confirm: Yes_ ___ _ /No_ ___ |

| | |
|---|--|
| <p>(iii) to be responsible for the performance of the work executed by your firm</p> <p>(iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India</p> | <p>Yes_</p> <p>_/No_</p> <p>Yes_</p> <p>_/No_</p> <p>Yes_</p> <p>_/No_</p> |
| <p>10. Please confirm that you agree to the provisions of the Government of India guidelines dated 31st March 2022 on IDEAS Lines of Credit and Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India.</p> | <p>Confirm:</p> <p>Yes_</p> <p>_/No_</p> |

** Note: To confirm, print "Yes", else "No"*

(Signature)

[Name of Authorized Signatory]

[Title of Authorized Signatory]

Date: