

Application Document for Pre-qualification of Engineering, Procurement and Construction (EPC)/ Turnkey Contractor for Setting up a Greenfield 600 TPD Rotary Kiln Cement Plant Project at Tao Tao, Louvakou District, Niari Department, Republic of Congo.

Ref No: GOILOC-230/REPUBLIC OF CONGO/EPC:02/RE-INVITATION

In accordance to clause 23.1 of the ITA of the Application document, Exim Bank has decided to annul the previously held Pre-Qualification process for the said project and now re-invites Applications for Pre-Qualification in accordance with the enclosed revised Application document.

Completed applications in accordance with the provisions of the revised Application Document should be delivered physically by 1800 hours, on December 08, 2016. The opening of the Applications shall be at the Bank's address at 1500 hours, on December 09, 2016.

Any questions or clarifications on the above may be addressed to:

Ms. Meghana Joglekar
Deputy General Manager
Export-Import Bank of India
Maker Chamber IV, 8th Floor,
222, Nariman Point,
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Application Document for

Pre-qualification of Engineering, Procurement and Construction (EPC)/ Turnkey Contractor for Setting up a Greenfield 600 TPD Rotary Kiln Cement Plant Project at Tao Tao, Louvakou District, Niari Department, Republic of Congo.

Export-Import Bank of India
Date: November 08, 2016

INVITATION FOR PREQUALIFICATION

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consulting and non-consulting services to be contracted to Indian entities.

These Lines of Credit are governed by the Guidelines issued by the GOI from time to time. As per the extant guidelines issued vide letter no No. 21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the Line(s) of Credit.

In pursuance to the above, the Bank invites Applications from Indian firms for Pre-qualification of an EPC/ Turnkey Contractor for Setting up a Greenfield 600 TPD Rotary Kiln Cement Plant Project at Tao Tao, Louvakou District, Niari Department, Republic of Congo under a Line of Credit of USD 55 million extended to the Government of the Republic of Congo.

Completed Applications in accordance with the provisions of the Application Document should be delivered physically by 1800 hours, 08/December/2016 at the following address:

Ms. Meghana Joglekar
Deputy General Manager
Lines of Credit Group
Export-Import Bank of India
Maker Chambers IV, 8th Floor,
222, Nariman Point,
Mumbai – 400 021
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This Application Document (AD) consists of the following Parts:

Part I. Scope of EPC Work

This Part includes a summary description and other data on this pre-qualification process and of the prospective project to be contracted by the Borrower government subsequent to this pre-qualification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Pre-qualification (“Applications”) and the procedures for this pre-qualification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Pre-qualification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be pre-qualified and later to be invited to bid by Borrower government.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document for

**Pre-qualification of Engineering, Procurement
and Construction (EPC)/ Turnkey Contractor
for Setting up a Greenfield 600 TPD Rotary Kiln
Cement Plant Project at Tao Tao, Louvakou
District, Niari Department, Republic of Congo.**

**Invitation for Pre-Qualification No.:
GOILOC-230/REPUBLIC OF CONGO/EPC:02/RE-INVITATION
Issued on: November 08, 2016**

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PART I: SCOPE OF EPC

The Export-Import Bank of India (Bank), on behalf of the Government of India has extended a USD 55 Million Line of Credit to the Government of the Republic of Congo for Setting up a Greenfield 600 TPD Rotary Kiln Cement Plant Project at Tao Tao, Louvakou District, Niari Department, Republic of Congo for which the Bank now seeks applications for pre-qualification from eligible firms to bid for a contract for Engineering, Procurement and Construction (EPC)/ Turnkey Contractor. Refer Part II ITA 4 for eligibility provisions.

The Cement Plant Project requires engineering, procurement, construction and installation (EPC)/ Turnkey of a new cement plant of dry process with capacity of 600 tons clinker production per day and cement (OPC equivalent to EN 197-1 standards) grinding system with capacity of 200,000 tons per annum. The project also involves geological prospecting work, deposit evaluation and mine planning report preparation. The project will be done in the following Packages.

A) Package I: Mining Development and Equipment Supply

The Scope of work to be performed by the contractor for Mining Development shall be topographical survey, geological mapping, drilling, sample analysis, assessment of reserves and quality, mine planning, scheduling required for limestone deposit and supply of mining equipment.

1. ***Topography Survey and Geological Mapping:*** Preparation of the Topographic Plan on the scale 1:2000 by DGPS survey on UTM coordinates for the prospecting area preferably at 1-2 m contour interval covering all topographical features by laying survey lines at 100m x 100m grid pattern, in alignment of Northing and Easting. Fixing of at least two temporary bench marks inside the proposed area by transferring bench mark level from permanent bench mark or calibrating from levels from nearby available outside area. Construction of cemented pillar at 100m interval on intersecting grid points and shall provide the database for its coordinates and level. Geological mapping should cover and local geological succession linking with regional stratigraphy, recoding of contacts on geological map for all the major litho units confirmed or inferred either by surface outcrops or trenching, structural features, attitude (dip, strike) of the beds at close interval. Collection and preparation of sample for laboratory test by crushing grinding passing at 150 Mesh or 90 Micron (in triplicate 100gm each) of surface sample or from trenches of all the major geological litho units preferably at suitable intervals. Topographical cum geological plan should be prepared on 1:2000 scale in AutoCAD format providing the key plan as inset describing linking roads etc., the details of all the topographical features, roads, contours, geological lithological contacts, geological features like strike dip, type / position of fold / fault etc., all pit positions and their bench levels for existing pit /mine, position of the proposed borehole locations, from the field and position of old boreholes available from the existing drawings and any other features as seen in the area. Plans have to be submitted in hard copy as well as soft copy (in AutoCAD format).

2. ***Diamond Core Drilling, Core Logging, Sampling and Sample Preparation:*** The Project requires diamond core drilling in the limestone areas to establish subsurface continuity of the limestone bands for its quality and reserves at selected location in the prospecting area. Water source shall be identified by purchaser for use in drilling work. The detailed proving of the deposit by diamond core drilling for minimum of 1200m to maximum of approximately 2000m of drilling at 200m x 200m and at places on 100mx100m grid interval spread in different boreholes of 30-50m depth. Diamond core Drilling – Conventional drilling with not less than NX size double or triple tube core barrel with minimum of +90% core recovery in medium to hard rock formations and reasonably good recovery in soft formations. Supply of wooden or Galvanised Iron sheet (G.I.) core boxes each for storage of core of 5 M length. Core box related to each borehole with proper identification no. and meterage tags as per drilling record / log. The records shall be made available during the drilling operation and final records on completion of the project. Logging and Sampling preparation of core Log records in Microsoft Office excel sheet of the core samples with respect to sample length, core recovery in meters /percentage, chemical analysis, lithological description, texture, grain size and other characteristics. Core sampling shall be done for core length 1m. Preparation of core sample by splitting, half core to be preserved in core boxes and the other half to be used for crushing, conning, quartering and reducing it up to 150 Mesh (In Triplicate) for chemical analysis.
3. ***Chemical Analyses of the Samples:*** All the samples generated for the limestone during the geological prospecting, and diamond core drilling shall be chemically analysed for LOI%, CaO%, SiO₂%, Al₂O₃%, Fe₂O₃%, MgO, Na₂O%, K₂O%, Cl%, SO₃%, and 10% of total samples selected randomly from the core drilling shall also analysed for P₂O₅%, Mn₂O₃%, TiO₂, and Cr₂O₃%, by a reputed recognised laboratory, or by establishing the chemistry laboratory at site.
4. ***Deposit Evaluation for Preparation of Exploration Report:*** Deposit evaluation, assessment of quality and reserves shall be carried out with help of Geology Mining software. Preparation of computerized master database for generation of bore hole litho-chemical logs. Statistical analysis of bore hole data and determination of distribution pattern etc. of major chemical constituents. Selection of limestone quality based on cut-off grade specifications for cement grade limestone and other associated rock types for preparation of ORE / WASTE zones. Determination of optimum block size and suitable bench height in tune with the bore hole data. Preparation of 3D ORE BODY MODEL on optimum block size and geological section based on ORE / WASTE criteria using. Estimation of block-wise, bench-wise geological and mineable reserves through geological and mining software using geo-statistical methods such as kriging or IDS whichever is suitable for the deposit. Preparation of computerised block-wise, bench-wise slice / bench assay plans, Grade tonnage inventory for individual mining benches under ore / waste categories and recommendation for further exploration, if any. Preparation of comprehensive report, maps covering above aspects and submission of report in three volumes (Report, Logs, and Drawings) in hard as well as soft copy.

5. **Mine Planning, Development and Production Scheduling:** Selection of suitable, mining methods, and other mining activities. Selection of mining equipment and recommendation. Simulation of mine production schedules for arriving at an optimum economical extraction sequence with minimum quality variation and an effective optimal in pit-blending scheme, based on suitable equipment deployment. Preparation of yearly detailed mine development and production working drawings as per above schedule of operation, bench face advancement, layout of haul roads and bench access roads, for the first 5 years and 5 yearly plans for remaining period up to the depth of assessment. Waste-dump site selection and management, posing no threat to environment and optimal deployment of mining equipment in the overburden benches. Mine drainage plan. Quarry manpower planning. Preparation and submission of mine planning and scheduling report covering above aspects in hard as well as soft copy.
6. **Equipments:** A preliminary equipment list for Mining Operation is described below. This list is, however, subject to change with the results of lime stone deposit evaluation and exploration report and will be finalized between the Employer and Contractor at an appropriate time.

List of machineries (working fleet) to be supplied for limestone mining (2 shift operation):

Sn	Equipments
1.	Drill Machine – with matching compressor
2.	Hydraulic Excavator
3.	Rear Dump Truck (Tippers)
4.	Bulldozer D155A-5 or equivalent
5.	Hydraulic Rock Breaker
6.	Excavator/Loader
7.	Explosive Van
8.	Water Sprinkler
9.	Mobile repair cum maintenance van
10.	Tractor
11.	Canteen van
12.	Pickup
13.	Jeep
14.	Maintenance Equipments

Note: The above list of equipment is an indicative list. The complete list with required capacity and numbers of equipment would be made available at Tender stage.

Note: Package II will commence on completion of Package I.

B] Package II: Setting up the Cement Plant

The Scope of work to be performed by the contractor for the 600 TPD cement plant shall be on turnkey basis and will include but not limited to the following:

1. **Supplies:** EPC Contractor shall supply complete system including mechanical, Electrical (including 33kv outdoor substation), Instruments and process machineries, equipment and technical materials listed below to ensure the completeness of process line for stable operation and design capacity. Design and manufacture criteria of mechanical and electrical equipment shall meet all requirements of the project.

(A) List of Critical Equipments:

Sn	Equipments
1.	Crusher
2.	Vibrating screen
3.	Process Fans
4.	Bag House
5.	ESP
6.	Pneumatic Pumps
7.	Bearings
8.	Belt/ Apron Weigh Feeder
9.	Stackers and Reclaimers
10.	Compressors
11.	Bucket Elevator, Pan Conveyor
12.	Belt Conveyor
13.	Gear Boxes (Mills)
14.	Packers, Truck Loading Machine
15.	Weigh Bridge, Truck Loading Spout
16.	Roots Blowers
17.	Kiln Burner
18.	Lift
19.	Rotary Kiln
20.	Clinker Cooler
21.	Grinding mills for limestone and clinker grinding

(B) List of Electrical, Control, Instrumentation and Automation Equipments:

Sn	Equipments
1.	Switchboard Panels (Complete with switchgear metering and protections)
2.	Distribution Transformers
3.	Power Cables
4.	Control & Instrumentation Cable
5.	Special Cable (OKC, Profibus etc.)
6.	LV Switchboard

Part I. Scope of EPC

7.	LV Capacitor Panel
8.	Motor Control Center
9.	LVAC VVVI Drives
10.	MV VVVF Drives
11.	Inverter Duty Transformer
12.	LV Motors
13.	MV Motors
14.	Soft Starters
15.	LRS
16.	UPS Battery
17.	UPS System
18.	DCS Control System
19.	Speed Switch
20.	Pressure Temp Level Transmitters
21.	Level Switch / Level Transmitters
22.	Vibration Transmitters
23.	Opacity Monitor
24.	Pressure Switch / DP Switch
25.	Gas Analyzer Systems

Note: The above list of equipment is an indicative list. The complete list with required capacity and numbers of equipment would be made available at Tender stage.

2. **Engineering Services:** EPC contractor shall take care of technical design and prepare working/ executing design drawings for all items of production line, non-plant building, utility buildings etc. The contractor shall supply design drawings, full content technical data i.e. design documents, instructions for erection, operation and maintenance etc., and including associated and concerning civil works design data.
 - **Basic Engineering:** Design and Supply of Basic Engineering and Knowhow Package for complete cement production facility;
 - **Detailed Engineering:** Design and Supply of detailed drawings for the civil works, mechanical erection, and electrical installation and site fabrication;
 - **As Built Drawings:** Supply of basic & detailed engineering drawings and documents to incorporate "As Built Status" of the plant;
 - **Utility:** Power distribution and switch-yard for power supply in plant, Construction power distribution, water storage, treatment and distribution, fuel handling, compressed air distribution, firefighting, work shop, laboratory, CCR, Spare parts store, Emergency Diesel Generating sets etc.
3. **Civil works and local fabrication:** EPC Contractor shall carry out the detailed soil investigation, site topography survey and hydrology test and whole plant shall be designed by the contractor on the basis of the results achieved. All temporary equipment, facilities and resources required for the Construction Services shall be included and the contractor shall be responsible for the design of the civil work &

provide all drawings; specification & other information necessary for the civil work. A brief of civil works will be as below but not limited to the following:

- The construction of necessary industrial infrastructure & buildings for the production of cement in all respects;
- The construction of administrative buildings to accommodate 25 to 30 working staff;
- Construction of electrical rooms, load centres and 33 kV outdoor substation;
- The construction of fuel tank;
- The construction of all utility buildings including compressed air system, water storage and treatment system, firefighting system etc;
- The construction of a canteen for the staff;
- The construction of a temporary canteen during plant construction as well as mining prospecting work for labour and staff;
- The construction of temporary residential facility/ guest house for all project team members for project construction phase & during prospecting and mines related activities. Sufficient land will be provided by Purchaser (Ministry of Planning and the General Delegation for Major Works (DGGT), Brazzaville, Republic of Congo);
- The construction of plant dispensary;
- The construction of two control posts (entry and exit of the factory) for the users with weighbridge for verifying the load of vehicles of cement at the exit;
- Firefighting system and dispensary for construction phase of project;
- The construction of warehouses for storage of different materials and fabrication yard for construction phase of project;
- The construction of a laboratory for analysis of different materials before the production of cement and also for quality of cement;
- The construction of a covered parking (mechanical hanger) for the vehicles and other engines of the plant;
- The development of roads and other networks inside the factory related to cement production line;
- The construction of a boundary wall in concrete block for plant area;
- The construction of a drinking water tank and
- Other facilities required for completeness of the plant.

4. ***Erection and Commissioning:***

- Mechanical Erection, Installation of electrical (including 33Kv outdoor substation), control, instrumentation & automation systems;
- All temporary equipment, facilities and resources required for the Construction Services shall be included. This will also include facilities for site fabrication of various elements as per Contractor's designs such as Ducts, Chutes, Vent, and Cyclones etc;
- Testing and Commissioning of plant and equipment supplied, various utilities systems and facilities designed, engineered, supplied and installed for the cement production line;

Part I. Scope of EPC

- In order to confirm the performance of the plant, the Contractor shall carry out Performance Guarantee test during and after the commissioning period of the plant;
 - Receiving the materials (plant and machinery), safe handling and storage of goods prior to erection/installation shall also form the part of scope of work under this head of "Erection and Commissioning". The Contractor shall be responsible for guarding & security of all its equipment and other properties brought by the contractor at site for the purpose of this contract. On a continuous basis consistent with Good Industry Practice during the progress of the Works the Contractor shall clear away and remove pursuant to the directions of the purchaser from the Site all scrap, debris, other waste materials. The Contractor shall, leave on the Site for the purchaser such temporary works as instructed by the purchaser, free of charge.
 - The Contractor shall at all times and particularly after completion of the Works, keep the Site and the Facility in a clean, safe condition and shall dispose of all rubbish (other than hazardous materials or other materials which may contaminate ground-water, for which other arrangements shall be made by the Contractor) in accordance with Good Industry Practice.;
 - Contractor shall maintain spares needed for the equipment related mine prospecting and plant construction work including drilling rigs (spare drilling rig for replacement);
5. **Training:** Contractor shall provide the training to Purchaser's engineering & operation staff. The objective of this training is to provide the Purchaser's personnel with sufficient knowledge & skill on the works of respective categories, which shall be required for the operation, maintenance & control of the plant. Training of Purchaser's personnel will be "On Site Training". It will include training during erection and commissioning. This shall be carried out before & during the No-Load test/Load testing & commissioning period.
6. **Plant Operation:** The EPC contractor shall also operate the plant for 2 years from the date of issuance of Provisional Acceptance Certificate (PAC). A separate contract (for plant operation shall be finalized between the Purchaser and EPC Contractor at the time of finalization of contract for this project.

It has to be noted that the above description are general and the details will be described in the bill of quantities and the tender documents. Provision of spare parts, connection materials, some provisional items will be included in the tender documents and bill of quantities for each item.

C] Site & Other Data:

Republic of Congo is one of the most urbanized countries in Africa, with 85% of its total population living in urban areas, namely in Brazzaville, Pointe-Noire or one of the small cities or villages lining the 332-mile (534 km) highway which connects the two cities. Dolisie,

is a city in the western province of Niari. It is the country's third largest city and an important commercial centre.

1. **Plant Location:** The proposed plant location is in Tao Tao, Louvakou district, Department of Niari, Republic of Congo. The proposed plant location is approximately 1 km away from the limestone deposit. The closest major cities are Dolisie and Pointe Noire. The plant will be accessible by all means of transport from Dolisie which is located on Brazzaville to Pointe Noire highway.
2. **Climate:** The climate is consistent throughout the year since the country is located close to Equator. The dry season is from June to August and wet season has two rain falls: one in March-May and another in September-November.
3. **Land:** Adequate land (approximately 100 hectares) is available at proposed site for setting up of the cement plant. Additional land will have to be considered for setting up a residential colony for the plant personnel. The land for the proposed plant is almost flat and undulating.
4. **Road:** The proposed plant site is connected by roads from Dolisie near Brazzaville to Pointe Noire highway for the receipt of input materials & dispatch of cement. Therefore there is no constraint for transporting heavy machinery to the proposed plant location.
5. **Rail, Air and Sea Linkage:**
Rail linkage: Tao Tao is nearest railway station which may be used in future for dispatch of cement as well as for receipt of fuel and other input materials.
Nearest Airport: The nearest airport is Ngot Nzoungou airport in Dolisie.
Nearest port: The nearest port is Pointe Noire at a distance of 180 kms from the plant location.
6. **Social Amenities:** For proposed plant, a residential colony to provide accommodation for plant personnel shall be constructed by the Employer. The colony shall have other facilities like dispensary, shopping complex, guest house etc. Good communication facilities such as Tele Fax, Phone and Internet are available at Dolisie.
7. **Raw Material:** Limestone mine is located in Tao-Tao village, approximately 1 km away from plant location and having 84 million tones reserves under area of 100 hectares. The limestone deposit is accessible by road from Dolisie near Brazzaville - Dolisie highway.

D] Contract Period

Package I: Mining Development and Equipment Supply

The duration of the Contract is a maximum period of eighteen (18) months.

Part I. Scope of EPC

Package II: Cement Plant

The duration of the Contract is a maximum period of thirty six (36) months.

E] Packages

There are two packages for the works (Package I and Package II) as mentioned in Para B. This common Application Document for Pre-qualification is applicable for all two packages distinctly. The Applicant may apply for any or both packages but a separate pre-qualification application has to be submitted by the applicant for each package. Subsequent to pre-qualification, the Borrower may undertake bidding for the two packages separately.

F] GOI Guidelines

As per the GOI guidelines, goods and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above for details available at <http://www.eximbankindia.in/sites/default/files/C.pdf>

PART II. INSTRUCTIONS TO APPLICANTS [ITA]

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Part II. Instructions to Applicants

A. General

1. Background

- 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.

2. Scope of Pre-qualification

- 2.1 With reference to the Invitation for Pre-qualification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for pre-qualification as per the scope described in Part I, General Scope and subject to the provisions of this Application Document.

3. Ethics and Integrity

- 3.1 The Bank requires Applicants to participate in this pre-qualification process with the highest standards of integrity and ethics and prohibits any corrupt, collusive and / or fraudulent practices. It is strictly forbidden to the parties involved in the pre-qualification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise, as offsets for pre-qualification, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
- 3.2 In pursuance of article 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this pre-qualification process, or during the subsequent bidding for the contract(s) has committed corrupt, fraudulent, or unethical practices, the Bank, inter alia, shall:
- a. reject any Application for pre-qualification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or

- b. declare an Applicant involved in corrupt practices, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This pre-qualification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this pre-qualification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this pre-qualification process (as mentioned in Form A.1.2 Applicant's/ JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the pre-qualification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This pre-qualification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been debarred/ sanctioned by the Bank in pursuance of ITA 3.1, including debarment/ sanction by any government procuring entity/ corporation or Multilateral Development Agencies (MDA) in accordance with extant rules and procedures, shall be ineligible to be prequalified, during such period of time as the Bank/ sanctioning authority shall have determined.
- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive

Part II. Instructions to Applicants (ITA)

list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.

- 4.7 The Applicant shall confirm that it has not defaulted in any loan to any Bank/FI and its account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. The Applicant has to further confirm that none of their companies/promoters/directors appear in ECGC Specific Approval List, RBI Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed) and CIBIL Defaulter List, updated from time to time. On being included in any of the above lists, the Applicant shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof.
- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of EPC
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV Pre-qualification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.
- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for

Part II. Instructions to Applicants (ITA)

submission of the applications. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

- a. Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the pre-qualification process.

9. Language

- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.

10. Documents constituting the Application

- 10.1 The Application shall comprise the following:
 - (a) Application Submission Form, in accordance with ITA 11.1;
 - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **DS**.

11. Application Submission Form

- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.

Part II. Instructions to Applicants (ITA)

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| 12. Documents Evidencing the Applicant's Eligibility | 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms). |
| 13. Documents Evidencing the Applicant's Qualifications | <p>13.1 To establish its qualifications in accordance with Part IV, Pre-qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V. Each Form included in Part V shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non compliance to this requirement may result in summary rejection of the Application.</p> <p>13.2 Wherever a monetary amount is to be stated, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:</p> <p style="margin-left: 40px;">Value of single contract - Exchange rate prevailing on the date of the contract shall be used.</p> <p style="margin-left: 40px;">Exchange rates shall be taken from the publicly available source identified in the DS. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation.</p> <p style="margin-left: 40px;">For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR).</p> |
| 14. Authorized Signatory and Number of Copies | 14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV. |
| 15. Preparing the Application Envelope | <p>15.1 The Applicant shall enclose the original of the Application in a sealed envelope that shall:</p> <ul style="list-style-type: none">(a) be addressed to the Bank, as per ITA 16.1;(b) mention the name and address of the Applicant; and(c) mention the specific identification of this pre-qualification process indicated in the DS ITA 2.1. <p>15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.</p> |

Part II. Instructions to Applicants (ITA)

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| 16. Application Submission Deadline | <p>16.1 Applicants may either submit their Applications by mail or by hand. Applications submitted electronically shall not be admissible. Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.</p> <p>16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.</p> |
| 17. Late Applications | <p>17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.</p> |
| 18. Opening of Applications | <p>18.1 The Bank shall open all Applications at the date, time and place specified in the DS. Applicants' representatives may be present during opening.</p> |

D. Procedures for Evaluation of Applications

- | | |
|--|---|
| 19. Evaluation to be Confidential | <p>19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the pre-qualification process until the official notification of pre-qualification results is made in accordance with ITA 25.</p> <p>19.2 From the deadline for submission of Applications to the time of notification of the results of the pre-qualification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the pre-qualification process (except as specified in 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.</p> |
| 20. Clarifications during Evaluation | <p>20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing.</p> <p>20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.</p> |
| 21. Determination of Responsiveness of Applications | <p>21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document.</p> |

E. Evaluation of Applications and Pre-qualification of Applicants

22. Evaluation of Applications

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Pre-qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the pre-qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Applicant.
- 22.3 Only the qualifications of the Applicant shall be considered. In particular, the qualifications of a parent or other affiliated company that is not party to the Applicant under a JV in accordance with ITA 4.3 shall not be considered.
- 22.4 The qualification and experience of the Applicant for execution of contracts for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.

23. Bank's Right to Accept or Reject Applications

- 23.1 The Bank reserves the right to accept or reject any Application, and to annul the pre-qualification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

24. Pre-qualification of Applicants

- 24.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be pre-qualified by the Bank.

25. Notification of Pre-qualification

- 25.1 The Bank shall notify the result of the pre-qualification process through letters/ emails to all the applicants.
- 25.2 Applicants that have not been pre-qualified may write to the Bank no later than 15 calendar days after the date of publication of the result of pre-qualification, to request the grounds on which they were not pre-qualified.

26. Subsequent Invitation for Bids

- 26.1 The Bank shall forward the list of pre-qualified firms to the Borrower for subsequent invitation of proposals.

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	<p>The identification of the Invitation for Pre-qualification (IFP) is: GOILOC-230/REPUBLIC OF CONGO/EPC:02</p> <p>The Bank is: Export-Import Bank of India Lines of Credit Group Maker Chamber IV, 8th Floor, 222, Nariman Point, Mumbai – 400 021 Fax: +91 22 2282 3394 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
ITA 4.3	<p>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%. The maximum number of other members in the JV shall be such that each of the other members should have at least 20% share. The JV can be either an incorporated JV company or an unincorporated consortium/ association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of joint and several liability of all members of the JV.
ITA 4.6	<p>A list of debarred firms and individuals by: the World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>the African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>the European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>the Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>

B. Contents of the Application Document	
ITA 6.1	<p>For clarification purposes, the Bank's address is: Ms. Meghana Joglekar Deputy General Manager Lines of Credit Group Export-Import Bank of India Lines of Credit Group Maker Chambers IV, 8th Floor, 222, Nariman Point, Mumbai – 400 021 Fax: +91 22 2282 3394 Email: eximloc@eximbankindia.in</p>
C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	<p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p>
ITA 13.2	<p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Reserve Bank of India (www.rbi.org.in)</p> <p>In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com</p>
ITA 14.1	<p>Additionally one (1) copy in digital – in USB flash/ pen drive shall also be submitted.</p> <p>The pre-qualification application document shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>

ITA 16.1	<p>The deadline for Application submission is:</p> <p>Date: December 08, 2016</p> <p>Time: 18.00 hrs</p> <p>For application submission purposes only, the Bank's address is:</p> <p>Bank's address is the same as that indicated in 2.1</p> <p><i>Attention: Ms. Meghana Joglekar, Deputy General Manager</i></p>
ITA 18.1	<p>The opening of the Applications shall be at the Bank's address as that indicated in 2.1</p> <p>Date: December 09, 2016</p> <p>Time: 15.00 hrs</p>

Part IV. Pre-qualification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

Contents

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C	Financial Status and Capacity	29
D	Experience	30
E	Quality Assurance	33

A. General

A.1 Nationality	
Requirement:	The Applicant's Nationality shall be in accordance with ITA 4.4
Documentation to be submitted	Form A1.1 and A1.2
Attachments to be submitted	<ul style="list-style-type: none"> ➤ Articles of Incorporation and documents of registration of the Applicant (and each of the JV members) in accordance with ITA 4.4 ➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV

A.2. Eligibility	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none"> ➤ The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. ➤ In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Power of Attorneys for each member of the JV along with copy (self-attested) of relevant Board Resolutions or equivalent documents. ➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> ○ Permanent Account Number (PAN): Self attested copy ○ TIN/ Service Tax Registration details, as applicable: Self attested copy ○ Documentary proof for being on the approved list of Project Exports Promotion Council of India - PEPC (MOCI)

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no instance of contract¹ non-performance as a result of Exporter's default since 1st April 2011. (In case of JV, each member shall meet the requirement). ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	<ul style="list-style-type: none"> ➤ Applicant shall have a *positive adjusted net worth determined as per the financial statements for the financial year FY 2015-16 and the contractual litigation value as considered below net of provision made in the audited financial statements for FY15-16: <ul style="list-style-type: none"> • Litigation value shall be deemed to be 25% of the aggregate value pending litigation across all contracts and net of provisions, this value will be deducted from the net worth to arrive at the adjusted net worth. ➤ Documentation and Attachments to be submitted as mentioned below. <p><i>*Networth - (25% of litigation value - provisions already made) = positive</i></p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

B.3 Contract Litigation History	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no consistent history of court/arbitration award decisions related to contractual litigation against the Applicant² since 1st April 2011. ➤ The aggregate amount of awards against the Applicant, if any, shall not exceed 10% of the total value of contracts executed by the Applicant during the last five (5) financial years. ➤ Documentation and Attachments to be submitted as

¹ Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

² The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in failure of the application.

	mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status									
Requirement:	<p>(i) The audited balance sheets and profit & loss account/ annual report for the last five (5) years shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ol style="list-style-type: none"> Positive net worth for last five (5) years; and Positive profit (i.e. Profit Before Tax) for at least two (2) out of last five (5) years. Average Annual EPC Revenue for the last five financial years defined above be not less than as under: <table border="1"> <tr> <th>Package –I</th><th>Package –II</th></tr> <tr> <td>USD 8.00 million</td><td>USD 23.50 million</td></tr> </table> <p>In case of JVCA, requirement of (a) and (b) above shall be met by each member and requirement under (c), each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the project cash flow requirements of ongoing contracts including the present contract net of the Applicants other commitments. Minimum required liquidity³ needed for execution of the contract shall be as under:</p> <table border="1"> <tr> <th>Package –I</th><th>Package –II</th></tr> <tr> <td>USD 1.33 million</td><td>USD 3.92 million</td></tr> </table> <p>In case of JVCA, for requirement of (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below</p>	Package –I	Package –II	USD 8.00 million	USD 23.50 million	Package –I	Package –II	USD 1.33 million	USD 3.92 million
Package –I	Package –II								
USD 8.00 million	USD 23.50 million								
Package –I	Package –II								
USD 1.33 million	USD 3.92 million								
Documentation to be submitted:	Form C.1.1 and Form C.1.2 both certified by the statutory auditor of the Applicant (in case of JV, for each member of the JV)								

³ Prevailing exchange rate on the date of opening of the applications would be applied.

Attachments to be submitted:	<p>The Applicant and its parties shall provide copies of audited financial statements for the last five years. The financial statements shall:</p> <ul style="list-style-type: none"> (a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member). (b) be independently audited and certified by the statutory Auditor/ Chartered Accountant in accordance with applicable legislation. (c) be complete, including all notes to the financial statements. (d) correspond to accounting periods already completed and audited. <p>Supporting documents for additional financial means for likely future commitments</p>
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C.2 Value of ongoing contracts	
Requirement	<ul style="list-style-type: none"> ➤ Aggregate annual residual value of all ongoing contracts shall not be more than 350% of the highest annual EPC/Turnkey turnover for last 5 years. In case of JV, this shall apply to each member. ➤ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form C.2.1
Attachments to be submitted:	<ul style="list-style-type: none"> (a) Statement of Credit Facilities sanctioned and availed that are in force (including Source, Purpose, Amount, Validity Period) (b) Contract-wise Statement of Non-funded Facilities (such as Bank Guarantees) availed for execution of contracts (including Contract Title, Source/ Bank, Purpose, Amount, Validity Period)

D. Experience

D.1 General Experience	
Requirement:	<ul style="list-style-type: none"> ➤ Experience in Design Engineering/ Construction/ EPC contracts in the role of prime contractor, or JV member, in India during the last 7 years, starting from September 1, 2009. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form D.1.1 (to be prepared separately for experience in India and outside India)
Attachments to be submitted:	Final Acceptance Certificate issued by the employer for each contract listed in Form D.1.1

D.2 Specific Experience	Refer Part I: Scope of EPC for details																		
Requirement:	<p><u>Package I</u></p> <p>(i) At least the number and value of similar EPC/ Turnkey contracts as mentioned below completed between 1st September 2009 and application submission deadline:</p> <table><tr><th></th><th>No. of Contracts</th><th>Value⁴ of <u>Each</u> Contract (USD mn)</th></tr><tr><td>Option I</td><td>3</td><td>3.20</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>4.00</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>6.40</td></tr></table> <p>The EPC/ turnkey scope under the above contracts shall collectively demonstrate:</p> <p>a) Experience of successful completion of geological prospecting, deposit evaluation and mine planning report preparation for at least 1 (one) mine, involving preparation of topographic plan using DGPS or Conventional Theodolite Survey and involving diamond core drilling, core logging, sampling and sample preparation, similar to the Scope of Work defined under Part 1-Package I.</p> <p>b) Experience of supply of goods (equipment, machineries, spares, materials etc.) and related services for mining operations.</p> <p>In case of JVCA, requirement shall be met by the JVCA on a combined basis i.e. contract values of each member shall not be aggregated but number of contract(s) by individual members meeting the value and technical aspects can be aggregated to meet the requirement on number of contracts;</p> <p>Notwithstanding the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the value indicated under Option I above demonstrating experience, inter alia, in one or more of the technical aspects mentioned in (a) and (b) above.</p>		No. of Contracts	Value ⁴ of <u>Each</u> Contract (USD mn)	Option I	3	3.20	OR			Option II	2	4.00	OR			Option III	1	6.40
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	<p><u>Package II</u></p> <p>(ii) At least the number and value of similar EPC/ Turnkey contracts as mentioned below completed between 1st September 2009 and application submission deadline:</p> <table><tr><td></td><td>No. of Contracts</td><td>Value⁴ of Each Contract (USD mn)</td></tr><tr><td>Option I</td><td>3</td><td>18.80</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>23.50</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>37.60</td></tr></table> <p>The EPC/ turnkey scope under the above contracts shall collectively demonstrate:</p> <ul style="list-style-type: none">a) Experience in design, detailed engineering, supply, erection and commissioning of at least 1 (one) cement plant based on rotary kiln dry process technology.b) Experience in civil construction and electromechanical fabrication related to at least one cement project.c) Experience of supply of goods (equipment, machineries, spares, materials etc.) and related services for implementation of a cement plant. <p>In case of JVCA, requirement shall be met by the JVCA on a combined basis i.e. contract values of each member shall not be aggregated but number of contract(s) by individual members meeting the value and technical aspects can be aggregated to meet the requirement on number of contracts;</p> <p>Notwithstanding the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the value indicated under Option I above demonstrating experience, inter alia, in one or more of the technical aspects mentioned in (a) to (c) above.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below</p>		No. of Contracts	Value⁴ of Each Contract (USD mn)	Option I	3	18.80	OR			Option II	2	23.50	OR			Option III	1	37.60
	No. of Contracts	Value⁴ of Each Contract (USD mn)																	
Option I	3	18.80																	
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Option II	2	23.50																	
OR																			
Option III	1	37.60																	
Documentation to be submitted:	Form D.2.1																		
Attachments to be submitted:	Final Acceptance/ Completion Certificate/ Testimonial issued by the employer for each contract listed in Form D.2.1																		

⁴ In case of Contracts in Indian Rupees, the exchange rate as on 31st March of the Financial Year in which the contract was signed will be used to arrive at the USD equivalent.

E. Quality Assurance

Result of evaluation to the following criteria may lead to full prequalification or conditional prequalification

E.1 Quality Management System	
Requirement:	ISO Certified Quality Management System
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of Quality Management System Certificate valid as on the deadline for submission of application

E.2 Contractor's Equipment																																													
Requirement:	<p><u>Package I</u></p> <p>(i) The Applicant must confirm that it can avail and deploy the following key equipment:</p> <table border="1"> <thead> <tr> <th>Sn</th><th>Equipments</th></tr> </thead> <tbody> <tr><td>1.</td><td>Drill Machine</td></tr> <tr><td>2.</td><td>Hydraulic Excavator</td></tr> <tr><td>3.</td><td>Rear Dump Truck</td></tr> <tr><td>4.</td><td>Bulldozers</td></tr> <tr><td>5.</td><td>Hydraulic Rock Breaker</td></tr> <tr><td>6.</td><td>Excavator/Loader</td></tr> <tr><td>7.</td><td>Explosive Van</td></tr> <tr><td>8.</td><td>Water Sprinkler</td></tr> <tr><td>9.</td><td>Crane & elevators</td></tr> </tbody> </table> <p>Note: Above list of material deployment at site is tentative and applicant shall deploy equipments according to project requirement.</p> <p><u>Package II</u></p> <p>(ii) The Applicant must confirm that it can avail and deploy the following key equipment:</p> <table border="1"> <thead> <tr> <th>Sn</th><th>Equipments</th></tr> </thead> <tbody> <tr><td>1.</td><td>Batching Plant</td></tr> <tr><td>2.</td><td>Tower Crane</td></tr> <tr><td>3.</td><td>Electrical Winch</td></tr> <tr><td>4.</td><td>Vibrator Needle</td></tr> <tr><td>5.</td><td>High head water pump set</td></tr> <tr><td>6.</td><td>Dewatering pump set</td></tr> <tr><td>7.</td><td>Level Instrument</td></tr> <tr><td>8.</td><td>Excavator/Rock breaker</td></tr> <tr><td>9.</td><td>Dumper</td></tr> <tr><td>10.</td><td>Concrete Pump</td></tr> <tr><td>11.</td><td>Total station</td></tr> </tbody> </table>	Sn	Equipments	1.	Drill Machine	2.	Hydraulic Excavator	3.	Rear Dump Truck	4.	Bulldozers	5.	Hydraulic Rock Breaker	6.	Excavator/Loader	7.	Explosive Van	8.	Water Sprinkler	9.	Crane & elevators	Sn	Equipments	1.	Batching Plant	2.	Tower Crane	3.	Electrical Winch	4.	Vibrator Needle	5.	High head water pump set	6.	Dewatering pump set	7.	Level Instrument	8.	Excavator/Rock breaker	9.	Dumper	10.	Concrete Pump	11.	Total station
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	12.	Tyre mounted telescopic hydraulic boom
	13.	Welding rectifiers & Transformers
	14.	Lifting Tools & Tackles, slings, chain pulley block, sheave pulley block, D- Shackle, Wire rope, Drill Machine, Grinding Machine, torque wrench etc.
	15.	Pick & Carry 12/14MT
	16.	Laser Alignment Kit
	17.	Hydraulic Jack
	<p>Note: Above list of material deployment at site is tentative and applicant shall deploy equipments according to project requirement.</p> <p>(iii) Apart from above, the Applicant should have the latest software for engineering design and drafting for cement plant, including project management scheduling, equipments and mining machinery for completing survey, drilling, geological assessment, mine planning work. The Applicant should also have main machinery and equipment used for construction to ensure technical requirements, quality and safety for construction and installation.</p>	
Documentation to be submitted:	Letter of Intent/ Memorandum of Understanding/ Documents demonstrating that the above equipment can be arranged at the site	
Attachments to be submitted:	Self-attestation of Form E.2.1	

E.3 Skilled Human Resources

Requirement:	<p><u>Package I</u></p> <p>(i) Applicant must demonstrate that it has the personnel for the key positions that meet the following requirements:</p> <ul style="list-style-type: none"> ➤ Profession certificates of Project Manager of project to be in conformity to scope of work of this project and at least 01 project of similar nature and grade being done by him/ her in the position of Project Manager. ➤ Certified mining engineer and profession certificate of being mining engineer in conformity to the scope of work of this project or similar nature and grade being done by him/ her in the position of Mines Manager. ➤ Applicant should have trained specialists and technical workers to meet requirements of the entire EPC works.
	<p><u>Package II</u></p> <p>(ii) Applicant must demonstrate that it has the personnel for the key positions that meet the following</p>

	<p>requirements:</p> <ul style="list-style-type: none"> ➤ Profession certificates of Project Manager of project to be in conformity to scope of work of this project and at least 01 project of similar nature and grade being done by him/ her in the position of Project Manager. ➤ The Chief of construction work shall be the one who should have graduated in Civil Engineering from specialized university and used to be the chief of construction work for at least 01 project of similar nature and grade. ➤ Applicant should have trained specialists and technical workers to meet requirements of the entire EPC works. <p>(iii) Documentation and Attachments to be submitted as mentioned below.</p>
Documentation to be submitted:	<p>Form E.3.1</p> <p>CVs should, inter alia, incorporate qualifications, experience in the sector and should also list professional memberships / accreditations and knowledge of languages including foreign languages, countries of work experience.</p>
Attachments to be submitted:	<p>CV of abovementioned staff along with copies of academic certificates mentioned therein</p>

E.4 Manufacturer's Authorisation	
Requirement:	<ul style="list-style-type: none"> ➤ For the equipment to be supplied in accordance with Part I of the Application Document, the Applicant shall submit an undertaking to submit Manufacturer's Authorization(s) in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant. ➤ Documentation to be submitted as mentioned below
Documentation to be submitted:	Form E.4.1

NOTE:

The Evaluation Criteria for Pre- Qualification of Applicants

Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Quality Assurance	Evaluation on Pass/ Fail basis

Part V. Forms

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Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be pre-qualified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s).____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/sanctioned in accordance with ITA 4.5;
- (c) We confirm that we have not defaulted in any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in ECGC Specific Approval List, RBI Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed) and CIBIL Defaulter List, updated from time to time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the pre-qualification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the pre-qualified Applicants to bid for subsequent contract(s) subject to this pre-qualification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of pre-qualification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Form A.1.1

Applicant Information Form

(to be filled by Applicant if being a Single Entity or as Lead member of JV)

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
<p>1. Attached are copies of original documents of</p> <p><input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4.</p> <p><input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3.</p> <p>2. Included are the organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.</p>

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this pre-qualification process is open to only Indian firms.

Form A.1.2

Applicant's/ JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV)], and shall be completed separately to provide information relating to each JV member

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this pre-qualification process is open to only Indian firms

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Pre-qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since 1 st April 2011 specified in Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.1. <input type="checkbox"/> Contract(s) not performed since 1 st April 2011 specified in Part IV, Pre-qualification Criteria and Requirements, requirement B.1			
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

Form B.2.1				
Pending Litigation, in accordance with Part IV, Pre-qualification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.2 <input type="checkbox"/> Pending litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Exporter"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

Form B.3.1			
Litigation History, in accordance with Part IV, Pre-qualification Criteria and Requirements			
<input type="checkbox"/> No litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.3. <input type="checkbox"/> Litigation Awards in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Exporter"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount]</i>

Form C.1.1

Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the statutory auditor. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

1. Financial data

Type of Financial information in (currency)	Historic information for previous <i>[insert number]</i> years, <i>[insert in words]</i> (amount in Indian Rupees)				
	Year 1	Year 2	Year 3	Year 4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Net Worth (NW)					
Information from Income Statement					
Total Revenue (TR)					
Profit Before Interest & Taxes (PBIT)					
Profit Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

2. Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Date:

(The information should not be more than a year old)

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
1.	Fund Based Limits			
	a.			
	b.			
	c.			
2.	Non-fund Based Limits			
	a			
	b			
	C			

B. Details of Other Liquid Assets such as fixed deposits, other liquid assets and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

3. Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years [FY 2011-12 to FY 2015-16] pursuant Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

- ☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements
- ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed & stamped.

Form C.1.2

Average Annual EPC/Turnkey Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the statutory auditor. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Year	Amount (INR)			EPC Turnover as % of Total Turnover	Exchange rate*	USD equivalent
	Contr acts in India	Overseas Contracts	Total EPC Turnover			
2011-2012						
2012-2013						
2013-2014						
2014-2015						
2015-2016						
	Total: Avera ge:	Total: Average:	Total: Average:			Average Annual EPC/ Turnkey Turnover **

* Refer ITA 13 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year

** Total USD equivalent for 5 years divided by 5 (five).

Form C.2.1

Ongoing Contracts

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion	Residual value of Work	Annual Residual value of Work
1	2	3	4	5	6	7	8
Contract Ref: Brief Title of the works: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name & Address of Employer:	<i>[insert "Prime Exporter" or "JV Member"]</i>				<i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert value in US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i>	<i>[Insert value in US\$ equivalent] [7 divide by 6]</i>
							<i>[Insert Total for all Contracts]</i>

* Refer ITA 13 for date and source of exchange rate

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate Design Engineering/ Construction/ EPC/ Turnkey work over the past 7 years pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

1. Experience within India

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>		<i>[insert "Prime Exporter" or "JV Member"]</i>

* Refer ITA 13 for date and source of exchange rate

Final Acceptance Certificate to be attached for each contract

2. Experience outside India

Contract Identification	Country of Work Execution	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>		<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[insert "Prime Exporter" or "JV Member"]</i>

* Refer ITA 13 for date and source of exchange rate.

Final Acceptance Certificate to be attached for each contract

Form D.2.1

Specific EPC Experience

[The following table shall be filled in for contracts performed by the Applicant, and each member of a Joint Venture, as applicable]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual: [dd/mmm/yyyy]</i>		<i>Actual: [dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector]</i> <i>[Briefly mention the similarity in terms of sectoral characteristics]</i>		
For the above contract, mention the following attributes			
1. Capacity/ Physical size of key works items <ul style="list-style-type: none"> • Mining Experience Description: • Capacity of Cement Project: 	<i>[insert capacity/ physical size of key work items]</i>		
2. Complexity <ul style="list-style-type: none"> • Mining Project: • Design, Construction, Commissioning of Cement Project 	<i>[insert description of complexity]</i>		
3. Methods/ Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>		
4. Rate of execution for key items	<i>[insert execution rates for key items]</i>		
5. Other Characteristics <ul style="list-style-type: none"> • Supply of Goods & Equipment for Cement Plant and Mining operations 	<i>[insert other characteristics as appropriate]</i>		

* Refer ITA 13 for date and source of exchange rate.

Form E.1.1

Quality Management System

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Quality Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the quality management system accreditation]	[indicate certificate identification number and mention which process or discipline has been certified and inclusions]	[dd/mm/yyyy]	[dd/mm/yyyy]	[indicate the name of the certifying organization and contact details]

Form E.2.1

Contractor's Equipment Details

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Equipment Type	Make/ Model	Capacity	Number	If owned, date of purchase	If leased, valid up to
<i>[mention the generic name/ type of equipment]</i>	<i>[indicate make/ model of equipment]</i>	<i>[indicate capacity of equipment]</i>	<i>[indicate number available]</i>	<i>[dd/mm/yyyy]</i>	<i>[dd/mm/yyyy]</i>

Above Form shall be self attested

Form E.3.1

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Countries

Form E.4.1 Manufacturer's Authorisation

[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) to bid for for the supply of equipment for the tender under reference;
- (b) confirming guarantee/ warranty support for the equipment bid for by us
- (c) confirming that the equipment being bid for to be supplied shall be new and unused.

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in each partner separately]*

IFP No.: *[insert IFP No.]*

<u>Eligibility, Confirmation and Other Important Information</u>	<u>Response</u>
1. Is your firm, either singly or in joint venture currently empanelled or prequalified as an EPC contractor. If yes, please provide details of Client, Country and Scope of EPC. Attach additional sheets, if needed.	Confirm: Yes___/No _____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the pre-qualification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this pre-qualification.	Confirm: Yes___/No _____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this pre-qualification or any resulting contracts.	Confirm: Yes___/No _____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No _____
5. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No _____
6. Has your firm/ any JV partner been penalized for delay in contractual performance since 1 st April 2010 to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes___/No _____
7. Has there been a termination of your firm's services for non-performance on a contract in the last 5 years? If Yes, please describe in detail in a separate sheet, as necessary.	Yes___/No _____
8. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ____

Certificate of eligibility and authority to sign Pre-qualification application

I, *[Name of Authorized Signatory]*, certify that I am *[Title]* and Authorized Signatory of *[Name of Applicant or JV member as applicable]*. By signing the Application Submission Form under IFP No *[insert IFP No.]* and on behalf of *[Name of Applicant/ JV member]* I also certify that all information stated here and in the Application Document is accurate and true and that signing the Application Submission Form is within the scope of my powers as *[Title]* and Authorized Signatory.

(Signature)

[Name of Authorized Signatory]

[Title] and Authorized Signatory

Date: