

Application Document for

Pre-qualification of Indian Entities for Design,
Supply, Installation and Commissioning of a
Modern Injectable Product Plant and Associated
Equipment in Havana, Cuba.

Export-Import Bank of India
Date: January 31, 2017

INVITATION FOR PREQUALIFICATION

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consulting and non-consulting services to be contracted to Indian entities.

These Lines of Credit (LOC) are governed by the Guidelines issued by the GOI from time to time. As per the extant guidelines issued vide letter no No. 21/3/2015-IDEAS dated December 07, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the Line(s) of Credit.

In pursuance to the above, the Bank invites Applications from Indian entities for Design, Supply, Installation and Commissioning of a Modern Injectable Product Plant and Associated Equipment in Havana, Cuba under Exim Bank's GOI-supported Line of Credit extended to the Banco Exterior de Cuba (BEC).

Completed Applications in accordance with the provisions of the Application Document should be delivered physically by 1500 hours, on March 01, 2017 at the following address:

Shri A. Subrahmanyam
Deputy General Manager
Lines of Credit Group
Export-Import Bank of India
8th Floor, Maker Chambers IV
Nariman Point, Mumbai - 400 021
Tel:- +91-22-22861568
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E-mail: eximloc@eximbankindia.in

This Application Document (AD) consists of the following Parts:

Part I. Scope of Supplies

This Part includes a summary description and other data on this pre-qualification process and of the prospective contract to be awarded by the Borrower Government subsequent to this pre-qualification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their Applications for Pre-qualification (“Applications”) and the conditions/procedures for this pre-qualification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Pre-qualification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be pre-qualified and later to be invited to bid by Borrower government.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI. Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm with respect to past performance and prospective engagement.

Application Document for

Pre-qualification of Indian Entities for Design,
Supply, Installation and Commissioning of a
Modern Injectable Product Plant and Associated
Equipment in Havana, Cuba.

Invitation for Pre-Qualification No.:
GOILOC-200/TC-AM-CUB/SUPPLY-4
Issued on: January 31, 2017

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PART I: SCOPE OF SUPPLIES

The Export-Import Bank of India (Bank), on behalf of the Government of India [GOI], has approved financing of Modernisation of Injectable Product Plant in Havana, Cuba, under an Exim Bank's GOI-supported Line of Credit extended to the Banco Exterior de Cuba (BEC) for which the Bank now seeks applications for pre-qualification from eligible entities. Refer Part II ITA 4 for eligibility provisions.

A] Major Components of Supply

The project aims to modernize the existing plant in Havana, Cuba, to World Health Organization's (WHO) current Good Manufacturing Practice (cGMP) compliance with new modern machineries for four lines of production by the Injectable Product Enterprise 'LABIOFAM'. LABIOFAM is devoted to the investigation, development, production and commercialization of injectable pharmaceutical medicines for veterinary use. The current production comprises four production lines:-

1. Line of large volume parenteral production (Serums);
2. Line of small volume parenteral production (Bulbs);
3. Line of production of powders for oral and parenteral use (Antibiotics); and
4. Line of semi-solids production (Infusions).

The modernization program is focused to increase the installed capacity of machines to serve the national needs of the state, cooperative and private agricultural sector. The modernization of injectable product plant is proposed to be carried out in the following process:-

1. Redesigning the facility to meet WHO cGMP requirements;
2. Preparation of user requirement specifications;
3. Design, Installation, Operational and Performance qualifications for all plant & machineries;
4. Test run on machineries;
5. Handing over of the plant.

The pre-qualification exercise is being done to prequalify eligible Indian entities specialising in the design, supply, installation and commissioning of a WHO cGMP grade modern injectable product plant and associated equipment.

It has to be noted that the above description are general and the details will be described in the schedule of requirements and the tender documents that will be issued at the tendering stage. Provision of associated equipment, spare parts etc. will be included in the tender documents and the schedule of requirement for each item.

B] Technical details of the modern injectable product plant to be supplied

Description and general specifications of the equipment:

S. No.	Line of Production	Technological equipment
1.	Line of large volume parenteral production (Serums)	<ol style="list-style-type: none"> 1. Medicine elaboration reactors 2. Filtration system for parenteral

		<p>solutions</p> <ol style="list-style-type: none"> 3. Blow, Fill & Seal Machine 4. Autoclave 5. Automatic Labelling machine <p>Note: Voltage of feeding 220V, 3 phases, 60Hz, connection shatters.</p>
2.	Line of small volume parenteral production (Bulbs)	<ol style="list-style-type: none"> 1. Tank with agitation 2. Medicine elaboration reactors 3. Filtration system for parenteral solutions 4. Monoblock machine (Washing Sterilization, Filling, Stoppering and Aluminum Cap Sealing) 5. Inspection machine 6. Autoclave 7. Oven for sterilization 8. Automatic Labelling machine 9. Equipment CIP-SIP <p>Note: Voltage of feeding 220V, 3 phases, 60Hz, connection shatters.</p>
3.	Line of production of powders for oral and parenteral use (Antibiotics)	<ol style="list-style-type: none"> 1. Weighing scale 2. Monoblock machine (Washing Sterilization, Filling, Stoppering and Aluminum Cap Sealing) 3. Komodín mill 4. "V" Processor and dryer of sterile powders 5. "V" Blender of sterile powders 6. Dehumidifier 7. Powder feed conveyor for feeding to the both mixer 8. Automatic labelling machine 9. Equipment CIP-SIP <p>Note: Voltage of feeding 220V, 3 phases, 60Hz, connection shatters.</p>
4.	Line of semisolids production (Infusions)	<ol style="list-style-type: none"> 1. Mixer – Emulsifier 2. Colloidal Mill 3. Tubes filling Machine 4. Equipment CIP-SIP <p>Note: Voltage of feeding 220V, 3 phases, 60Hz, connection shatters.</p>
5.	Laboratories	<ol style="list-style-type: none"> 1. Analytic balance 2. Bank Conductimeter 3. pH-meter of table microprocessor 4. portable digital pH-meter 5. Polari meters 6. Hotplates

		<ol style="list-style-type: none"> 7. Water bath with coupled thermometer 8. Melting point determination 9. Karl Fischer 10. Oven muffle microprocessor 11. Technical balance 12. Technical balance of precision (electronic) 13. Universal Oven 14. Laboratory Autoclave 15. Equipment distiller Kjeldahl 16. Magnetic agitator 17. Electronic mechanical agitator 18. Magnetic agitator with heating 19. Bell of extraction of gases 20. Voltage stabilizer 21. Vacuum pump for laboratory use 22. Vacuum drying oven 23. Potentiometer 24. LAL (For pyrogens) 25. Climatic chamber 26. Spectrophotometers of Atomic Absorption 27. Automatic hygrometer 28. Laminar Airflow 29. Shakers with circular movement 30. Sieve Vibratory 31. Stainless steel reactor to laboratory scale 32. Laminar flow cabinet of type 2 Security 33. Trinocular optical microscope 34. Incubator 35. Trinocular stereomicroscope <p>Note: Feeding voltage for all the equipment 110 and 220V, 1 phase, 60Hz, connection shatters.</p>
6.	Non-technical and other equipment	<ol style="list-style-type: none"> 1. Cooling tower (25 m³ / h, Temp. Entrance: 55°C, Temp. Exit: 30°C) 2. Water Distillatory for injection water 3. Generator of pure steam 4. Softener 5. Autoclave for the sterilization of the stamps and plugs 6. Scale (for weighing cabin) 7. Electric Lift

		8. Traspallet electric elevator 9. Generator (Power plant) 10. Industrial washing machine for clothes 11. Retractable Machine 12. Air Conditioning System for the whole plant that guarantees the conditions required for the pharmaceutical production in each one of the areas.
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C] Location and Environmental Conditions

Cuba is an island nation, located in the northern Caribbean Sea at the confluence of the Gulf of Mexico and the Atlantic Ocean. The United States lies to the north-west, the Bahamas to the north, Haiti to the east, Jamaica and the Cayman Islands to the south, and Mexico to the west. Cuba has a subtropical climate with an average temperature of 25°C and an average relative humidity of 81%. In Havana, the hottest month is August with average temperature ranging between 24-32°C; coldest and driest months are January and February with 18 to 27°C with 40 mm average rainfall and wettest month is September with 190 mm average rainfall.

D] Contract Execution Period

The contract execution period shall be fifteen (15) months.

E] GOI Guidelines

As per the GOI guidelines, goods and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above for details available at:

<http://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

Part II. Instructions to Applicants [ITA]

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Part II. Instructions to Applicants

A. General

1. Background

- 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.

2. Scope of Pre-qualification

- 2.1 With reference to the Invitation for Pre-qualification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for pre-qualification as per the scope described in Part I, Scope of Supplies and subject to the provisions of this Application Document.

3. Ethics and Integrity

- 3.1 The Bank requires Applicants to participate in this pre-qualification process with the highest standards of integrity and ethics and prohibits any corrupt, collusive and / or fraudulent practices. It is strictly forbidden to the parties involved in the pre-qualification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise, as offsets for pre-qualification, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.
- 3.2 In pursuance of article 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this pre-qualification process, or during the subsequent bidding for the contract(s) has committed corrupt, collusive, fraudulent, or unethical practices, the Bank, inter alia, shall:
- reject any Application for pre-qualification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or

- b. declare an Applicant involved in corrupt practices, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

- 4.1 This pre-qualification exercise is open to **only Indian Applicants** in accordance with the provisions of ITA 4.3. Any form of association by the Applicant with ineligible entities either during this pre-qualification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity involved or intended to be involved with this pre-qualification process or any part of the prospective contract(s) including related services. Applications from Joint Venture or a Consortium, or an Association (JVCA) will not be considered for the pre-qualification.
- 4.3 This pre-qualification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.4 An Applicant that has been debarred/ sanctioned by the Bank in pursuance of ITA 3.1, including debarment/ sanction by any government procuring entity/ corporation or Multilateral Development Agencies (MDA) in accordance with extant rules and procedures, shall be ineligible to be prequalified, during such period of time as the Bank/ sanctioning authority shall have determined.
- 4.5 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.6 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.
- 4.7 The Applicant shall confirm that it has not defaulted in any loan to any Bank/ Financial Institution (FI) and its account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. The Applicant has to further confirm that none of their companies/ promoters/ directors appear in Export Credit Guarantee Corporation (ECGC)

Specific Approval List, Reserve Bank of India (RBI) Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) and Credit Information Bureau (India) Ltd. (CIBIL) Defaulter List, updated from time to time. On being included in any of the above lists, the Applicant shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof.

B. Composition of the Application Document

5. Contents of this Document

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Supplies
 - Part II. Instructions to Applicants (ITA)
 - Part III. Data Sheet (DS) to ITA
 - Part IV Pre-qualification Criteria and Requirements
 - Part V. Forms
 - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.
- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the **DS**. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

- 7. Corrigendum of Application Document**
- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

- 8. Cost towards Application**
- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the pre-qualification process.
- 9. Language**
- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.
- 10. Documents constituting the Application**
- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11.1;
 - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **DS**.
- 11. Application Submission Form**
- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.
- 12. Documents Evidencing the Applicant's Eligibility**
- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Form A.1.1 included in Part V (Forms).
- 13. Documents Evidencing the Applicant's Qualifications**
- 13.1 To establish its qualifications in accordance with Part IV, Pre-qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V. Each form included in Part V shall be duly signed by the authorized representative of the Applicant.

13.2 If an Applicant does not manufacture or produce the goods pursuant to Part I Scope of Supplies, it shall submit the documentation as specified in the **DS**

13.3 Wherever a monetary amount is to be stated, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:

Value of single contract - Exchange rate prevailing on the date of the contract shall be used.

Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation.

For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR).

**14. Authorized
Signatory and
Number of Copies**

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant.

14.2 Copies of the signed original Application, in the number specified in the **DS**, and clearly marked as "COPY" shall be submitted by the Applicant. The original shall govern in case of any discrepancy between the original and the copies.

**15. Preparing the
Application
Envelope**

15.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:

- (a) be addressed to the Bank, as per ITA 16.1;
- (b) mention the name and address of the Applicant; and
- (c) mention the specific identification of this pre-qualification process indicated in the **DS** ITA 2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

**16. Application
Submission
Deadline**

16.1 Applicants may either submit their Applications by mail or by hand. Applications submitted electronically shall not be admissible. Applications shall be received by the Bank at the address and no later than the deadline indicated in the **DS**.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

17. Late Applications 17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications 18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential 19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the pre-qualification process until the official notification of pre-qualification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time of notification of the results of the pre-qualification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the pre-qualification process (except as specified in 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation 20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document.

E. Evaluation of Applications and Pre-qualification of Applicants

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|--|---|
| 22. Evaluation of Applications | <p>22.1 The Bank shall use the criteria and requirements defined in Part IV, Pre-qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the pre-qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.</p> <p>22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.</p> <p>22.3 Only the qualifications of the Applicant, in the capacity as prime contractor to the main client, shall be considered. In particular, the qualifications of a parent or other affiliated company shall not be considered.</p> <p>22.4 The qualification and experience of the Applicant for execution of contract for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.</p> |
| 23. Bank's Right to Accept or Reject Applications | <p>23.1 The Bank reserves the right to accept or reject any Application, and to annul the pre-qualification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.</p> |
| 24. Pre-qualification of Applicants | <p>24.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be pre-qualified by the Bank.</p> |
| 25. Notification of Pre-qualification | <p>25.1 The Bank shall notify the result of the pre-qualification process through letters/ emails to the prequalified Applicants and to the Applicants not meeting the prequalification criteria.</p> <p>25.2 Applicants that have not been pre-qualified may write to the Bank no later than 15 calendar days after the date of intimation by the Bank, of the result of pre-qualification, to request clarifications regarding the grounds on which they were not pre-qualified.</p> |
| 26. Subsequent Invitation for Bids | <p>26.1 The Bank shall forward the list of pre-qualified firms to the Borrower for subsequent invitation of bids.</p> |

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	<p>The identification of the Invitation for Pre-qualification (IFP) is: GOILOC-200/TC-AM-CUB/SUPPLY-4</p> <p>The Bank is: Export-Import Bank of India Maker Chamber IV, 8th Floor, 222 Nariman Point, Mumbai – 400 021 Tel : +91-22-22861568 Fax : +91-22-2282 3394 E-mail: eximloc@eximbankindia.in Website: www.eximbankindia.in</p>
ITA 4.5	<p>A list of debarred firms and individuals by: the World Bank is available on the website: http://www.worldbank.org/debarr</p> <p>The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999</p> <p>the African Development Bank is available on the website: https://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</p> <p>the European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml</p> <p>the Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</p>

B. Contents of the Application Document	
ITA 6.1	For clarification purposes , the Bank's address is: Shri A. Subrahmanyam Deputy General Manager Lines of Credit Group Export-Import Bank of India 8th Floor, Maker Chambers IV Nariman Point, Mumbai - 400 021 Tel:- +91-22-22861568 Fax: +91-22-2282 3394 E-mail: eximloc@eximbankindia.in
C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	The Applicant shall submit with its Application, the following additional documents as attachment to the Application Submission Form: The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.
ITA 13.2	The applicant shall submit an Undertaking related to Manufacturer's Authorisation in accordance with Form E.2.1 included in Part V of this Application Document.
ITA 13.3	The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Reserve Bank of India (www.rbi.org.in) In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com
ITA 14.2	In addition to the hard copy in original , one (1) scanned copy in digital – in USB flash/ pen drive shall also be submitted. The pre-qualification application document shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.
ITA 16.1	The deadline for Application submission is:

	<p>Date: March 01, 2017</p> <p>Time: 15:00 hrs</p> <p>For application submission purposes only, the Bank's address is:</p> <p>Bank's address is the same as that indicated in 2.1</p> <p><i>Attention: Shri A. Subrahmanyam, Deputy General Manager</i></p>
ITA 18.1	<p>The opening of the Applications shall be at the Bank's address as that indicated in 2.1</p> <p>Date: March 01, 2017</p> <p>Time: 17:00 hrs</p>

Part IV. Pre-qualification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

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A. General

A.1 Nationality	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant's Nationality shall be in accordance with ITA 4.3. ➤ Documentation and attachments to be submitted as mentioned below.
Documentation to be submitted	Form A1.1
Attachments to be submitted	Articles & Memorandum of Association, Certificate of Incorporation/ Partnership Deed of the Applicant in accordance with ITA 4.3

A.2. Eligibility	
Requirement:	<ul style="list-style-type: none"> ➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.4. ➤ Documentation and attachments to be submitted as mentioned below.
Documentation to be submitted:	Application Submission Form
Attachments	<ul style="list-style-type: none"> ➤ The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. ➤ Permanent Account Number (PAN): Self attested copy ➤ TIN/ Service Tax Registration details, as applicable: Self attested copy ➤ Documentary proof of being on the approved list of Project Exports Promotion Council of India –PEPC [MOCI]

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no instance of contract¹ non-performance as a result of Applicant's default since 1st April 2011. ➤ Documentation and attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	<ul style="list-style-type: none"> ➤ Applicant shall have a positive adjusted net worth* determined as per the financial statements for the financial year (FY 2015-16) and the contractual litigation value as considered below net of provision made in the latest audited financial statements: <ul style="list-style-type: none"> ○ Litigation value shall be deemed to be 25% of the aggregate value pending litigation across all contracts and net of provisions, this value will be deducted from the net worth to arrive at the adjusted net worth. ➤ Documentation and Attachments to be submitted as mentioned below. <p><i>*Note: Net worth – [25% of Litigation value – provisions already made] = positive</i></p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

B.3 Contract Litigation History	
Requirement:	<ul style="list-style-type: none"> ➤ There shall be no consistent history of court/ arbitration award decisions related to contractual litigation against the Applicant² since 1st April 2011. ➤ The aggregate amount of awards against the Applicant, if any, since April 01, 2011, shall not exceed 25% of the net worth as per the latest financial statements. ➤ Documentation and Attachments to be submitted as

¹ Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in instances accordance with the dispute resolution mechanism under the respective contract and where all appeal available to the applicant have been exhausted.

² The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant may result in failure of the application.

	mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

C.1 Financial Status	
Requirement:	<p>(i) The audited balance sheets and profit & loss account/ annual report for the last 5 financial years [FY 2011-12 to FY 2015-16] shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ol style="list-style-type: none"> Positive net worth during the last five years; Positive profit [i.e. Profit Before Tax] for at least 2 out of last 5 years; Annual Revenue in at least one (1) of the last five (5) financial years as defined above, be equal to or more than USD 5.05 mn. <p>(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means [independent of any contractual advance payment] sufficient to meet the project cash flow requirements of ongoing contracts, including the present contract, net of the Applicants' other commitments. Minimum required liquidity³ needed for execution of the contract shall be USD 1.25 million.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below.</p>
Documentation to be submitted:	Form C.1.1 and Form C.1.2 both certified by the statutory auditor of the Applicant
Attachments to be submitted:	<p>The Applicant shall provide copies of audited financial statements for last five years. The financial statements shall:</p> <ol style="list-style-type: none"> reflect the financial situation of the Applicant and not an affiliated entity (such as parent company or group member). be independently audited and certified by the statutory Auditor/ Chartered Accountant in accordance with applicable legislation. be complete, including all notes to the financial statements. correspond to accounting periods already completed

³ Prevailing exchange rate on the deadline for submission of applications would be applied.

	and audited. Supporting documents for additional financial means for likely future commitments
C.2 Value of ongoing contracts	
Requirement	<ul style="list-style-type: none"> ➤ Average annual residual value of all ongoing contracts shall not be more than 350% of the highest annual turnover during the last 5 years. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form C.2.1
Attachments to be submitted:	(a) Statement of Credit Facilities sanctioned and availed that are in force (including Source, Purpose, Amount, Validity Period) (b) Contract-wise Statement of Non-funded Facilities (such as Bank Guarantees) availed for execution of contracts (including Contract Title, Source/ Bank, Purpose, Amount, Validity Period)

D. Experience

D.1 General Experience	
Requirement:	<ul style="list-style-type: none"> ➤ Applicant shall demonstrate experience, as the prime contractor to the main client, for supply of Pharmaceutical Machinery and Equipment, in India during the last 7 years, starting from January 1, 2010. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form D.1.1 (to be prepared separately for experience in India and outside India)
Attachments to be submitted:	Final Acceptance/ Completion Certificate/ Testimonial Letters issued by the purchaser for each contract listed in Form D.1.1

D.2 Specific Experience	Refer Part I: Scope of Supplies
Requirement:	<p><u>Applicant shall demonstrate:</u></p> <p><u>(i) Experience of</u> at least one contract involving design of modern injectable pharmaceutical plant for veterinary use as per internationally accredited Good Manufacturing Practices (GMP) such as those issued by the WHO, US FDA, EU, etc.</p> <p><u>(ii) Experience of</u> at least the number and value of similar pharma equipment supply and installation contracts for an injectable product plant, as mentioned below completed</p>

	<p>between January 01, 2010 and application submission deadline:</p> <table><tr><td></td><td>Nos of Contracts</td><td>Value⁴ of Each Contract (USD mn)</td></tr><tr><td>Option I</td><td>3</td><td>2.0</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>2.5</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>4.0</td></tr></table> <p>(iii) That it is a manufacturer, or authorized dealer/distributor of the manufacturer of equipments for an injectable product plant as mentioned in Part I-B.</p> <p>(iv) Documentation and Attachments to be submitted as mentioned below.</p>		Nos of Contracts	Value⁴ of Each Contract (USD mn)	Option I	3	2.0	OR			Option II	2	2.5	OR			Option III	1	4.0
	Nos of Contracts	Value⁴ of Each Contract (USD mn)																	
Option I	3	2.0																	
OR																			
Option II	2	2.5																	
OR																			
Option III	1	4.0																	
Documentation to be submitted:	Form D.2.1																		
Attachments to be submitted:	<p>Testimonial on satisfactory performance for at least one year for the equipment supplied in the last 7 years;</p> <p>Final Acceptance/ Completion Certificate/ Testimonial issued by the purchaser for each contract listed in Form D.2.1</p>																		

E. Quality Assurance

Result of evaluation to the following criteria may lead to full prequalification or conditional prequalification

E.1 Certified Management System	
Requirement:	ISO Certified Management System is desirable
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Management System Certificate valid as on the deadline for submission of application

⁴ In case of contracts in INR, the exchange rate of 31st March of the financial year in which the contract was signed will be used to arrive at the USD equivalent.

E.2 Manufacturer's Authorization	
Requirement:	<ul style="list-style-type: none"> For the equipment to be supplied in accordance with Part I of the Application Document, the Applicant shall submit an undertaking to submit Manufacturer's Authorization(s) in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant. Documentation to be submitted as mentioned below
Documentation to be submitted:	Form E.2.1

NOTE:

The Evaluation Criteria for Pre- Qualification of Applicants		
Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E	Quality Assurance	Full or conditional prequalification

Part V. Forms

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Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be pre-qualified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s) _____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/ sanctioned in accordance with ITA 4.4;
- (c) We confirm that we have not defaulted in any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/ promoters/directors appear in ECGC Specific Approval List, RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) and CIBIL Defaulter List, updated from time to time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the pre-qualification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the pre-qualified Applicants to bid for subsequent contract(s) subject to this pre-qualification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of our pre-qualification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

Form A.1.1

Applicant Information Form

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.3. 2. Included are the organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this pre-qualification process is open to only Indian firms.

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Pre-qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since 1 st April 2011 specified in Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since 1 st April 2011 specified in Part IV, Pre-qualification Criteria and Requirements, requirement B.1			
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Purchaser: <i>[insert full name]</i> Address of Purchaser: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

Form B.2.1				
Pending Litigation, in accordance with Part IV, Pre-qualification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.2				
<input type="checkbox"/> Pending litigation in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Purchaser: <i>[insert full name]</i> Address of Purchaser: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Purchaser" or "Supplier"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

Form B.3.1			
Litigation History, in accordance with Part IV, Pre-qualification Criteria and Requirements			
<input type="checkbox"/> No litigation since 1 st April 2011 in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Pre-qualification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Purchaser: <i>[insert full name]</i> Address of Purchaser: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Purchaser" or "Supplier"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount]</i>

Form C.1.1

Financial Status and Capacity

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

1. Financial data

Type of Financial information in INR	Historic information for previous_5 years, (amount in Indian Rupees)				
	FY 2011-12	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Net Worth (NW)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

2. Sources of Finance

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	a			
	b			
	C			
	Total Non –fund Based			
	Total Fund and Non- Based Limit			

B. Details of Other Liquid Assets such as fixed deposits, other liquid assets and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

3. Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years pursuant Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements

☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.

Form C.1.2

Annual Turnover

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Year	Amount (INR)			Exchange rate*	USD equivalent
	Contracts in India [A]	Overseas Contracts [B]	Total Turnover C = A+B		
2011-2012					
2012-2013					
2013-2014					
2014-2015					
2015-2016					

* Refer ITA 13 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year

Form C.2.1

Ongoing Contracts

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion	Residual value of Work	Annual Residual value of Work
1	2	3	4	5	6	7	8
Contract Ref: Brief Title of the works: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name & Address of Employer:	<i>[insert "Prime Exporter" or "JV Member"]</i>				<i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert value in US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i>	<i>[Insert value in US\$ equivalent] [7 divide by 6]</i>
							<i>[Insert Total for all Contracts]</i>

* Refer ITA 13 for date and source of exchange rate

Form D.1.1

General Experience

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate experience pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates, where applicable.]

1. Experience within India

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of the Applicant
Contract Title: <i>[insert full name]</i> Brief Description of the supplies by the Applicant: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Purchaser: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>		<i>[insert "Prime Contractor, JV member or otherwise"]</i>

* Refer ITA 13 for date and source of exchange rate

Final Acceptance Certificate to be attached for each contract, in an orderly fashion

2. Experience outside India

Contract Identification	Country of Supply	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of the Applicant
Contract Title: <i>[insert full name]</i> Brief Description of the supplies by the Applicant: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Purchaser: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>		<i>[indicate date as dd/mm/yyyy]</i>	<i>[indicate date as dd/mm/yyyy]</i>	<i>[indicate date as dd/mm/yyyy]</i>	<i>[insert "Prime Contractor, JV member or otherwise"]</i>

* Refer ITA 13 for date and source of exchange rate.

Final Acceptance Certificate to be attached for each contract

Form D.2.1

Specific Experience

[The following table shall be filled in for contracts performed by the Applicant]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages Information

Similar Contract No.	[insert number] of [insert number of similar contracts required]		
Contract Identification	[insert contract name and number, if applicable]		
Contract date	[dd/mmm/yyyy]		
Completion date	<i>Contractual:</i>		<i>Actual: [dd/mmm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector]</i> <i>[Briefly mention the similarity in terms of sectoral characteristics]</i>		
For the above contract, mention the following attributes			
1. Capacity/ Physical size of key works items	<i>[insert capacity/ physical size of key work items]</i>		
2. Complexity	<i>[insert description of complexity]</i>		
3. Methods/ Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>		
4. Rate of execution for key items	<i>[insert execution rates for key items]</i>		
5. Other Characteristics	<i>[insert other characteristics as appropriate]</i>		

* Refer ITA 13 for date and source of exchange rate.

* Final Acceptance Certificate to be attached for each contract, in an orderly fashion

Form E.1.1

Certified Management System

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the management system accreditation]	[indicate certificate identification number and mention which process or discipline has been certified and inclusions]	[dd/mm/yyyy]	[dd/mm/yyyy]	[indicate the name of the certifying organization and contact details]

Form E.2.1
Manufacturer's Authorization (Undertaking)

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) to bid for the supply of equipment for the tender under reference;
- (b) confirming guarantee/ warranty support for the equipment bid for by us
- (c) confirming that the equipment being bid for to be supplied shall be new and unused.

[To be attested by the authorized representative of the Applicant]

Part VI. Questionnaire for Applicants

Name of Applicant:

IFP No.: [insert IFP No.]

<u>Eligibility, Confirmation and Other Important Information</u>	<u>Response</u>
1. Is your firm empaneled or prequalified for similar contracts. If yes, please provide details of Client, Country and Scope of Supply. Attach additional sheets, if needed.	Confirm: Yes___/No _____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the pre-qualification process for undue advantage is improper. Confirm that Applicant, if applicable, has not engaged in any unethical practices in competing for this pre-qualification.	Confirm: Yes___/No _____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this pre-qualification or any resulting contracts.	Confirm: Yes___/No _____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No_____
5. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No ____
6. Has your firm been penalized for delay in contractual performance since 1 st April 2011 to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes___/No_____
7. Has there been a termination of your firm's services for non-performance on a contract in the last 5 years? If Yes, please describe in detail in a separate sheet, as necessary.	Yes___/No_____
8. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 th December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ____

** Note: To confirm, print "Yes", else "No"*

Certificate of eligibility and authority to sign Pre-qualification application

I, *[Name of Authorized Signatory]*, certify that I am *[Title]* and Authorized Signatory of *[Name of Applicant]*. By signing the Application Submission Form under IFP No *[insert IFP No.]* and on behalf of *[Name of Applicant]* I also certify that all information stated here and in the Application Document is accurate and true and that signing the Application Submission Form is within the scope of my powers as *[Title]* and Authorized Signatory.

(Signature)

[Name of Authorized Signatory]
[Title] and Authorized Signatory

Date: